

SUFFOLK COUNTY WATER AUTHORITY

ANNUAL INVESTMENT REPORT

FISCAL YEAR ENDED MAY 31, 2013

ANNUAL INVESTMENT REPORT
OF THE
SUFFOLK COUNTY WATER AUTHORITY
FOR THE
FISCAL YEAR ENDED MAY 31, 2013

AUTHORIZATION

Title 7, Section 2925, Paragraph 7(b) of the Public Authorities Law

“Each corporation, other than a corporation included under Paragraph (a) of this subdivision, shall annually submit its investment report to the Chief Executive Officer and Chief Financial Officer of each municipality for the benefit of which it was created and to the Department of Audit and Control.”

Name of Official: Larry B. Kulick, C.P.A
Title: Chief Financial Officer
Address: Suffolk County Water Authority
 P.O. Box 38
 Oakdale, New York 11769

Dated: August 31, 2013

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INVESTMENT POLICY
FOR THE
SUFFOLK COUNTY WATER AUTHORITY

I. SCOPE

This Investment Policy ("Policy") applies to all moneys and other financial resources available for deposit and investment by the Suffolk County Water Authority ("Authority") and on behalf of any other entity or individual.

II. INVESTMENT OBJECTIVES

The primary objectives of the Authority's investment program shall, in order of priority, be to: (1) comply with all applicable provisions of law and any applicable covenants contained in the Resolution (as hereinafter defined); (2) safeguard the principal of all deposits and investments; (3) provide sufficient liquidity to ensure that monies invested are available to meet expenditures as they come due; and (4) obtain the maximum rate of return that is consistent with the preceding objectives.

III. DELEGATION OF AUTHORITY; ESTABLISHMENT OF PROCEDURES

The members of the Authority ("Board") hereby delegate responsibility for the implementation and administration of the Authority's deposit and investment program ("Program") to the Chief Executive Officer and the Chief Financial Officer ("Finance Officers") who shall establish procedures for the operation of the Program consistent with this Policy ("Procedures"). Such Procedures shall regulate subordinate employees and include an adequate internal control structure to provide a satisfactory level of accountability based on a record incorporating descriptions and amounts of deposits and investments, transaction dates, interest rates and other information necessary to manage the portfolio and to identify the sources of all funds being invested. Except as is otherwise provided in this Policy, no written contracts or agreements shall be required with respect to a specific investment authorized by

this Policy. Any of the Finance Officers shall be authorized to make deposits and investments, or to sell or redeem the same, on behalf of the Authority in accordance with the Procedures and this Policy.

IV. INTERNAL CONTROLS; ANNUAL AUDIT

(a) The Finance Officers are responsible for establishing and maintaining an internal control structure to provide reasonable assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that such transactions are executed in accordance with proper authorization and recorded properly and, that such transactions are managed in compliance with applicable laws, regulations and bond covenants.

(b) The Authority shall be required to have an annual audit of its investments performed by its independent accountants. This annual audit shall include a review of the Authority's internal control structure as it relates to the Program and verify that the Finance Officers have acted in accordance with the Policy and Procedures.

V. PRUDENCE

The Finance Officers and their subordinates shall at all times act responsibly as custodians of the public trust. Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their affairs, not for speculation, but for investment, considering the safety of principal as well as the income to be derived. The Finance Officers and their subordinates involved in the investment process shall refrain from personal investment activity that could conflict with proper execution of the Program or which could impair their ability to make impartial investment decisions.

VI. AUTHORIZED INVESTMENTS

Except as otherwise may be provided in a contract with bond or note holders or this Policy, monies not required for immediate expenditure may be invested in any of the following:

(i) direct obligations of, or obligations the principal of and interest on which are unconditionally guaranteed by, the United States of America;

(ii) bonds, debentures, notes, participation certificates or other evidences of indebtedness issued or guaranteed by the Bank for Cooperatives; Federal Intermediate Credit Bank; Federal Home Loan Bank System; Export-Import Bank of the United States; Federal Land Banks; Federal National Mortgage Association; United States Postal Service; Government National Mortgage Association; Federal Financing Bank; Farmers Home Administration; Federal Home Loan Mortgage Association; Tennessee Valley Authority or any agency or instrumentality of the United States of America or any other corporation wholly-owned by the United States of America;

(iii) New housing authority bonds issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a pledge of annual contributions under an annual contributions contract or contracts with the United States of America or any agency thereof; or project notes issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a requisition or payment agreement with the United States of America or any other agency thereof;

(iv) bank time deposits evidenced by certificates of deposit and bankers' acceptances issued by any bank or trust company which is a member of the Federal Deposit Insurance Corporation (hereinafter "Bank");

(v) certificates or other obligations that evidence ownership of the right to payments of principal of or interest on obligations of the United States of America or any state of the United States of America or any political subdivision thereof or any agency or instrumentality of the United States of America or any state or political subdivision, provided that such obligations shall be held in trust by a bank or trust company or a national banking association meeting the requirements for a Bond Fund Trustee under Section 7.1 of the Resolution (as hereinafter

defined), and provided further that, in the case of certificates or other obligations of a state or political subdivision, the payments of all principal of and interest on such certificates or such obligations shall be fully insured or unconditionally guaranteed by, or otherwise unconditionally payable pursuant to a credit support arrangement provided by, one or more financial institutions or insurance companies or associations which shall be rated in the highest two rating categories by at least two nationally recognized bond rating agencies or, in the case of an insurer providing municipal bond insurance policies insuring the payment, when due, of the principal of and interest on municipal bonds, such insurance policy shall result in such municipal bonds being rated in the highest rating category by at least two nationally recognized bond rating agencies, and

(vi) obligations of any state of the United States of America or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision, except industrial development revenue bonds, which shall be rated in the highest two rating categories by at least two nationally recognized bond rating agencies and which shall be insured by a municipal bond insurance policy unconditionally guaranteeing the payment of the principal of and interest thereon.

Investments shall be payable or redeemable, at the option of the Authority, within such time as the proceeds shall be needed to meet expenditures for the purpose for which the monies were provided.

VII. DEPOSITS

All monies collected by any officer or employee of the Authority shall be deposited in such Banks as have been authorized by the Board of the Authority. It is the policy of the Authority that all monies collected by any officer or employee of the Authority shall be immediately deposited in such Banks in accordance with the Procedures established pursuant to Section III of this Policy.

VIII. DIVERSIFICATION

Investments and deposits shall be diversified by issuer, financial institution, maturity and type of investment to eliminate the risk of loss resulting from over concentration of assets in a specific issuer, bank or trading partner or a specific maturity. No more than seventy-five percent (75%) of the Authority's funds may be invested in the securities of any one issuer, with the exception of those obligations enumerated in paragraph (i) of Section VI of this Policy. For purposes of the preceding sentence, each Bank issuing a bank time deposit evidenced by a certificate of deposit or bankers' acceptance shall be deemed to be the issuer of a security.

IX. AUTHORIZED BANKS AND TRADING PARTNERS

(a) The Finance Officers shall maintain a list of Banks, brokers, dealers and investment advisors approved to do business with the Authority. No Bank, broker, dealer or investment advisor shall be included on such list unless approved by the Board. If appropriate, the Finance Officers shall establish limits on the amount of investments that may be outstanding with any approved bank, broker or dealer at any time. All Banks, brokers and dealers with which the Authority conducts business must be creditworthy as determined by criteria established by the Finance Officers. All Banks with which the Authority does business shall provide their most recent Consolidated Report of Condition (Call Report) to the Finance Officers at the request of any such officer. Brokers and dealers not affiliated with a Bank shall be recognized primary dealers designated by the Federal Reserve Bank of New York. The Finance Officers are responsible for periodically evaluating the financial position of, and the quality of service provided by, Banks, brokers, dealers and investment advisors with which the Authority does business and, based on such evaluations, shall recommend to the Board revisions to the list of eligible Banks and trading partners as they deem appropriate.

(b) Every Bank, broker, dealer or investment advisor approved pursuant to paragraph (a) of this Section shall be given a copy of this Policy. Each such Bank, broker

dealer or investment advisor shall certify in writing to the Authority that it has reviewed the Policy and that it will comply with the provisions thereof.

X. PROCEDURES FOR SECURING DEPOSITS, SPECIAL TIME DEPOSITS AND CERTIFICATES OF DEPOSIT

(a) WRITTEN SECURITY AGREEMENTS

Monies of the Authority shall only be deposited or invested in a Bank pursuant to paragraph (iv) of Section VI of this Policy if the Authority has entered into a written security agreement with such Bank and only if the total amount of such deposits do not exceed at any one time in the aggregate of (5%) five percent the total of the capital and surplus of such Bank. Such security agreement shall require the Bank to secure all Authority deposits with securities (1) of the type enumerated in paragraphs (i), (ii), or (iii) of Section VI of this Policy; or (2) with direct and general obligations, to the payment of the principal of and interest on which the faith and credit of the issuer is pledged, of the State of New York or any political subdivision thereof; provided that (a) as to such obligations of a political subdivision, all the taxable real property within such political subdivision shall be subject to taxation thereby to pay such obligations and the interest thereon, without limitation as to the rate or amount, and (b) at the time of their purchase, such obligations of such state or political subdivision are rated in one of the three highest rating categories by two nationally recognized bond rating agencies ("Collateral"). Such security agreement shall also (1) require that the Collateral provided by the Bank at all times have a market value (exclusive of accrued interest) at least equal to the amount of deposits or investments secured by such Collateral; (2) prescribe the manner in which the market value of the Collateral shall be determined and provide for the valuation of Collateral; (3) require the Bank to provide additional Collateral if the market value falls below the required amount of Collateral; (4) provide that the Collateral is being provided by the Bank to secure all Authority deposits and investments in the Bank, together with agreed upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon default; (5) grant a security

interest to the Authority in the Collateral pledged by the Bank pursuant to the agreement; (6) set forth the conditions under which the Collateral may be sold, presented for payment, substituted or released; (7) define the events of default that will enable the Authority to exercise its rights against said pledged Collateral; (8) require that Collateral pledged to the Authority to secure deposits and not registered in the name of the Authority be delivered in a form suitable for transfer or with an assignment in blank to a custodial bank with which the Authority has entered into a written custodial agreement; (9) require that the agreement be properly authorized by the board of directors of the Bank and that the Bank maintain such agreement as an official record of the Bank; and, (10) contain such other provisions deemed necessary to enable the Authority to enforce its interest in the Collateral in the event of a default by the Bank.

(b) CUSTODY AGREEMENTS

All Collateral pledged by a Bank pursuant to a written security agreement shall be delivered to a Bank with which the Authority has entered into a written custody agreement ("Custodian"). The custody agreement shall: (1) specify the manner in which the custodian shall hold securities; (2) require the Custodian to hold the securities as agent of, and Custodian for, the Authority and to keep such securities separate and apart from the general assets of the Custodian and not permit them to become the backing for any other deposits or liabilities of the Custodian; (3) require the Custodian to confirm in writing the receipt, substitution or release of any securities from the Authority's custody account; (4) provide for the methodology and frequency of valuation of securities held by the Custodian; (5) require the Custodian to make appropriate entries on its books at all times showing the Authority's interest in the securities held by the Custodian; (6) require physical securities be kept in the Custodian's vault and physically segregated from the Custodian's property and other property held by the Custodian; (7) require the Custodian to subordinate any claims it may have against the securities to the Authority's interest therein; (8) permit the Authority access to books and records maintained by the

Custodian with respect to the Authority's account; and, (9) contain any other provisions deemed necessary and appropriate. A Bank shall not be permitted to act as Custodian of any Collateral pledged by such Bank to secure Authority deposits.

XI. PURCHASE AND SAFEKEEPING OF INVESTMENTS

(a) In the case of investments in certificates of deposit and special time deposits, the Procedures shall require the solicitation of quotations from more than one of the approved Banks.

(b) In the case of the purchase of securities, the Procedures shall: (1) require competitive quotations, except in the purchase of government securities at their initial auction; (2) require all securities purchased by the Authority to be held in the custody of a Bank with which the Authority has entered into a written custodial agreement which complies with the requirements contained in paragraph (b) of Section X of this Policy; (3) ensure that securities are purchased, sold or presented for redemption or payment by a Custodian only in accordance with instructions from the Finance Officers or a subordinate authorized to make the investment; and (4) provide that payment of the Authority's funds shall be made only upon delivery of the purchased obligations.

(c) The Finance Officers are further authorized to purchase securities subject to a repurchase agreement in accordance with the procedures enumerated in Section XII of this Policy.

XII. PROCEDURES FOR REPURCHASE AGREEMENTS

The Finance Officers are authorized to purchase and sell securities pursuant to repurchase agreements subject to the following restrictions:

- (1) The Authority shall only enter into repurchase agreements with a Bank, broker or dealer approved by the Finance Officers pursuant to Section IX of this Policy, subject to any trading limits established for each Bank, broker or dealer;
- (2) No repurchase transaction shall be entered into with any Bank, broker or dealer until the Authority has entered into a written master repurchase agreement with such Bank, broker or dealer;
- (3) Only securities enumerated in paragraphs (i) and (ii) of Section VI shall be purchased pursuant to the repurchase agreement;
- (4) Securities purchased pursuant to the repurchase agreement shall be held by a Custodian, other than the Bank, broker or dealer acting as seller under the repurchase agreement, pursuant to a written custody agreement;
- (5) The price paid for the securities shall not be in excess of the market value of the securities being purchased plus any accrued interest not reflected in the market price.

Master repurchase agreements between the Authority and its trading partners shall: (1) contain procedures which ensure that the Authority obtains a perfected security interest in the purchased securities; (2) define events of default; (3) prohibit the trading partner from substituting securities for the purchased securities during the term of the repurchase agreement except with the express consent of the Authority; (4) limit the term of a specific repurchase transaction to a period of not more than thirty (30) days; (5) contain appropriate margin requirements and procedures for timely correction of margin deficiencies or excesses; (6) provide that the Authority shall not make payment for the purchased securities until they are received by the Custodian and that the Custodian shall not transfer the purchased securities to the trading partner until the Authority has received the repurchase price in immediately available funds; (7) require that the terms of all specific repurchase transactions, including rate, price and a description of the specific securities being purchased, be confirmed in writing; (8) provide that

all specific repurchase transactions shall be subject to the terms of the master repurchase agreement; and, (9) contain such other provisions as are deemed necessary and appropriate. The written custody agreement shall, to the extent applicable, comply with the requirements of paragraph (b) of Section X of this Policy.

XIII. LEGAL REVIEW

All security agreements, custody agreements and repurchase agreements shall be reviewed by an attorney retained for the purpose of determining their compliance with the requirements of this Policy.

XIV. INVESTMENT REPORTS

(a) The Finance Officers shall prepare and file with the Board on a quarterly basis a report showing: (a) any new investments made during the quarter; (b) any investments sold during the quarter, including the gain or loss thereon; (c) an inventory of existing investments (including the name of the investment, the cost of the investment, the stated interest rate and yield to maturity of the investment, the maturity date of the investment, and the current market value of the investment if less than cost); and (d) the list of approved Banks and trading partners required to be maintained pursuant to Section IX of this Policy.

(b) The Finance Officers shall annually prepare and submit to the Board for approval a report which includes: (1) a copy of this Policy; (2) an explanation of this Policy and any recommendations by the Finance Officers for changes to this Policy; (3) an annual independent audit; (4) the investment results obtained by the Authority during the annual period covered by the report (including interest income, realized and unrealized gains and losses, average weighted maturity and weighted average net yield); and (5) a list of total fees, commissions or other charges paid to each investment banker, broker, agent, dealer and provider rendering investment associated services to the Authority. Such Annual Report, as approved by the

Board, shall be submitted to the County Executive of Suffolk County and the New York State Department of Audit and Control.

XIII. INCONSISTENCY WITH RESOLUTION

Neither this Policy nor the Procedures adopted hereunder shall be construed to authorize any deposit or investment that is not permitted under the Bond Resolution adopted by the Authority on September 27, 1988, as amended October 27, 1988, March 30, 1993 and November 29, 1994 ("Resolution") and in the event of any such inconsistency, the Resolution shall govern.

SUFFOLK COUNTY WATER AUTHORITY

Amendment to the Investment Guidelines Policy of the Authority
Fiscal Year Ending May 31, 2013

&

Explanations Issued

NONE

SUFFOLK COUNTY WATER AUTHORITY

Schedule of Investments

May 31, 2013

(With Independent Auditors' Report and Report on Internal Control
and Compliance Thereon)

SUFFOLK COUNTY WATER AUTHORITY

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Independent Auditors' Report

The Members
Suffolk County Water Authority:

Report on the Schedule of Investments

We have audited the accompanying schedule of investments of the Suffolk County Water Authority as of May 31, 2013, and the related notes (the Schedule).

Management's Responsibility for the Schedule

Management is responsible for the preparation and fair presentation of the Schedule in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the Schedule that is free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on this schedule based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the Schedule is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Schedule. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the Schedule, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the Schedule in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the Schedule.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the schedule referred to above presents fairly, in all material respects, the investments of the Authority as of May 31, 2013, in accordance with U.S. generally accepted accounting principles.

Other Matter

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the Suffolk County Water Authority as of and for the year ended May 31, 2013, and our report thereon, dated August 26, 2013, expressed an unmodified opinion on those financial statements.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated August 26, 2013, on our consideration of the Authority's internal control over reporting of the schedule of investments and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over reporting of the schedule of investments and compliance and the results of that testing, and not to provide an opinion on internal control over reporting of the schedule of investments or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over reporting of the schedule of investments and compliance.

KPMG LLP

August 26, 2013

SUFFOLK COUNTY WATER AUTHORITY

Schedule of Investments

May 31, 2013

(In thousands)

Unrestricted:		
Operating, general, and revenue funds	\$	72,293
Restricted:		
Debt service reserve and bond funds		87,055
Construction fund		<u>44,576</u>
Total restricted funds		<u>131,631</u>
Total investments	\$	<u><u>203,924</u></u>

See accompanying notes to schedule of investments.

SUFFOLK COUNTY WATER AUTHORITY

Notes to Schedule of Investments

May 31, 2013

(1) **Organization and Functions of the Authority**

Suffolk County Water Authority (the Authority) is a public benefit corporation, created by resolution of the Suffolk County Board of Supervisors in 1937, with a two-fold purpose. The first was to acquire, construct, maintain, and operate a public water supply for Suffolk County. The second was to develop a single, integrated public water supply and distribution system to serve all of Suffolk County. The accounts of the Authority are maintained generally in accordance with the Uniform System of Accounts prescribed by the New York State Public Service Commission (PSC), although the Authority is not subject to PSC rules and regulations. The rates established by the Authority do not require PSC or Suffolk County Legislative approval.

(2) **Summary of Significant Accounting Policies for Investments**

(a) *Investments*

All investments with original maturities of longer than 90 days are reported as investments and are carried at fair value, except for investment agreements and certificates of deposit. Investment agreements, which can take the form of open-time deposits or fixed repurchase agreements, are reported at an amount equal to principal and accrued interest. Certificates of deposit are valued at cost, which approximates fair value.

(b) *Investments Held for Debt Service*

In accordance with the 1988 General Bond Resolution, as amended (the Resolution), the Authority maintains a debt service reserve. The reserve is held by a fiscal agent.

Investments held for debt service reserve and bond funds are used solely for the purpose of paying the principal and interest on the bonds, and for retiring the bonds prior to maturity. Amounts in the debt service and bond funds are invested in U.S. Treasury notes and U.S. government securities.

(c) *Investments Held for Construction*

In accordance with the Resolution, monies held for construction in the construction fund are for the costs of acquiring, constructing, and replacing the water system.

SUFFOLK COUNTY WATER AUTHORITY

Notes to Schedule of Investments

May 31, 2013

(3) Investments

Investments consist of the following at May 31, 2013:

	<u>Fair value</u>	<u>Investment maturities at May 31, 2013 (in years)</u>		
		<u>Less than 1</u>	<u>1 to 5</u>	<u>Greater than 5</u>
		(In thousands)		
U.S. Treasury notes (1)	\$ 2,863	1,001	1,253	609
U.S. Treasury bonds (1)	2,906	—	—	2,906
FNMA's (1)	40,718	810	34,909	4,999
FHLB notes (1)	52,923	40,033	3,020	9,870
FHLMC notes	14,992	—	14,992	—
FFCB notes	45,525	—	45,525	—
Money market (1)	43,765	43,765	—	—
Guaranteed investment contracts (1)	232	232	—	—
Total investments	\$ 203,924	85,841	99,699	18,384

(1) Includes approximately \$87.9 million of investments held by Fiscal Agent in the Authority's name at May 31, 2013.

Investment breakdown (in thousands):

Restricted for:

Debt service \$ 87,055

Construction 44,576

Unrestricted 72,293

Total investments \$ 203,924

Accrued interest on investments is included in interest and other receivables on the Authority's statements of net position. Investments bear interest rates that range from 0.10% to 4.60%.

The Authority's investment policy states that securities underlying repurchase agreements must have a market value at least equal to the cost of the investment. All investments are either insured or registered and held by the Authority or its agent in the Authority's name.

SUFFOLK COUNTY WATER AUTHORITY

Notes to Schedule of Investments

May 31, 2013

Investments include U.S. Treasury obligations, U.S. government sponsored entity securities, guaranteed investment contracts, and repurchase agreements backed by such obligations. Investments are reported at fair value, except for investment agreements and certificates of deposit. Investment agreements, which can take the form of open-time deposits or fixed repurchase agreements, are reported at an amount equal to principal and accrued interest. Certificates of deposit are valued at cost.

Interest Rate Risk: The Authority's investment policy does not include limits on investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates.

Credit Risk: It is part of the Authority's investment policy to safeguard the principal of its investments while obtaining the highest interest rate possible that is consistent with this and other components of its policy. Towards that end, permitted investments include but are not limited to federally backed securities or obligations of any state of the United States of America or any political subdivision rated by at least two nationally recognized bond rating agencies. As of May 31, 2013, the Authority's investments in Federal National Mortgage Association, Federal Home Loan Bank, FDIC Guaranteed Bonds, Federal Farm Credit Bank Notes, and the Federal Home Loan Mortgage Corporation were rated AAA by Moody's Investors Service and AAA by Standard & Poor's and Fitch Ratings. There were no investments in obligations of any state of the United States of America or any political subdivision.

Concentration of Credit Risk: The Authority places no limit on the amount the Authority may invest in anyone issuer. More than 5% of the Authority's investments are in Federal National Mortgage Association, Federal Home Loan Bank, Federal Home Loan Mortgage Corporation, Federal Farm Credit Bank, and Money Market Accounts. These investments are 20%: \$(40.7 million), 26%: \$(52.9 million), 7%: \$(15.0 million), 22%: \$(45.5 million), and 21%: \$(43.8 million), respectively, of the Authority's total investments.

**Report on Internal Control over Reporting of the Schedule of Investments and on Compliance
and Other Matters Based on an Audit of the Schedule of Investments Performed in
Accordance with *Government Auditing Standards***

The Members
Suffolk County Water Authority:

We have audited the Schedule of Investments as of May 31, 2013 (the Schedule) and the related notes to the Schedule, and have issued our report thereon dated August 26, 2013. We conducted our audit in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the standards for financial and compliance audits contained in the Investment Guidelines for Public Authorities, issued by the Office of the State Comptroller of the State of New York.

Internal Control Over Financial Reporting

In planning and performing our audit of the Schedule, we considered the Authority's internal control over reporting of the Schedule (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the Schedule, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Schedule is free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements, and investment guidelines, noncompliance with which could have a direct and material effect on the determination of the Schedule amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Authority's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

KPMG LLP

August 26, 2013

SUFFOLK COUNTY WATER AUTHORITY

STATEMENT OF INVESTMENTS

JUNE 2012 – MAY 2013

SUFFOLK COUNTY WATER AUTHORITY

Fees for Investment Services
Fiscal Year Ending May 31, 2013

Cutwater Asset Management - \$17,177.65

SUFFOLK COUNTY WATER AUTHORITY

STATEMENT OF MATURED INVESTMENTS

JUNE 2012 – MAY 2013

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
June-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
OPERATING FUND						
FDIC-Goldman Sacs - Maturity	Morgan Keegan	\$ 5,000,000.00	1-Dec-08	15-Jun-12	3.250%	\$ 81,250.00
GENERAL FUND						
FNMA Notes - Called	Morgan Keegan	\$ 2,000,000.00	6-Dec-11	6-Dec-13	0.750%	\$ 7,500.00
FFCB Notes - Coupon Interest	Merrill Lynch	\$ 3,000,000.00	14-Mar-12	12-Jun-14	0.390%	\$ 2,925.00
FNMA Notes - Called	HSBC Brokerage	\$ 2,500,000.00	21-Sep-11	21-Sep-16	1.350%	\$ 8,437.50
FHLB Notes - Maturity	Cutwater Asset Management	\$ 500,000.00	28-Jul-10	8-Jun-12	1.375%	\$ 3,437.50
FHLB Notes - Called	Cutwater Asset Management	\$ 1,000,000.00	15-Jun-11	8-Jun-15	1.875%	\$ 9,375.00
FHLB Notes - Called	Cutwater Asset Management	\$ 500,000.00	27-Jun-11	27-Sep-13	0.700%	\$ 1,750.00
						\$ -
DEBT SERVICE COLLATERAL FUNDS-658451 & 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 1,628,987.33	25-May-12	01-Jun-12	0.060%	\$ 12.67
FHLB Discount Notes	BNY	\$ 10,412,919.01	25-May-12	01-Jun-12	0.060%	\$ 80.99
BOND FUND - 1998 (2011A) EFC CONST & RES ACCOUNT-647403- 165120						
US Treasury SLGS	BNY	\$ 243,889.00	15-Mar-11	15-Dec-17	2.360%	\$ 2,877.89
BOND FUND - 2000A (2011A) EFC DEBT SERVICE RESERVE ACCOUNT-647767-165110						
US Treasury SLGS	BNY	\$ 46,667.00	15-Mar-11	15-Dec-19	2.360%	\$ 550.67
BOND FUND - 2002A EFC BOND RESERVE ACCOUNT-296010-165260						
US Treasury Bond SLGS -call for redemption	BNY	\$ 1,083,933.00	01-Apr-02	15-Oct-22	4.271%	\$ 259,882.68
						<u>\$ 378,079.90</u>

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
July-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FHLB Step Notes - Called	Morgan Keegan	\$ 5,000,000.00	30-Sep-11	30-Sep-16	1.125%	\$ 14,062.50
FHLMC Notes - Coupon interest	Morgan Keegan	\$ 5,000,000.00	9-Jan-12	9-Jan-14	0.550%	\$ 13,750.00
FHLB Step Notes - Called	Cutwater Asset Management	\$ 1,000,000.00	12-Apr-12	12-Oct-16	1.000%	\$ 2,500.00
FNMA Notes - Coupon Interest	HSBC Brokerage	\$ 3,000,000.00	25-Jan-12	25-Jul-17	1.250%	\$ 18,750.00
FNMA Notes - Called	Cutwater Asset Management	\$ 500,000.00	25-Jul-11	25-Jul-14	1.150%	\$ 2,875.00
FHLB Step Notes - Called	Morgan Keegan	\$ 3,000,000.00	25-Apr-12	25-Apr-16	0.500%	\$ 3,750.00
FHLB Step Notes - Called	Morgan Stanley	\$ 3,000,000.00	26-Apr-12	26-Oct-18	1.000%	\$ 7,500.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	10-Feb-12	30-Jan-15	0.750%	\$ 3,750.00
FHLB Step Notes - Called	HSBC Brokerage	\$ 3,000,000.00	30-Apr-12	30-Oct-18	1.000%	\$ 7,500.00
T-Note - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	1-Aug-11	31-Jul-13	0.375%	\$ 1,875.00
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 2,203,908.17	25-Jun-12	25-Jul-12	0.040%	\$ 91.83
FHLB Discount Notes	BNY	\$ 270,988.71	25-Jun-12	25-Jul-12	0.040%	\$ 11.29
BOND FUND - 2000B (2011A) EFC BOND RESERVE ACCOUNT-647904-165180						
US Treasury SLGS	BNY	\$ 318,889.00	15-Mar-11	15-Jul-20	2.360%	\$ 3,762.89
BOND FUND - 2001B (2011C) EFC BOND RESERVE ACCOUNT-648252-165200						
FHLB Discount Notes	BNY	\$ 643,771.10	18-Jul-11	12-Jul-13	0.180%	\$ 1,228.90
BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-296012-165280						
FHLB Discount Notes	BNY	\$ 447,146.44	18-Jul-11	13-Jul-12	0.180%	\$ 853.56
BOND FUND - EFC 2003B CONSTRUCTION ACCOUNT -296020-165300						
FHLB Discount Notes	BNY	\$ 808,938.65	19-Jan-12	19-Jul-12	0.010%	\$ 61.35
BOND FUND - 2003B EFC BOND RESERVE ACCOUNT-296019-165310						
US Treasury SLGS	BNY	\$ 913,078.00	24-Jul-03	15-Jan-23	3.666%	\$ 16,735.81
						\$ 99,058.13

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
August-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FNMA Step Notes - Coupon Interest	Morgan Stanley	\$ 5,000,000.00	30-Jan-12	30-Oct-17	1.000%	\$ 25,000.00
FHLMC Notes - Coupon Interest	Morgan Stanley	\$ 5,000,000.00	10-Feb-12	10-Aug-16	1.050%	\$ 26,250.00
FHLB Floater Notes - Called	Morgan Stanley	\$ 1,400,000.00	10-May-12	10-May-22	1.500%	\$ 5,250.00
FNMA Notes - Coupon Interest	Morgan Stanley	\$ 5,000,000.00	22-Feb-12	22-Aug-17	0.750%	\$ 18,750.00
FNMA Notes - Called	HSBC Brokerage	\$ 2,000,000.00	24-Aug-11	24-Aug-15	1.050%	\$ 10,500.00
FNMA Notes - Called	Cutwater Asset Management	\$ 500,000.00	24-Aug-11	24-Aug-15	1.050%	\$ 2,625.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	24-Feb-12	24-Feb-16	1.000%	\$ 5,000.00
T-Note - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	8-Sep-11	31-Aug-16	1.000%	\$ 2,500.00
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 4,408,742.81	25-Jul-12	24-Aug-12	0.070%	\$ 257.19
FHLB Discount Notes	BNY	\$ 542,968.33	25-Jul-12	24-Aug-12	0.070%	\$ 31.67
BOND FUND - 2001B (2011C) EFC BOND RESERVE ACCOUNT-648252-165200						
FHLB Discount Notes	BNY	\$ 276,982.76	13-Jul-12	10-Aug-12	0.080%	\$ 17.24
BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-296012-165280						
FHLB Discount Notes	BNY	\$ 140,991.23	13-Jul-12	10-Aug-12	0.070%	\$ 8.77
BOND FUND - 2004A EFC RESERVE ACCOUNT-296124-165330						
US Treasury Bond - SLGS	BNY	\$ 660,544.00	24-Jul-04	15-Aug-24	4.635%	\$ 15,308.77
						\$ 111,498.64

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
September-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
OPERATING FUND						
FNMA Notes - Called	Merrill Lynch	\$ 5,000,000.00	26-Sep-11	26-Mar-15	0.850%	\$ 21,250.00
GENERAL FUND						
FNMA Step Notes - Called	Morgan Keegan	\$ 5,000,000.00	9/14/2011	9/14/2016	1.050%	\$ 26,250.00
FNMA Notes - Coupon Interest	HSBC Brokerage	\$ 2,500,000.00	9/28/2011	9/28/2016	1.320%	\$ 16,500.00
FNMA Notes - Coupon Interest	Morgan Keegan	\$ 5,000,000.00	9/28/2011	9/28/2016	1.320%	\$ 33,000.00
FHLB Notes - Called	Cutwater Asset Management	\$ 500,000.00	8/24/2010	9/14/2012	2.000%	\$ 5,000.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	9/28/2011	9/8/2014	1.500%	\$ 7,500.00
FNMA Step Notes - Coupon Interest	Cutwater Asset Management	\$ 1,500,000.00	3/6/2012	3/6/2015	0.450%	\$ 3,375.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	6/11/2012	3/21/2016	1.000%	\$ 5,000.00
DEBT SERVICE COLLATERAL FUNDS-658451 & 658450 - 165010 & 165020						
FHLB Discount Notes	BNY	\$ 6,613,706.04	24-Aug-12	25-Sep-12	0.050%	\$ 293.96
FHLB Discount Notes	BNY	\$ 813,963.82	24-Aug-12	25-Sep-12	0.050%	\$ 36.18
BOND FUND - 2001B (2011C) EFC BOND RESERVE ACCOUNT-648252-165200						
FHLB Discount Notes	BNY	\$ 276,985.69	10-Aug-12	10-Sep-12	0.050%	\$ 14.31
BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-296012-165280						
FHLB Discount Notes	BNY	\$ 141,992.66	10-Aug-12	10-Sep-12	0.060%	\$ 7.34
						\$ 118,226.79

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
October-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FHLB Step Notes - Coupon Interest	Morgan Stanley	\$ 4,000,000.00	10-Jul-12	10-Jul-20	1.300%	\$ 13,000.00
FNMA Notes - Coupon Interest	Morgan Keegan	\$ 2,000,000.00	11-Oct-11	11-Oct-16	1.350%	\$ 13,500.00
FHLB Notes - Called	Morgan Stanley	\$ 2,000,000.00	13-Jun-12	13-Dec-19	2.000%	\$ 14,333.33
FHLB Notes - Coupon Interest	Morgan Keegan	\$ 3,000,000.00	24-Jul-12	24-Oct-16	0.890%	\$ 6,675.00
FNMA Step Notes - Coupon Interest	Merrill Lynch	\$ 3,000,000.00	26-Apr-12	26-Apr-16	0.625%	\$ 9,375.00
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 7,162,994.03	25-Sep-12	25-Oct-12	0.000%	\$ 5.97
FHLB Discount Notes	BNY	\$ 1,085,999.10	25-Sep-12	25-Oct-12	0.000%	\$ 0.90
BOND FUND - 1999A (2010C) EFC Debt Service Reserve-647492-165130						
US Treasury SLGS	BNY	\$ 290,275.00	24-Jun-10	01-Oct-18	2.980%	\$ 4,325.10
BOND FUND - 2001B (2011C) EFC BOND RESERVE ACCOUNT-648252-165200						
FHLB Discount Notes	BNY	\$ 276,982.15	11-Sep-12	10-Oct-12	0.070%	\$ 17.85
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT-296010-165260						
US Treasury Bond SLGS	BNY	\$ 452,319.00	21-Jun-12	15-Oct-22	1.760%	\$ 2,523.10
BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-296012-165280						
FHLB Discount Notes	BNY	\$ 141,990.85	11-Sep-12	10-Oct-12	0.060%	\$ 9.15
BOND FUND - 2005 EFC BOND RESERVE ACCOUNT-295998-165340						
US Treasury Bond SLGS	BNY	\$ 704,736.00	28-Jul-05	01-Oct-25	3.802%	\$ 13,397.84
						<u>\$ 77,163.24</u>

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
November-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FFCB Notes - Called	Cutwater Asset Management	\$ 500,000.00	21-Nov-11	21-Nov-14	0.730%	\$ 1,825.00
T-Note - Maturity	Cutwater Asset Management	\$ 500,000.00	15-Dec-10	30-Nov-12	0.500%	\$ 1,250.00
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 9,301,400.54	25-Oct-12	23-Nov-12	0.080%	\$ 599.46
FHLB Discount Notes	BNY	\$ 1,357,912.48	25-Oct-12	23-Nov-12	0.080%	\$ 87.52
BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040						
FHLB Step Notes	BNY	\$ 835,000.00	24-May-12	24-May-16	0.650%	\$ 2,713.75
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090						
US Treasury Bond-SLGS	BNY	\$ 128,973.00	15-Mar-11	15-May-21	2.360%	\$ 1,521.88
BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050						
FHLB Discount Notes	BNY	\$ 8,750,000.00	24-May-12	24-May-16	0.650%	\$ 28,437.50
BANS PAYMENT FUND - BANS 2008-392978, 165430						
US Treasury Bills	BNY	\$ 69,999,297.62	04-Oct-12	01-Nov-12	0.060%	\$ 3,703.62
BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500						
FHLB Step Notes	BNY	\$ 6,665,000.00	24-May-12	24-May-16	0.650%	\$ 21,661.25
BOND FUND - SERIES 2005C RESERVE ACCOUNT , 296134, GL 165360						
FHLB Step Notes	BNY	\$ 6,870,000.00	24-May-12	24-May-16	0.650%	\$ 22,327.50
BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370						
FHLB Step Notes	BNY	\$ 6,845,000.00	24-May-12	24-May-16	0.650%	\$ 22,246.25
BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400						
FHLB Step Notes	BNY	\$ 3,815,000.00	24-May-12	24-May-16	0.650%	\$ 12,398.75
BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450						
FHLB Step Notes	BNY	\$ 1,495,000.00	24-May-12	24-May-16	0.650%	\$ 4,858.75
BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480						
FHLB Step Notes	BNY	\$ 5,725,000.00	24-May-12	24-May-16	0.650%	\$ 18,606.25
BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490						
FHLB Step Notes	BNY	\$ 9,245,000.00	24-May-12	24-May-16	0.650%	\$ 30,046.25
BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580						
FHLB Discount Notes	BNY	\$ 9,353,382.87	24-Jan-12	01-Jul-13	0.330%	\$ 15,411.00
						<u>\$ 187,694.73</u>

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
December-12

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FHLMC Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	11-Jun-12	07-Jun-17	1.150%	\$ 5,750.00
FFCB Notes- Coupon Interest	Merrill Lynch	\$ 3,000,000.00	14-Mar-12	12-Jun-14	0.390%	\$ 5,850.00
FNMA Step Notes - Coupon Interest	HSBC Brokerage	\$ 5,000,000.00	29-Jun-12	27-Dec-19	1.000%	\$ 25,000.00
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 11,334,968.51	23-Nov-12	03-Dec-12	0.000%	\$ 31.49
FNMA Discount Notes	BNY	\$ 432,983.16	05-Dec-12	19-Dec-12	0.000%	\$ 16.84
FHLB Discount Notes	BNY	\$ 1,628,995.48	23-Nov-12	03-Dec-12	0.000%	\$ 4.52
BOND FUND - 1998 (2011A) EFC CONST & RES ACCOUNT-647403- 165120						
US Treasury SLGS	BNY	\$ 243,889.00	15-Mar-11	15-Dec-17	2.360%	\$ 2,877.89
BOND FUND - 2000A (2011A) EFC DEBT SERVICE RESERVE ACCOUNT-647767-165110						
US Treasury SLGS	BNY	\$ 46,667.00	15-Mar-11	15-Dec-19	2.360%	\$ 550.67
BOND FUND - EFC 2003B CONSTRUCTION ACCOUNT -296020-165300						
FHLB Discount Notes	BNY	\$ 809,520.30	20-Jul-12	31-Dec-12	0.120%	\$ 479.70
						\$ 40,561.11

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
January-13

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
OPERATING FUND						
FHLMC Notes - Coupon Interest	Wells Fargo	\$ 5,000,000.00	27-Jul-12	27-Jul-17	1.000%	\$ 25,000.00
GENERAL FUND						
FHLMC Notes Called	Morgan Keegan	\$ 5,000,000.00	09-Jan-12	09-Jan-14	0.550%	\$ 13,750.00
FHLB Step Notes - Called	Morgan Stanley	\$ 4,000,000.00	10-Jul-12	10-Jul-20	1.300%	\$ 13,000.00
FFCB Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	12-Jul-12	11-Jul-16	0.790%	\$ 3,950.00
FNMA Notes - Called	HSBC Brokerage	\$ 3,000,000.00	25-Jan-12	25-Jul-17	1.250%	\$ 18,750.00
FNMA Step Notes - Called	Morgan Stanley	\$ 5,000,000.00	30-Jan-12	30-Oct-17	1.000%	\$ 25,000.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	10-Feb-12	30-Jan-15	0.750%	\$ 3,750.00
T- Note - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	01-Aug-11	31-Jul-13	0.375%	\$ 1,875.00
DEBT SERVICE COLLATERAL FUNDS-658451 & 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 2,466,964.02	21-Dec-12	25-Jan-13	0.000%	\$ 35.98
FHLB Discount Notes	BNY	\$ 270,996.05	21-Dec-12	25-Jan-13	0.000%	\$ 3.95
BOND FUND - 2000B (2011A) EFC BOND RESERVE ACCOUNT-647904-165180						
US Treasury SLGS	BNY	\$ 318,889.00	15-Mar-11	15-Jul-20	2.360%	\$ 3,762.89
BOND FUND - 2003B EFC BOND RESERVE ACCOUNT-296019-165310						
US Treasury SLGS	BNY	\$ 913,078.00	24-Jul-03	15-Jan-23	3.666%	\$ 16,735.81
						\$ 125,613.63

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
February-13

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FNMA Step Notes - Called	Morgan Stanley	\$ 5,000,000.00	22-Feb-12	22-Aug-17	0.714%	\$ 18,750.00
FHLMC Notes - Coupon Interest	Morgan Stanley	\$ 5,000,000.00	10-Feb-12	10-Aug-16	0.801%	\$ 26,250.00
T-Note - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	08-Sep-11	31-Aug-16	1.000%	\$ 2,500.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	24-Feb-12	16-Feb-16	1.000%	\$ 5,000.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	30-Aug-12	28-Aug-17	1.050%	\$ 2,625.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	08-Aug-12	08-Aug-17	1.000%	\$ 2,500.00
T-Note - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	08-Feb-13	08-Feb-17	0.875%	\$ 2,187.50
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 4,499,922.50	25-Jan-13	25-Feb-13	0.020%	\$ 77.50
FHLB Discount Notes	BNY	\$ 542,990.65	25-Feb-13	25-Feb-13	0.010%	\$ 9.35
BOND FUND - 2004A EFC RESERVE ACCOUNT-296124-165330						
US Treasury SLGS	BNY	\$ 660,544.80	24-Jul-04	15-Aug-24	4.635%	\$ 15,308.77
						<u>\$ 75,208.12</u>

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
March-13

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FFCB Notes - Called	Merrill Lynch	\$ 3,000,000.00	14-Mar-12	12-Jun-14	0.390%	\$ 2,892.50
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	28-Sep-11	08-Sep-14	1.500%	\$ 7,500.00
FHLB Note - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	17-Sep-12	09-Sep-16	2.000%	\$ 5,000.00
FNMA Step Notes - Called	Cutwater Asset Management	\$ 1,500,000.00	06-Mar-12	06-Mar-15	0.450%	\$ 3,375.00
FNMA Notes - Called	HSBC Brokerage	\$ 2,500,000.00	28-Sep-11	28-Sep-16	1.320%	\$ 16,500.00
FNMA Notes - Called	Morgan Keegan	\$ 5,000,000.00	28-Sep-11	28-Sep-16	1.320%	\$ 33,000.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	11-Jun-12	21-Mar-16	0.700%	\$ 5,000.00
DEBT SERVICE COLLATERAL FUNDS-658451 & 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 6,055,769.67	25-Feb-13	25-Mar-13	0.000%	\$ 230.33
FHLB Discount Notes	BNY	\$ 542,990.65	25-Feb-13	25-Mar-13	0.000%	\$ 31.69
BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-296012-165280						
FHLB Discount Note	BNY	\$ 141,920.95	28-Mar-13	25-Sep-13	0.090%	\$ 79.05
						<u>\$ 73,608.57</u>

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
April-13

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FHLB Notes - Called	Morgan Keegan	\$3,000,000.00	24-Jul-12	24-Oct-16	0.890%	\$12,830.83
FNMA Notes - Called	Merril Lynch	\$3,000,000.00	26-Apr-12	26-Apr-16	1.150%	\$ 9,375.00
FNMA Note - Coupon Interest	Morgan Keegan	\$2,000,000.00	11-Oct-11	11-Oct-16	1.080%	\$13,500.00
FNMA Step Notes - Coupon Interest	Morgan Stanley	\$2,000,000.00	06-Mar-12	06-Mar-15	0.450%	\$ 5,000.00
DEBT SERVICE COLLATERAL FUNDS-658451 & 658450 - 165010 & 020						
FHLMC Discount Notes	BNY	\$7,942,783.77	25-Mar-13	22-Apr-13	0.000%	\$ 216.23
FHLMC Discount Notes	BNY	\$1,085,970.44	25-Mar-13	22-Apr-13	0.000%	\$ 29.56
BOND FUND - 1999A (2010C) EFC Debt Service Reserve-647492-165130						
US Treasury SLGS	BNY	\$ 290,275.00	24-Jun-10	01-Oct-18	2.980%	\$ 4,325.10
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT-296010-165260						
US Treasury Bond SLG	BNY	\$ 452,319.00	21-Jun-12	15-Oct-22	1.760%	\$ 3,980.41
BOND FUND - 2005 EFC BOND RESERVE ACCOUNT-295998-165340						
US Treasury Bond SLUG	BNY	\$ 704,736.00	28-Jul-05	01-Oct-25	3.802%	\$13,397.84
BOND FUND - SERIES 2005C RESERVE ACCOUNT . 296134, GL 165360						
FHLB Step Notes	BNY	\$6,870,026.61	24-May-12	01-Mar-13	0.650%	\$12,032.04
BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550						
FHLB Discount Notes	BNY	\$2,423,826.54	06-Apr-11	04-Apr-13	0.160%	\$ 4,173.46
BOND FUND - Various						
Various investments	BNY		Various dates			\$ 26.61
						<u>\$78,887.08</u>

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
May-13

	BANK	INVESTMENT	FROM	TO	YIELD	INTEREST
GENERAL FUND						
FNMA Step Notes - Coupon Interest	Wells Fargo	\$ 2,000,000.00	01-Nov-12	01-Nov-16	0.400%	\$ 4,000.00
FNMA Notes - Coupon Interest	Raymond James	\$ 2,000,000.00	06-Nov-12	06-Nov-15	0.500%	\$ 5,000.00
FNMA Step Notes - Coupon Interest	Wells Fargo	\$ 2,500,000.00	13-Nov-12	13-Nov-17	0.625%	\$ 7,812.50
FHLB Step Notes - Called	Morgan Stanley	\$ 6,000,000.00	15-Feb-13	15-Feb-18	0.750%	\$ 11,250.00
FNMA Step Notes - Coupon Interest	Raymond James	\$ 2,000,000.00	21-Nov-12	21-Nov-17	0.750%	\$ 7,500.00
DEBT SERVICE COLLATERAL FUNDS-658451& 658450 - 165010 & 020						
FHLB Discount Notes	BNY	\$ 9,829,841.63	25-Apr-13	24-May-13	0.000%	\$ 158.37
FHLB Discount Notes	BNY	1,327,978.12	25-Apr-13	24-May-13	0.000%	21.88
BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040						
FHLB Step Notes - Called	BNY	\$ 835,000.00	24-May-12	23-May-13	0.650%	\$ 2,713.75
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090						
US Treasury Bond-SLGS	BNY		15-Mar-11	15-May-21	2.360%	\$ 1,521.88
BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050						
FHLB Step Notes - Called	BNY	\$ 8,750,000.00	24-May-12	23-May-13	0.650%	\$ 28,437.50
BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500						
FHLB Step Notes - Called	BNY	\$ 6,665,000.00	24-May-12	23-May-13	0.650%	\$ 21,661.25
BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370						
FHLB Step Notes - Called	BNY	\$ 6,845,000.00	24-May-12	23-May-13	0.650%	\$ 22,246.25
BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400						
FHLB Step Notes - Called	BNY	\$ 3,815,000.00	24-May-12	23-May-13	0.650%	\$ 12,398.75
BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450						
FHLB Step Notes - Called	BNY	\$ 1,495,000.00	24-May-12	23-May-13	0.650%	\$ 4,858.75
BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480						
FHLB Step Notes - Called	BNY	\$ 5,725,000.00	24-May-12	24-May-13	0.650%	\$ 18,606.25
BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490						
FHLB Step Notes - Called	BNY	\$ 9,245,000.00	24-May-12	23-May-13	0.650%	\$ 30,046.25
BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580						
FHLB Discount Notes	BNY	\$ 9,353,382.87	24-Jan-12	01-Jul-13	0.330%	\$ 15,411.00
BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620						
FHLB Discount Notes	BNY	\$ 7,048,911.14	04-Oct-12	31-May-13	0.130%	\$ 6,088.86
						<u>\$ 199,733.24</u>

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS

June-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>OPERATING FUND</u>						
FNMA Notes	Merrill Lynch	26-Sep-11	26-Mar-15	\$ 5,000,000.00	5,004,590.00	0.850%
<u>GENERAL FUND</u>						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,003,750.00	0.550%
FFCB Notes	Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,039.00	0.390%
FNMA Notes	HSBC Brokerage	24-Aug-11	24-Aug-15	\$ 2,000,000.00	2,001,880.00	1.050%
FHLB Step Notes	Morgan Keegan	25-Apr-12	25-Apr-16	\$ 3,000,000.00	2,999,190.00	0.500%
FNMA Step Notes	Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	2,999,457.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,036,400.00	1.050%
FNMA Step Notes	Morgan Keegan	14-Sep-11	14-Sep-16	\$ 5,000,000.00	5,007,400.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,514,475.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,034,050.00	1.320%
FHLB Step Notes	Morgan Keegan	30-Sep-11	30-Sep-16	\$ 5,000,000.00	5,000,100.00	1.125%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,017,820.00	1.350%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,011,160.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,006,850.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,006,500.00	1.000%
FHLB Step Notes	Morgan Stanley	26-Apr-12	26-Oct-18	\$ 3,000,000.00	3,001,020.00	1.000%
FHLB Step Notes	HSBC Brokerage	30-Apr-12	30-Oct-18	\$ 3,000,000.00	3,001,470.00	1.000%
FHLB Notes	Morgan Stanley	13-Jun-12	13-Dec-19	\$ 2,000,000.00	1,996,260.00	2.000%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,000,200.00	1.000%
FHLB Floater Notes	Morgan Stanley	10-May-12	10-May-22	\$ 1,400,000.00	1,397,928.00	1.500%
Various	Cutwater Asset Management			\$ 11,500,000.00	11,546,055.50	
				<u>SUBTOTAL INVESTED FUNDS:</u>	<u>\$ 84,400,000.00</u>	<u>84,586,594.50</u>

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

June-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
	658451						
FHLB Discount Notes		BNY	25-Jun-12	25-Jul-12	\$ 2,203,908.17	\$ 2,203,955.92	0.040%
	658450						
FHLB Discount Notes		BNY	25-Jun-12	25-Jul-12	\$ 270,988.71	\$ 270,994.58	0.040%
Cash (combined total)		BNY		30-Jun-12	1,339.38	1,339.38	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	833,121.25	0.650%
Cash		BNY		30-Jun-12	1,461.37	1,461.37	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		30-Jun-12	0.00	0.00	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		30-Jun-12	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		30-Jun-12	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		30-Jun-12	71,673.63	71,673.63	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		30-Jun-12	71,699.59	71,699.59	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	18-Jul-11	12-Jul-13	643,771.10	644,993.55	0.180%
Cash		BNY		30-Jun-12	277,439.91	277,439.91	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		30-Jun-12	169.94	169.94	
<u>BOND FUND - 2002A EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		30-Jun-12	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		30-Jun-12	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		30-Jun-12	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Notes		BNY	18-Jul-11	13-Jul-12	447,146.44	447,995.52	0.180%
Cash		BNY		30-Jun-12	141,767.64	141,767.64	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,730,312.50	0.650%
Cash		BNY		30-Jun-12	3,809.02	3,809.02	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FHLB Discount Notes		BNY	19-Jan-12	19-Jul-12	808,938.65	808,991.91	0.010%
Cash		BNY		30-Jun-12	1,202.45	1,202.45	
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>							
US Treasury Bond - SLGS		BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash		BNY		30-Jun-12	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>							
US Treasury Bond - SLGS		BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash		BNY		30-Jun-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>							
US Treasury Bond - SLGS		BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash		BNY		30-Jun-12	0.00	0.00	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

June-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,650,003.75	0.65%
Cash	BNY		30-Jun-12	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,854,542.50	0.650%
Cash	BNY		30-Jun-12	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,829,598.75	0.650%
Cash	BNY		30-Jun-12	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,806,416.25	0.650%
Cash	BNY		30-Jun-12	1,660.52	1,660.52	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
Cash	BNY			0.00	0.00	0.000%
	BNY		30-Jun-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,712,118.75	0.650%
Cash	BNY		30-Jun-12	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,224,198.75	0.650%
Cash	BNY		30-Jun-12	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,491,636.25	0.650%
Cash Balance	BNY		30-Jun-12	4,680.05	4,680.05	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
Cash Balance	BNY			0.00	0.00	0.000%
	BNY		30-Jun-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,424,649.36	0.160%
Cash Balance	BNY		30-Jun-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,345,510.60	0.330%
Cash Balance	BNY		30-Jun-12	20,063.83	20,063.83	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 70,810,279.71	\$ 70,692,357.42	
TOTAL INVESTED FUNDS:				\$ 155,210,279.71	\$ 155,278,951.92	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
June-12

		<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>				
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u>	112905			
<u>Money Market</u>	Citibank	10,282,780	10,282,780	0.300%
<u>OPERATING FUND-618-986022</u>	112001			
<u>Money Market</u>	JP Morgan Chase	133,495	133,495	0.100%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911			
<u>Money Market</u>	Capital One	590,191	590,191	0.400%
<u>REVENUE FUND -825-114624</u>	111905			
<u>Money Market</u>	JP Morgan Chase	2,172,461	2,172,461	0.100%
<u>GENERAL FUND-812-003519</u>	112907			
<u>Money Market</u>	JP Morgan Chase	656,857	656,857	0.100%
<u>GENERAL FUND MONEY MKT-616-002548</u>	112002			
<u>Money Market</u>	JP Morgan Chase	57,501,780	57,501,780	0.300%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u>	112904			
<u>Money Market</u>	Valley National Bank	2,003,524	2,003,524	0.250%
<u>OPERATING FUND MONEY MKT- 7047885250</u>	112914			
<u>Money Market</u>	Capital One	14,084,748	14,084,748	0.400%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u>	112919			
<u>Money Market</u>	HSBC	9,873,045	9,873,045	0.150%
<u>OPERATING FUND MONEY MKT - 0282002609</u>	112918			
<u>Money Market</u>	Bridgehampton National Bank	13,502,146	13,502,146	0.200%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u>	112930			
<u>Money Market</u>	1st National Bank of L.I.	2,000,098	2,000,098	0.600%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		<u>\$ 112,801,124.01</u>	<u>\$ 112,801,124.01</u>	
GRAND TOTAL		<u>\$ 268,011,403.72</u>	<u>\$ 268,080,075.93</u>	
TOTAL RESTRICTED FUNDS		92,969,628.07	92,851,705.78	
TOTAL UNRESTRICTED FUNDS		175,041,775.65	175,228,370.15	
GRAND TOTAL		<u>\$ 268,011,403.72</u>	<u>\$ 268,080,075.93</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS
July-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND						
FNMA Notes	Bank of America Merrill Lynch	26-Sep-11	26-Mar-15	\$ 5,000,000.00	5,003,550.00	0.850%
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,014,700.00	1.000%
GENERAL FUND						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,008,400.00	0.550%
FFCB Notes	Bank of America Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,066.00	0.390%
FNMA Notes	HSBC Brokerage	24-Aug-11	24-Aug-15	\$ 2,000,000.00	2,000,860.00	1.050%
FNMA Step Notes	Bank of America Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,003,072.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,047,700.00	1.050%
FNMA Step notes	Morgan Keegan	14-Sep-11	14-Sep-16	\$ 5,000,000.00	5,004,350.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,516,100.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,032,800.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,022,480.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,003,900.00	0.890%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,012,960.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,011,600.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,011,950.00	1.000%
FHLB Notes	Morgan Stanley	13-Jun-12	13-Dec-19	\$ 2,000,000.00	2,002,020.00	2.000%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,010,650.00	1.000%
FHLB Step Notes	Morgan Stanley	10-Jul-12	10-Jul-20	\$ 4,000,000.00	4,003,040.00	1.300%
FHLB Floater Notes	Morgan Stanley	10-May-12	10-May-22	\$ 1,400,000.00	1,402,856.00	1.500%
Various	Cutwater Asset Management	Various	Various	\$ 11,000,000.00	11,062,118.00	
				SUBTOTAL INVESTED FUNDS:	<u>\$ 81,900,000.00</u>	<u>82,175,172.00</u>

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
July-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
FHLB Discount Notes	658451	BNY	25-Jul-12	24-Aug-12	\$ 4,408,742.81	\$ 4,408,911.82	0.070%
FHLB Discount Notes	658450	BNY	25-Jul-12	24-Aug-12	\$ 542,968.33	\$ 542,989.14	0.060%
Cash (combined total)		BNY		31-Jul-12	864.80	864.80	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	836,235.80	0.650%
Cash		BNY		31-Jul-12	1,461.37	1,461.37	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-Jul-12	0.00	0.00	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-Jul-12	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-Jul-12	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-Jul-12	71,673.63	71,673.63	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-Jul-12	71,699.59	71,699.59	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	644,824.28	0.190%
FHLB Discount Notes		BNY	13-Jul-12	10-Aug-12	276,982.76	276,997.23	0.080%
Cash		BNY		31-Jul-12	698.19	698.19	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		31-Jul-12	169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-Jul-12	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
Cash		BNY					0.000%
		BNY		31-Jul-12	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		31-Jul-12	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	447,139.34	447,184.64	0.180%
FHLB Discount Notes		BNY	13-Jul-12	10-Aug-12	140,991.23	140,998.59	0.070%
Cash		BNY		31-Jul-12	1,637.07	1,637.07	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,762,950.00	0.650%
Cash		BNY		31-Jul-12	3,809.02	3,809.02	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FHLB Discount Notes		BNY	20-Jul-12	31-Dec-12	809,520.30	809,586.90	0.120%
Cash		BNY		31-Jul-12	682.15	682.15	
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>							
US Treasury Bond - SLGS		BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash		BNY		31-Jul-12	976.31	976.31	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-Jul-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-Jul-12	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,674,864.20	0.65%
Cash	BNY		31-Jul-12	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,880,167.60	0.650%
Cash	BNY		31-Jul-12	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,855,130.60	0.650%
Cash	BNY		31-Jul-12	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,820,646.20	0.650%
Cash	BNY		31-Jul-12	1,660.52	1,660.52	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
	BNY			0.00	0.00	0.000%
Cash	BNY		31-Jul-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,733,473.00	0.650%
Cash	BNY		31-Jul-12	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,258,682.60	0.650%
Cash	BNY		31-Jul-12	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,497,212.60	0.650%
Cash Balance	BNY		31-Jul-12	4,680.05	4,680.05	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
	BNY			0.00	0.00	0.000%
Cash Balance	BNY		31-Jul-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,425,839.08	0.160%
Cash Balance	BNY		31-Jul-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,348,499.40	0.330%
Cash Balance	BNY		31-Jul-12	20,063.83	20,063.83	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 73,288,763.20	73,360,643.74	
TOTAL INVESTED FUNDS:				\$ 155,188,763.20	155,535,815.74	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
July-12

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u> Money Market	Citibank	112905	10,285,393	10,285,393	0.300%
<u>OPERATING FUND-618-986022</u> Money Market	JP Morgan Chase	112001	825,709	825,709	0.100%
<u>SPECIAL DEPOSIT-1824-000747</u> Money Market	Capital One	112911	551,693	551,693	0.400%
<u>REVENUE FUND -825-114624</u> Money Market	JP Morgan Chase	111905	6,137,683	6,137,683	0.100%
<u>GENERAL FUND-812-003519</u> Money Market	JP Morgan Chase	112907	157,596	157,596	0.100%
<u>GENERAL FUND MONEY MKT-616-002548</u> Money Market	JP Morgan Chase	112002	65,145,004	65,145,004	0.300%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u> Money Market	Valley National Bank	112904	2,003,969	2,003,969	0.250%
<u>OPERATING FUND MONEY MKT- 7047885250</u> Money Market	Capital One	112914	37,598,388	37,598,388	0.400%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u> Money Market	HSBC	112919	6,080,328	6,080,328	0.150%
<u>OPERATING FUND MONEY MKT - 0282002609</u> Money Market	Bridgehampton National Bank	112918	13,502,367	13,502,367	0.200%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> Money Market	1st National Bank of L.I.	112930	2,001,016	2,001,016	0.600%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> Money Market	Community National Bank	112932	1,700,147	1,700,147	0.000%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			<u>\$ 145,989,293.25</u>	<u>\$ 145,989,293.25</u>	
GRAND TOTAL			<u>\$ 301,178,056.45</u>	<u>\$ 301,525,108.99</u>	
TOTAL RESTRICTED FUNDS			91,658,452.80	91,730,333.34	
TOTAL UNRESTRICTED FUNDS			209,519,603.65	209,794,775.65	
GRAND TOTAL			<u>\$ 301,178,056.45</u>	<u>\$ 301,525,108.99</u>	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS

August-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>OPERATING FUND</u>						
FNMA Notes	Bank of America Merrill Lynch	26-Sep-11	26-Mar-15	\$ 5,000,000.00	5,001,470.00	0.850%
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,017,650.00	1.000%
<u>GENERAL FUND</u>						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,007,200.00	0.550%
FFCB Notes	Bank of America Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,018.00	0.390%
FNMA Step Notes	Bank of America Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,004,011.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,050,250.00	1.050%
FNMA Step Notes	Morgan Keegan	14-Sep-11	14-Sep-16	\$ 5,000,000.00	5,001,000.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,515,750.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,029,750.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,021,960.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,001,770.00	0.890%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,012,120.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,013,100.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,012,000.00	1.000%
FHLB Notes	Morgan Stanley	13-Jun-12	13-Dec-19	\$ 2,000,000.00	2,000,680.00	2.000%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,014,050.00	1.000%
FHLB Step Notes	Morgan Stanley	10-Jul-12	10-Jul-20	\$ 4,000,000.00	4,004,160.00	1.300%
Various	Cutwater Asset Management	Various	Various	\$ 11,500,000.00	11,567,101.00	
				<u>SUBTOTAL INVESTED FUNDS:</u>	<u>\$ 79,000,000.00</u>	<u>79,274,040.00</u>

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
August-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
FHLB Discount Notes	658451	BNY	24-Aug-12	25-Sep-12	\$ 6,613,706.04	\$ 6,613,735.44	0.050%
FHLB Discount Notes	658450	BNY	24-Aug-12	25-Sep-12	\$ 813,963.82	\$ 813,967.44	0.050%
Cash (combined total)		BNY		31-Aug-12	1,431.50	1,431.50	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	836,369.40	0.650%
Cash		BNY		31-Aug-12	1,461.37	1,461.37	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-Aug-12	0.00	0.00	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-Aug-12	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-Aug-12	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-Aug-12	71,673.63	71,673.63	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-Aug-12	71,699.59	71,699.59	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,050.38	0.190%
FHLB Discount Notes		BNY	10-Aug-12	10-Sep-12	276,985.69	276,997.23	0.050%
Cash		BNY		31-Aug-12	712.50	712.50	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
Cash		BNY		31-Aug-12	0.00	0.00	0.000%
		BNY			169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-Aug-12	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
Cash		BNY		31-Aug-12	57.02	57.02	0.000%
		BNY					
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
Cash		BNY		31-Aug-12	0.00	0.00	0.000%
		BNY			34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	447,139.34	447,341.44	0.180%
FHLB Discount Notes		BNY	10-Aug-12	10-Sep-12	141,992.66	141,998.58	0.060%
Cash		BNY		31-Aug-12	644.41	644.41	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,764,350.00	0.650%
Cash		BNY		31-Aug-12	3,809.02	3,809.02	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FHLB Discount Notes		BNY	20-Jul-12	31-Dec-12	809,520.30	809,708.40	0.120%
Cash		BNY		31-Aug-12	682.15	682.15	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

<u>August-12</u>		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>						
US Treasury Bond - SLGS	BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash	BNY		31-Aug-12	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-Aug-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-Aug-12	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,675,930.60	0.65%
Cash	BNY		31-Aug-12	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,881,266.80	0.650%
Cash	BNY		31-Aug-12	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,856,225.80	0.650%
Cash	BNY		31-Aug-12	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,821,256.60	0.650%
Cash	BNY		31-Aug-12	1,660.52	1,660.52	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
	BNY			0.00	0.00	0.000%
Cash	BNY		31-Aug-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,734,389.00	0.650%
Cash	BNY		31-Aug-12	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,260,161.80	0.650%
Cash	BNY		31-Aug-12	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,497,451.80	0.650%
Cash Balance	BNY		31-Aug-12	4,680.05	4,680.05	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
	BNY			0.00	0.00	0.000%
Cash Balance	BNY		31-Aug-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,425,863.36	0.160%
Cash Balance	BNY		31-Aug-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,349,246.60	0.330%
Cash Balance	BNY		31-Aug-12	20,063.83	20,063.83	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 75,765,314.63	75,846,349.08	
TOTAL INVESTED FUNDS:				\$ 154,765,314.63	155,120,389.08	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
August-12

		<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>				
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u> <u>Money Market</u>	Citibank	112905 10,288,007	10,288,007	0.300%
<u>OPERATING FUND-618-986022</u> <u>Money Market</u>	JP Morgan Chase	112001 3,240,486	3,240,486	0.100%
<u>SPECIAL DEPOSIT-1824-000747</u> <u>Money Market</u>	Capital One	112911 951,534	951,534	0.400%
<u>REVENUE FUND -825-114624</u> <u>Money Market</u>	JP Morgan Chase	111905 2,499,692	2,499,692	0.100%
<u>GENERAL FUND-812-003519</u> <u>Money Market</u>	JP Morgan Chase	112907 176,569	176,569	0.100%
<u>GENERAL FUND MONEY MKT-616-002548</u> <u>Money Market</u>	JP Morgan Chase	112002 72,988,713	72,988,713	0.300%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u> <u>Money Market</u>	Valley National Bank	112904 2,004,400	2,004,400	0.250%
<u>OPERATING FUND MONEY MKT- 7047885250</u> <u>Money Market</u>	Capital One	112914 37,596,533	37,596,533	0.400%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u> <u>Money Market</u>	HSBC	112919 1,445,070	1,445,070	0.150%
<u>OPERATING FUND MONEY MKT - 0282002609</u> <u>Money Market</u>	Bridgehampton National Bank	112918 13,502,294	13,502,294	0.200%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> <u>Money Market</u>	1st National Bank of L.I.	112930 2,001,017	2,001,017	0.600%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> <u>Money Market</u>	Community National Bank	112932 2,849,374	2,849,374	0.150%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		<u>\$ 149,543,687.97</u>	<u>\$ 149,543,687.97</u>	
GRAND TOTAL		<u>\$ 304,309,002.60</u>	<u>\$ 304,664,077.05</u>	
TOTAL RESTRICTED FUNDS		89,502,791.87	89,583,826.32	
TOTAL UNRESTRICTED FUNDS		214,806,210.73	215,080,250.73	
GRAND TOTAL		<u>\$ 304,309,002.60</u>	<u>\$ 304,664,077.05</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS
September-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>OPERATING FUND</u>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,025,550.00	1.000%
<u>GENERAL FUND</u>						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,005,900.00	0.550%
FFCB Notes	Bank of America Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,036.00	0.390%
FNMA Step Notes	Bank of America Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,003,549.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,039,100.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,513,750.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,026,000.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,020,500.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,001,200.00	0.890%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,010,200.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,011,500.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,009,900.00	1.000%
FHLB Notes	Morgan Stanley	13-Jun-12	13-Dec-19	\$ 2,000,000.00	2,000,160.00	2.000%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,012,500.00	1.000%
FHLB Step Notes	Morgan Stanley	10-Jul-12	10-Jul-20	\$ 4,000,000.00	4,001,080.00	1.300%
Various	Cutwater Asset Management	Various	Various	\$ 11,500,000.00	11,589,827.00	
SUBTOTAL INVESTED FUNDS:				<u>\$ 69,000,000.00</u>	<u>69,270,752.00</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
September-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020							
FHLB Discount Notes	658451	BNY	25-Sep-12	25-Oct-12	\$ 7,162,994.03	\$ 7,162,928.37	0.000%
FHLB Discount Notes	658450	BNY	25-Sep-12	25-Oct-12	\$ 1,085,999.10	\$ 1,085,989.14	0.000%
Cash (combined total)		BNY		30-Sep-12	106,198.26	106,198.26	
BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	835,935.20	0.650%
Cash		BNY		30-Sep-12	1,461.37	1,461.37	
BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		30-Sep-12	0.00	0.00	
BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		30-Sep-12	44,708.08	44,708.08	
BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		30-Sep-12	0.00	0.00	
BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		30-Sep-12	71,673.63	71,673.63	
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		30-Sep-12	71,699.59	71,699.59	
BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,289.40	0.190%
FHLB Discount Notes		BNY	11-Sep-12	10-Oct-12	276,982.15	276,997.23	0.070%
Cash		BNY		30-Sep-12	730.35	730.35	
BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190							
Cash		BNY		30-Sep-12	0.00	0.00	0.000%
		BNY		30-Sep-12	169.94	169.94	
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		30-Sep-12	691.61	691.61	
BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250							
Cash		BNY		30-Sep-12	57.02	57.02	0.000%
BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270							
Cash		BNY		30-Sep-12	0.00	0.00	0.000%
		BNY		30-Sep-12	34.76	34.76	
BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280							
FHLB Discount Note		BNY	13-Jul-12	12-Jul-13	447,139.34	447,507.20	0.180%
FHLB Discount Note		BNY	11-Sep-12	10-Oct-12	141,990.85	141,998.58	0.060%
Cash		BNY		30-Sep-12	653.56	653.56	
BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,759,800.00	0.650%
Cash		BNY		30-Sep-12	3,809.02	3,809.02	
BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300							
FHLB Discount Note		BNY	20-Jul-12	31-Dec-12	809,520.30	809,919.00	0.120%
Cash		BNY		30-Sep-12	682.15	682.15	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
September-12

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>						
US Treasury Bond - SLGS	BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash	BNY		30-Sep-12	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		30-Sep-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		30-Sep-12	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,672,464.80	0.65%
Cash	BNY		30-Sep-12	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,877,694.40	0.650%
Cash	BNY		30-Sep-12	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,852,666.40	0.650%
Cash	BNY		30-Sep-12	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,819,272.80	0.650%
Cash	BNY		30-Sep-12	1,660.52	1,660.52	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
	BNY			0.00	0.00	0.000%
Cash	BNY		30-Sep-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,731,412.00	0.650%
Cash	BNY		30-Sep-12	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,255,354.40	0.650%
Cash	BNY		30-Sep-12	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,496,674.40	0.650%
Cash Balance	BNY		30-Sep-12	4,680.05	4,680.05	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
	BNY			0.00	0.00	0.000%
Cash Balance	BNY		30-Sep-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,426,616.04	0.160%
Cash Balance	BNY		30-Sep-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,349,059.80	0.330%
Cash Balance	BNY		30-Sep-12	20,063.83	20,063.83	
<u>BOND FUND - 2012A CONSTRUCTION FUND ACCT. (RESTRICTED) - 556117, 165610</u>						
	BNY	27-Sep-12	04-Oct-12			
Cash Balance	BNY		30-Sep-12	800,000.00	800,000.00	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 77,491,426.31	77,547,411.33	
TOTAL INVESTED FUNDS:				\$ 146,491,426.31	146,818,163.33	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
September-12

		<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>				
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u> <u>Money Market</u>	Citibank	10,290,537	10,290,537	0.30%
<u>OPERATING FUND-618-986022</u> <u>Money Market</u>	JP Morgan Chase	2,422,060	2,422,060	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> <u>Money Market</u>	Capital One	314,195	314,195	0.40%
<u>REVENUE FUND -825-114624</u> <u>Money Market</u>	JP Morgan Chase	9,050,088	9,050,088	0.10%
<u>GENERAL FUND-812-003519</u> <u>Money Market</u>	JP Morgan Chase	135,108	135,108	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> <u>Money Market</u>	JP Morgan Chase	77,891,366	77,891,366	0.25%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u> <u>Money Market</u>	Valley National Bank	2,004,790	2,004,790	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> <u>Money Market</u>	Capital One	42,617,644	42,617,644	0.40%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u> <u>Money Market</u>	HSBC	1,065	1,065	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> <u>Money Market</u>	Bridgehampton National Bank	13,502,072	13,502,072	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> <u>Money Market</u>	1st National Bank of L.I.	2,000,984	2,000,984	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> <u>Money Market</u>	Community National Bank	2,849,291	2,849,291	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		<u>\$ 163,079,198.77</u>	<u>\$ 163,079,198.77</u>	
GRAND TOTAL		<u>\$ 309,570,625.08</u>	<u>\$ 309,897,362.10</u>	
TOTAL RESTRICTED FUNDS		89,787,818.82	89,843,803.84	
TOTAL UNRESTRICTED FUNDS		219,782,806.26	220,053,558.26	
GRAND TOTAL		<u>\$ 309,570,625.08</u>	<u>\$ 309,897,362.10</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS
October-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,022,350.00	1.000%
GENERAL FUND						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,004,100.00	0.550%
FFCB Notes	Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,075.00	0.390%
FNMA Step Notes	Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,003,192.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,040,650.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,511,475.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,021,300.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,018,600.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,000,270.00	0.890%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,007,740.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,010,350.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,008,450.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	1,998,300.00	0.500%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,011,600.00	1.000%
FHLB Step Notes	Morgan Stanley	10-Jul-12	10-Jul-20	\$ 4,000,000.00	4,004,080.00	1.300%
Various	Cutwater Asset Management	Various	Various	\$ 11,500,000.00	11,583,302.00	
SUBTOTAL INVESTED FUNDS:				<u>\$ 69,000,000.00</u>	<u>69,245,834.00</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
October-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
	658451						
FHLB Discount Notes		BNY	25-Oct-12	23-Nov-12	\$ 9,301,400.54	\$ 9,301,534.90	0.080%
	658450						
FHLB Discount Notes		BNY	25-Oct-12	23-Nov-12	\$ 1,357,912.48	\$ 1,357,932.10	0.080%
Cash (combined total)		BNY		31-Oct-12	766.68	766.68	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	835,876.75	0.650%
Cash		BNY		31-Oct-12	1,461.37	1,461.37	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-Oct-12	0.00	0.00	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-Oct-12	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-Oct-12	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-Oct-12	71,673.63	71,673.63	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-Oct-12	71,699.59	71,699.59	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,321.70	0.190%
Cash		BNY		31-Oct-12	17,905.61	17,905.61	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Oct-12	169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-Oct-12	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Oct-12	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Oct-12	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	447,139.34	447,529.60	0.180%
FHLB Discount Notes		BNY	12-Oct-12	28-Mar-13	141,920.95	141,924.74	0.120%
Cash		BNY		31-Oct-12	732.61	732.61	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,759,187.50	0.650%
Cash		BNY		31-Oct-12	3,809.02	3,809.02	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FHLB Discount Notes		BNY	20-Jul-12	31-Dec-12	809,520.30	809,878.50	0.120%
Cash		BNY		31-Oct-12	682.15	682.15	
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>							
US Treasury Bond - SLGS		BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash		BNY		31-Oct-12	976.31	976.31	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
October-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-Oct-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-Oct-12	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,671,998.25	0.65%
Cash	BNY		31-Oct-12	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,877,213.50	0.650%
Cash	BNY		31-Oct-12	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,852,187.25	0.650%
Cash	BNY		31-Oct-12	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,819,005.75	0.650%
Cash	BNY		31-Oct-12	1,660.52	1,660.52	
<u>BAN FUND - 2008 BAN PAYMENT FUND (RESTRICTED) - 392977, GL 165430</u>						
U.S. Treasury Bills	BNY	04-Oct-12	01-Nov-12	69,999,297.62	70,003,000.00	0.060%
Cash	BNY		31-Oct-12	703.62	703.62	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
	BNY			0.00	0.00	0.000%
Cash	BNY		31-Oct-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,731,011.25	0.650%
Cash	BNY		31-Oct-12	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,254,707.25	0.650%
Cash	BNY		31-Oct-12	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,496,569.75	0.650%
Cash Balance	BNY		31-Oct-12	4,680.05	4,680.05	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
	BNY			0.00	0.00	0.000%
Cash Balance	BNY		31-Oct-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,426,640.32	0.160%
Cash Balance	BNY		31-Oct-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,347,472.00	0.330%
Cash Balance	BNY		31-Oct-12	20,063.83	20,063.83	
<u>BOND FUND - 2012A CONSTRUCTION FUND ACCT. (RESTRICTED) - 556117, 165610</u>						
	BNY	27-Sep-12	04-Oct-12	0.00	0.00	0.000%
Cash Balance	BNY		31-Oct-12	20,285.14	20,285.14	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	04-Oct-12	31-May-13	7,048,911.14	7,049,214.90	0.130%
Cash Balance	BNY		31-Oct-12	195.21	195.21	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				<u>\$ 155,805,909.61</u>	<u>155,861,044.88</u>	
TOTAL INVESTED FUNDS:				<u>\$ 224,805,909.61</u>	<u>225,106,878.88</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
October-12

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
WORKING FUNDS - MONEY MARKET ACCOUNTS					
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u> <u>Money Market</u>	Citibank	112905	1,526,312	1,526,312	0.30%
<u>OPERATING FUND-618-986022</u> <u>Money Market</u>	JP Morgan Chase	112001	722,752	722,752	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> <u>Money Market</u>	Capital One	112911	1,812,440	1,812,440	0.40%
<u>REVENUE FUND -825-114624</u> <u>Money Market</u>	JP Morgan Chase	111905	846,535	846,535	0.10%
<u>GENERAL FUND-812-003519</u> <u>Money Market</u>	JP Morgan Chase	112907	261,119	261,119	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> <u>Money Market</u>	JP Morgan Chase	112002	88,878,886	88,878,886	0.25%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u> <u>Money Market</u>	Valley National Bank	112904	2,005,249	2,005,249	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> <u>Money Market</u>	Capital One	112914	42,598,229	42,598,229	0.40%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u> <u>Money Market</u>	HSBC	112919	10,292,829	10,292,829	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> <u>Money Market</u>	Bridgehampton National Bank	112918	13,502,442	13,502,442	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> <u>Money Market</u>	1st National Bank of L.I.	112930	2,001,017	2,001,017	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> <u>Money Market</u>	Community National Bank	112932	4,151,307	4,151,307	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			<u>\$ 168,599,117</u>	<u>\$ 168,599,117</u>	
GRAND TOTAL			<u>\$ 393,405,027</u>	<u>\$ 393,705,996</u>	
TOTAL RESTRICTED FUNDS			\$ 169,630,301	\$ 169,685,436	
TOTAL UNRESTRICTED FUNDS			\$ 223,774,726	\$ 224,020,560	
GRAND TOTAL			<u>\$ 393,405,027</u>	<u>\$ 393,705,996</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS
November-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,037,100.00	1.000%
GENERAL FUND						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,002,100.00	0.550%
FFCB Notes	Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,042.00	0.390%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	\$ 2,000,000.00	2,004,740.00	0.500%
FNMA Step Notes	Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,002,856.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,045,150.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,509,275.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,017,750.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,018,380.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,000,180.00	0.890%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	\$ 2,000,000.00	1,999,840.00	0.400%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,005,430.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,008,350.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,006,500.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,001,700.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,499,450.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	\$ 2,000,000.00	2,004,200.00	0.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,014,500.00	1.000%
FHLB Step Notes	Morgan Stanley	10-Jul-12	10-Jul-20	\$ 4,000,000.00	4,004,760.00	1.300%
Various	Cutwater Asset Management	Various	Various	\$ 10,500,000.00	10,591,088.00	
SUBTOTAL INVESTED FUNDS:				<u>\$ 76,500,000.00</u>	<u>76,773,391.00</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
November-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
FHLB Discount Notes	658451	BNY	23-Nov-12	03-Dec-12	\$ 11,334,968.51	\$ 11,335,000.00	0.000%
FHLB Discount Notes	658450	BNY	23-Nov-12	03-Dec-12	\$ 1,628,995.48	\$ 1,629,000.00	0.000%
Cash (combined total)		BNY		30-Nov-12	1,684.13	1,684.13	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	836,294.25	0.650%
Cash		BNY		30-Nov-12	4,175.12	4,175.12	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		30-Nov-12	0.02	0.02	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		30-Nov-12	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		30-Nov-12	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		30-Nov-12	71,673.63	71,673.63	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		30-Nov-12	59,841.32	59,841.32	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,405.68	0.190%
Cash		BNY		30-Nov-12	17,905.61	17,905.61	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		30-Nov-12	169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		30-Nov-12	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		30-Nov-12	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		30-Nov-12	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Note		BNY	13-Jul-12	12-Jul-13	447,139.34	447,587.84	0.180%
FHLB Discount Note		BNY	12-Oct-12	28-Mar-13	141,920.95	141,954.56	0.120%
Cash		BNY		30-Nov-12	732.61	732.61	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,763,562.50	0.650%
Cash		BNY		30-Nov-12	32,246.52	32,246.52	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FHLB Discount Note		BNY	20-Jul-12	31-Dec-12	809,520.30	809,959.50	0.120%
Cash		BNY		30-Nov-12	682.15	682.15	
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>							
US Treasury Bond - SLGS		BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash		BNY		30-Nov-12	976.31	976.31	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
November-12

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		30-Nov-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		30-Nov-12	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,675,330.75	0.65%
Cash	BNY		30-Nov-12	26,127.21	26,127.21	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,880,648.50	0.650%
Cash	BNY		30-Nov-12	23,431.49	23,431.49	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,855,609.75	0.650%
Cash	BNY		30-Nov-12	24,162.30	24,162.30	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,820,913.25	0.650%
Cash	BNY		30-Nov-12	14,059.27	14,059.27	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
	BNY			0.00	0.00	0.000%
Cash	BNY		30-Nov-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,733,873.75	0.650%
Cash	BNY		30-Nov-12	19,264.52	19,264.52	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,259,329.75	0.650%
Cash	BNY		30-Nov-12	31,834.57	31,834.57	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,497,317.25	0.650%
Cash Balance	BNY		30-Nov-12	9,538.80	9,538.80	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
	BNY			0.00	0.00	0.000%
Cash Balance	BNY		30-Nov-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,427,004.52	0.160%
Cash Balance	BNY		30-Nov-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,346,444.60	0.330%
Cash Balance	BNY		30-Nov-12	35,474.83	35,474.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	04-Oct-12	31-May-13	7,048,911.14	7,050,414.25	0.130%
Cash Balance	BNY		30-Nov-12	195.21	195.21	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 88,258,040.65	88,335,267.26	
TOTAL INVESTED FUNDS:				\$ 164,758,040.65	165,108,658.26	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
November-12

<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u> Money Market	Citibank	112905	1,546,973	1,546,973	0.30%
<u>OPERATING FUND-618-986022</u> Money Market	JP Morgan Chase	112001	887,340	887,340	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> Money Market	Capital One	112911	4,460,316	4,460,316	0.40%
<u>REVENUE FUND -825-114624</u> Money Market	JP Morgan Chase	111905	4,310,324	4,310,324	0.10%
<u>GENERAL FUND-812-003519</u> Money Market	JP Morgan Chase	112907	621,593	621,593	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> Money Market	JP Morgan Chase	112002	84,842,850	84,842,850	0.25%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u> Money Market	Valley National Bank	112904	2,005,667	2,005,667	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> Money Market	Capital One	112914	42,597,760	42,597,760	0.40%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u> Money Market	HSBC	112919	3,793,817	3,793,817	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> Money Market	Bridgehampton National Bank	112918	13,502,220	13,502,220	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> Money Market	1st National Bank of L.I.	112930	2,000,984	2,000,984	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> Money Market	Community National Bank	112932	4,151,305	4,151,305	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			<u>\$ 164,721,147.83</u>	<u>\$ 164,721,147.83</u>	
GRAND TOTAL			<u>\$ 329,479,188.48</u>	<u>\$ 329,829,806.09</u>	
TOTAL RESTRICTED FUNDS			95,604,497.84	95,681,724.45	
TOTAL UNRESTRICTED FUNDS			233,874,690.64	234,148,081.64	
GRAND TOTAL			<u>\$ 329,479,188.48</u>	<u>\$ 329,829,806.09</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS
December-12

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,022,500.00	1.000%
GENERAL FUND						
FHLMC Notes	Morgan Keegan	9-Jan-12	9-Jan-14	\$ 5,000,000.00	5,000,450.00	0.550%
FFCB Notes	Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,072.00	0.390%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	\$ 2,000,000.00	2,003,420.00	0.500%
FNMA Step Notes	Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,002,886.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,042,250.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,507,175.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,014,200.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,017,400.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,000,390.00	0.890%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	\$ 2,000,000.00	1,999,860.00	0.400%
FNMA Notes	HSBC Brokerage	25-Jan-12	25-Jul-17	\$ 3,000,000.00	3,002,340.00	1.250%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,004,850.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Jan-12	30-Oct-17	\$ 5,000,000.00	5,003,450.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,001,820.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,499,550.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	\$ 2,000,000.00	2,003,760.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	\$ 2,500,000.00	2,497,225.00	0.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,011,400.00	1.000%
FHLB Step Notes	Morgan Stanley	10-Jul-12	10-Jul-20	\$ 4,000,000.00	4,001,120.00	1.300%
Various	Cutwater Asset Management	Various	Various	\$ 11,550,000.00	11,633,319.85	
SUBTOTAL INVESTED FUNDS:				\$ 80,050,000.00	80,269,437.85	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
December-12

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
	658451						
FHLB Discount Notes		BNY	21-Dec-12	25-Jan-13	\$ 2,466,964.02	\$ 2,466,975.33	0.000%
	658450						
FHLB Discount Notes		BNY	21-Dec-12	25-Jan-13	\$ 270,996.05	\$ 270,997.29	0.000%
Cash (combined total)		BNY		31-Dec-12	917.96	917.96	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	836,152.30	0.650%
Cash		BNY		31-Dec-12	370.62	370.62	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-Dec-12	0.04	0.04	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-Dec-12	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-Dec-12	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-Dec-12	71,673.63	71,673.63	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-Dec-12	59,841.32	59,841.32	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,586.56	0.190%
Cash		BNY		31-Dec-12	17,905.61	17,905.61	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Dec-12	169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-Dec-12	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Dec-12	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Dec-12	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Note		BNY	13-Jul-12	12-Jul-13	447,139.34	447,713.28	0.180%
FHLB Discount Note		BNY	12-Oct-12	28-Mar-13	141,920.95	141,982.96	0.120%
Cash		BNY		31-Dec-12	732.61	732.61	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,762,075.00	0.650%
Cash		BNY		31-Dec-12	3,809.02	3,809.02	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FNMA Discount Note		BNY	31-Dec-12	26-Jun-13	809,681.40	809,603.10	0.000%
Cash		BNY		31-Dec-12	1,000.75	1,000.75	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
December-12

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>						
US Treasury Bond - SLGS	BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash	BNY		31-Dec-12	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-Dec-12	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-Dec-12	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,674,197.70	0.65%
Cash	BNY		31-Dec-12	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,879,480.60	0.650%
Cash	BNY		31-Dec-12	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,854,446.10	0.650%
Cash	BNY		31-Dec-12	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,820,264.70	0.650%
Cash	BNY		31-Dec-12	1,660.52	1,660.52	
<u>BOND FUND - 2009 A REFUNDING BONDS ACCOUNT (RESTRICTED) - 398776, 165140</u>						
	BNY			0.00	0.00	0.000%
Cash	BNY		31-Dec-12	1.23	1.23	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,732,900.50	0.650%
Cash	BNY		31-Dec-12	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,257,758.10	0.650%
Cash	BNY		31-Dec-12	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,497,063.10	0.650%
Cash Balance	BNY		31-Dec-12	2,724.27	2,724.27	
<u>BOND FUND - 2011 REFUNDING BOND FUND ACCT. (RESTRICTED) - 397951, 165540</u>						
	BNY			0.00	0.00	0.000%
Cash Balance	BNY		31-Dec-12	0.37	0.37	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,427,562.96	0.160%
Cash Balance	BNY		31-Dec-12	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,348,406.00	0.330%
Cash Balance	BNY		31-Dec-12	4,652.83	4,652.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	04-Oct-12	31-May-13	7,048,911.14	7,052,389.65	0.130%
Cash Balance	BNY		31-Dec-12	195.21	195.21	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 77,834,585.50	77,907,559.46	
TOTAL INVESTED FUNDS:				\$ 157,884,585.50	158,176,997.31	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
December-12

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u>		112905			
Money Market	Citibank		1,514,859	1,514,859	0.30%
<u>OPERATING FUND-618-986022</u>		112001			
Money Market	JP Morgan Chase		873,660	873,660	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>		112911			
Money Market	Capital One		425,208	425,208	0.40%
<u>REVENUE FUND -825-114624</u>		111905			
Money Market	JP Morgan Chase		5,283,106	5,283,106	0.10%
<u>GENERAL FUND-812-003519</u>		112907			
Money Market	JP Morgan Chase		134,543	134,543	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>		112002			
Money Market	JP Morgan Chase		87,590,573	87,590,573	0.25%
<u>CONSTRUCTION MONEY MKT (RESTRICTED)-21788940</u>		112904			
Money Market	Valley National Bank		2,006,099	2,006,099	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>		112914			
Money Market	Capital One		35,106,134	35,106,134	0.40%
<u>BANS 2011 MONEY MKT (RESTRICTED) -944-580-530</u>		112919			
Money Market	HSBC		94,199	94,199	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>		112918			
Money Market	Bridgehampton National Bank		13,502,294	13,502,294	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u>		112930			
Money Market	1st National Bank of L.I.		2,001,017	2,001,017	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>		112932			
Money Market	Community National Bank		4,754,012	4,754,012	0.15%
	TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		<u>\$ 153,285,703.88</u>	<u>\$ 153,285,703.88</u>	
	GRAND TOTAL		<u>\$ 311,170,289.38</u>	<u>\$ 311,462,701.19</u>	
	TOTAL RESTRICTED FUNDS		81,449,742.74	81,522,716.70	
	TOTAL UNRESTRICTED FUNDS		229,720,546.64	229,939,984.49	
	GRAND TOTAL		<u>\$ 311,170,289.38</u>	<u>\$ 311,462,701.19</u>	

SUFFOLK COUNTY WATER AUTHORITY**INVESTMENTS****January-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>OPERATING FUND</u>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,011,550.00	1.000%
<u>GENERAL FUND</u>						
FFCB Notes	Merrill Lynch	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,090.00	0.390%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	\$ 2,000,000.00	2,001,000.00	0.500%
FNMA Step Notes	Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,002,154.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,038,550.00	1.050%
FNMA Notes	HSBC Brokerage	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,504,775.00	1.320%
FNMA Notes	Morgan Keegan	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,009,200.00	1.320%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,015,820.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,000,270.00	0.890%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	\$ 2,000,000.00	2,000,300.00	0.400%
FNMA Step Notes	Morgan Stanley	22-Feb-12	22-Aug-17	\$ 5,000,000.00	5,002,050.00	0.750%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,000,160.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,494,825.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	\$ 2,000,000.00	2,000,260.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	\$ 2,500,000.00	2,486,825.00	0.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,005,500.00	1.000%
Various	Cutwater Asset Management	Various	Various	\$ 11,550,000.00	11,619,478.70	
SUBTOTAL INVESTED FUNDS:				\$ 63,050,000.00	63,192,807.70	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

January-13

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
	658451						
FHLB Discount Notes		BNY	25-Jan-13	25-Feb-13	\$ 4,499,922.50	\$ 4,499,955.00	0.020%
	658450						
FHLB Discount Notes		BNY	25-Jan-13	25-Feb-13	\$ 542,990.65	\$ 542,994.57	0.010%
Cash (combined total)		BNY		31-Jan-13	886.25	886.25	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	836,018.70	0.650%
Cash		BNY		31-Jan-13	370.62	370.62	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-Jan-13	0.04	0.04	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-Jan-13	44,708.08	44,708.08	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-Jan-13	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-Jan-13	43,315.78	43,315.78	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-Jan-13	59,841.32	59,841.32	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,767.44	0.190%
Cash		BNY		31-Jan-13	17,905.61	17,905.61	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Jan-13	169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-Jan-13	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Jan-13	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Jan-13	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Note		BNY	13-Jul-12	12-Jul-13	447,139.34	447,838.72	0.180%
FHLB Discount Note		BNY	12-Oct-12	28-Mar-13	141,920.95	141,995.74	0.120%
Cash		BNY		31-Jan-13	732.61	732.61	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,760,675.00	0.650%
Cash		BNY		31-Jan-13	3,809.02	3,809.02	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>							
FNMA Discount Note		BNY	31-Dec-12	26-Jun-13	809,681.40	809,773.20	0.000%
Cash		BNY		31-Jan-13	1,000.75	1,000.75	
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>							
US Treasury Bond - SLGS		BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash		BNY		31-Jan-13	976.31	976.31	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

<u>January-13</u>		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-Jan-13	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-Jan-13	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,673,131.30	0.65%
Cash	BNY		31-Jan-13	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,870,000.00	6,878,381.40	0.650%
Cash	BNY		31-Jan-13	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,853,350.90	0.650%
Cash	BNY		31-Jan-13	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,819,654.30	0.650%
Cash	BNY		31-Jan-13	1,660.52	1,660.52	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,731,984.50	0.650%
Cash	BNY		31-Jan-13	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,256,278.90	0.650%
Cash	BNY		31-Jan-13	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,496,823.90	0.650%
Cash Balance	BNY		31-Jan-13	2,724.27	2,724.27	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,427,830.04	0.160%
Cash Balance	BNY		31-Jan-13	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,347,378.60	0.330%
Cash Balance	BNY		31-Jan-13	4,652.83	4,652.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	04-Oct-12	31-May-13	7,048,911.14	7,053,589.00	0.130%
Cash Balance	BNY		31-Jan-13	195.21	195.21	
<u>BOND FUND - 2013A RENEWAL NOTE CONST FD. (RESTRICTED) - 699961, 165211</u>						
Cash Balance	BNY	09-Jan-13	31-Jan-13	18,214.03	18,214.03	
<u>BOND FUND - 2013B BAN CONSTRUCTION FD. (RESTRICTED) - 699963, 165212</u>						
Cash Balance	BNY	09-Jan-13	31-Jan-13	13,285.97	13,285.97	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 80,142,647.42	80,208,534.28	
TOTAL INVESTED FUNDS:				\$ 143,192,647.42	143,401,341.98	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
January-13

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u> <u>Money Market</u>	Citibank	112905	100,305	100,305	0.30%
<u>OPERATING FUND-618-986022</u> <u>Money Market</u>	JP Morgan Chase	112001	50,174	50,174	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> <u>Money Market</u>	Capital One	112911	2,590,879	2,590,879	0.40%
<u>REVENUE FUND -825-114624</u> <u>Money Market</u>	JP Morgan Chase	111905	7,721,874	7,721,874	0.10%
<u>GENERAL FUND-812-003519</u> <u>Money Market</u>	JP Morgan Chase	112907	110,495	110,495	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> <u>Money Market</u>	JP Morgan Chase	112002	104,117,360	104,117,360	0.25%
<u>BANS 2013A MONEY MKT (RESTRICTED)-21788940</u> <u>Money Market</u>	Valley National Bank	112904	5,112,900	5,112,900	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> <u>Money Market</u>	Capital One	112914	34,131,247	34,131,247	0.40%
<u>BANS 2013B MONEY MKT (RESTRICTED) -944-580-530</u> <u>Money Market</u>	HSBC	112919	52,519,384	52,519,384	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> <u>Money Market</u>	Bridgehampton National Bank	112918	13,502,294	13,502,294	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> <u>Money Market</u>	1st National Bank of L.I.	112930	2,000,855	2,000,855	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> <u>Money Market</u>	Community National Bank	112932	5,350,611	5,350,611	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			<u>\$ 227,308,378.96</u>	<u>\$ 227,308,378.96</u>	
GRAND TOTAL			<u>\$ 370,501,026.38</u>	<u>\$ 370,709,720.94</u>	
TOTAL RESTRICTED FUNDS			137,875,236.49	137,941,123.35	
TOTAL UNRESTRICTED FUNDS			232,625,789.89	232,768,597.59	
GRAND TOTAL			<u>\$ 370,501,026.38</u>	<u>\$ 370,709,720.94</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS
February-13

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND							
FHLMC Notes	Wells Fargo Advisors	3134G3YX2	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,035,150.00	1.000%
GENERAL FUND							
FFCB Notes	Merrill Lynch	3133EAGU6	14-Mar-12	12-Jun-14	\$ 3,000,000.00	3,000,078.00	0.390%
FHLB Notes	HSBC Brokerage	313381UR4	11-Feb-13	15-Jul-15	\$ 2,000,000.00	1,998,800.00	0.375%
FNMA Notes	Morgan Keegan	3135G0RS4	6-Nov-12	6-Nov-15	\$ 2,000,000.00	2,000,900.00	0.500%
FNMA Step Notes	Merrill Lynch	3136G0DZ1	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,000,957.00	0.625%
FHLMC Notes	Morgan Stanley	3134G3KV1	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,034,750.00	1.050%
FNMA Notes	Wells Fargo Advisors	3136G1E62	25-Feb-13	25-Aug-16	\$ 3,000,000.00	3,003,480.00	0.600%
FNMA Notes	HSBC Brokerage	3136FR3Q4	28-Sep-11	28-Sep-16	\$ 2,500,000.00	2,502,075.00	1.320%
FNMA Notes	Morgan Keegan	3136FR3Q4	28-Sep-11	28-Sep-16	\$ 5,000,000.00	5,004,150.00	1.320%
FNMA Notes	Morgan Keegan	3136FR5Y5	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,012,140.00	1.350%
FHLB Notes	Morgan Keegan	313379Y86	24-Jul-12	24-Oct-16	\$ 3,000,000.00	2,997,900.00	0.890%
FNMA Step Notes	Wells Fargo Advisors	3136G0Z20	1-Nov-12	1-Nov-16	\$ 2,000,000.00	2,000,180.00	0.400%
FNMA Notes	Wells Fargo Advisors	3136G1EA3	28-Feb-13	28-Aug-17	\$ 5,000,000.00	5,007,850.00	1.000%
FNMA Step Notes	Morgan Stanley	3136G03Z2	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,001,920.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	3136G03R0	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,500,100.00	0.625%
FNMA Step Notes	Morgan Keegan	3136G03Y5	21-Nov-12	21-Nov-17	\$ 2,000,000.00	2,001,420.00	0.750%
FNMA Step Notes	HSBC Brokerage	3136G12F5	18-Dec-12	18-Dec-17	\$ 2,500,000.00	2,498,375.00	0.750%
FNMA Step Notes	Raymond James	3136G1AP4	11-Feb-13	22-Jan-18	\$ 3,000,000.00	3,006,780.00	0.700%
FNMA Step Notes	Raymond James	3136G1AJ8	11-Feb-13	30-Jan-18	\$ 3,000,000.00	2,995,110.00	0.700%
FHLB Step Notes	Morgan Stanley	313382Z25	15-Feb-13	15-Feb-18	\$ 6,000,000.00	6,003,840.00	0.750%
FNMA Step Notes	HSBC Brokerage	3136G0PN5	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,008,850.00	1.000%
Various	Cutwater Asset Management		Various	Various	\$ 11,550,000.00	11,631,705.65	
SUBTOTAL INVESTED FUNDS:					\$ 80,050,000.00	80,246,510.65	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
February-13

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD	
SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020								
FHLB Discount Notes	658451	BNY	313385DM5	25-Feb-13	25-Mar-13	\$ 5,822,773.55	\$ 5,822,825.31	0.050%
FHLB Discount Notes	658450	BNY	313385DM5	25-Feb-13	25-Mar-13	\$ 814,968.31	\$ 814,975.55	0.040%
Cash (combined total)		BNY			28-Feb-13	711,025.83	711,025.83	
BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040								
FHLB Step Notes		BNY	313379GH7	24-May-12	24-May-16	835,000.00	835,609.55	0.650%
Cash		BNY			28-Feb-13	370.62	370.62	
BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120								
US Treasury Note-SLGS		BNY	S35351090	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY			28-Feb-13	0.04	0.04	
BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130								
US Treasury Note-SLGS		BNY	S35401500	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY			28-Feb-13	44,708.08	44,708.08	
BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110								
US Treasury Bond-SLGS		BNY	S35378530	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY			28-Feb-13	0.00	0.00	
BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180								
US Treasury Note-SLGS		BNY	S35352370	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY			28-Feb-13	43,315.78	43,315.78	
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090								
US Treasury Bond-SLGS		BNY	S35378560	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY			28-Feb-13	59,841.32	59,841.32	
BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200								
FHLB Discount Notes		BNY	313385JA5	13-Jul-12	12-Jul-13	644,758.96	645,689.92	0.190%
Cash		BNY			28-Feb-13	17,905.61	17,905.61	
BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190								
Cash		BNY				0.00	0.00	0.000%
		BNY			28-Feb-13	169.94	169.94	
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260								
US Treasury Bond-SLGS		BNY	S35394810	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY			28-Feb-13	691.61	691.61	
BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250								
Cash		BNY				0.00	0.00	0.000%
		BNY			28-Feb-13	57.02	57.02	
BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270								
Cash		BNY				0.00	0.00	0.000%
		BNY			28-Feb-13	34.76	34.76	
BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280								
FHLB Discount Note		BNY	313385JA5	13-Jul-12	12-Jul-13	447,139.34	447,784.96	0.180%
FHLB Discount Note		BNY	313385DQ6	12-Oct-12	28-Mar-13	141,920.95	141,995.74	0.120%
Cash		BNY			28-Feb-13	732.61	732.61	
BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050								
FHLB Step Notes		BNY	313379GH7	24-May-12	24-May-16	8,750,000.00	8,756,387.50	0.650%
Cash		BNY			28-Feb-13	3,809.02	3,809.02	
BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300								
FNMA Discount Note		BNY	313384V48	31-Dec-12	26-Jun-13	809,681.40	809,684.10	0.000%
Cash		BNY			28-Feb-13	1,000.75	1,000.75	
BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310								
US Treasury Bond - SLGS		BNY	S35386430	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash		BNY			28-Feb-13	976.31	976.31	
BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330								
US Treasury Bond - SLGS		BNY		24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash		BNY			28-Feb-13	0.00	0.00	
BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340								
US Treasury Bond - SLGS		BNY	S35450910	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash		BNY			28-Feb-13	0.00	0.00	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
February-13

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	6,665,000.00	6,669,865.45	0.65%
Cash	BNY			28-Feb-13	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	6,870,000.00	6,875,015.10	0.650%
Cash	BNY			28-Feb-13	1,103.99	1,103.99	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	6,845,000.00	6,849,996.85	0.650%
Cash	BNY			28-Feb-13	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	3,815,000.00	3,817,784.95	0.650%
Cash	BNY			28-Feb-13	1,660.52	1,660.52	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	5,725,000.00	5,729,179.25	0.650%
Cash	BNY			28-Feb-13	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	9,245,000.00	9,251,748.85	0.650%
Cash	BNY			28-Feb-13	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>							
FHLB Step Notes	BNY	313379HG7	24-May-12	24-May-16	1,495,000.00	1,496,091.35	0.650%
Cash Balance	BNY			28-Feb-13	2,724.27	2,724.27	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>							
FHLB Discount Notes	BNY	313385DX1	05-Apr-12	04-Apr-13	2,423,826.54	2,427,830.04	0.160%
Cash Balance	BNY			28-Feb-13	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>							
FHLB Discount Notes	BNY	313376GY5	24-Jan-12	01-Jul-13	9,353,382.87	9,345,604.00	0.330%
Cash Balance	BNY			28-Feb-13	4,652.83	4,652.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>							
FHLB Discount Notes	BNY	313385GG5	04-Oct-12	31-May-13	7,048,911.14	7,053,236.25	0.130%
Cash Balance	BNY			28-Feb-13	195.21	195.21	
<u>BOND FUND - 2013A RENEWAL NOTE CONST FD. (RESTRICTED) - 699961, 165211</u>							
Cash Balance	BNY		09-Jan-13				
	BNY			28-Feb-13	13,880.70	13,880.70	
<u>BOND FUND - 2013B BAN CONSTRUCTION FD. (RESTRICTED) - 699963, 165212</u>							
Cash Balance	BNY		09-Jan-13				
	BNY			28-Feb-13	11,952.64	11,952.64	
<u>BOND FUND - 2013 REFUNDING BAN CONST FD. (RESTRICTED) - 666286, 165230</u>							
Cash Balance	BNY		09-Jan-13				
	BNY			28-Feb-13	649,000.00	649,000.00	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:					\$ 83,090,949.05	83,129,890.71	
TOTAL INVESTED FUNDS:					\$ 163,140,949.05	163,376,401.36	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
February-13

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
WORKING FUNDS - MONEY MARKET ACCOUNTS					
<u>CONSTRUCTION - MONEY MKT (RESTRICTED)- 075-074641</u>		112905			
<u>Money Market</u>	Citibank		305	305	0.30%
<u>OPERATING FUND-618-986022</u>		112001			
<u>Money Market</u>	JP Morgan Chase		485,292	485,292	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>		112911			
<u>Money Market</u>	Capital One		847,385	847,385	0.40%
<u>REVENUE FUND -825-114624</u>		111905			
<u>Money Market</u>	JP Morgan Chase		4,934,690	4,934,690	0.10%
<u>GENERAL FUND-812-003519</u>		112907			
<u>Money Market</u>	JP Morgan Chase		148,632	148,632	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>		112002			
<u>Money Market</u>	JP Morgan Chase		92,071,558	92,071,558	0.25%
<u>BANS 2013A MONEY MKT (RESTRICTED)-21788940</u>		112904			
<u>Money Market</u>	Valley National Bank		5,113,894	5,113,894	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>		112914			
<u>Money Market</u>	Capital One		34,127,216	34,127,216	0.40%
<u>BANS 2013B MONEY MKT (RESTRICTED) -944-580-530</u>		112919			
<u>Money Market</u>	HSBC		48,025,137	48,025,137	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>		112918			
<u>Money Market</u>	Bridgehampton National Bank		13,502,072	13,502,072	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u>		112930			
<u>Money Market</u>	1st National Bank of L.I.		2,000,767	2,000,767	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>		112932			
<u>Money Market</u>	Community National Bank		6,092,709.14	6,092,709.14	0.15%
	TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		<u>\$ 207,349,657.24</u>	<u>\$ 207,349,657.24</u>	
	GRAND TOTAL		<u>\$ 370,490,606.29</u>	<u>\$ 370,726,058.60</u>	
	TOTAL RESTRICTED FUNDS		136,230,285.58	136,269,227.24	
	TOTAL UNRESTRICTED FUNDS		234,260,320.71	234,456,831.36	
	GRAND TOTAL		<u>\$ 370,490,606.29</u>	<u>\$ 370,726,058.60</u>	

SUFFOLK COUNTY WATER AUTHORITY**INVESTMENTS****March-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,033,600.00	1.000%
GENERAL FUND						
FHLB Notes	HSBC Brokerage	11-Feb-13	15-Jul-15	\$ 2,000,000.00	1,999,340.00	0.375%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	\$ 2,000,000.00	2,001,260.00	0.500%
FNMA Step Notes	Merrill Lynch	26-Apr-12	26-Apr-16	\$ 3,000,000.00	3,000,564.00	0.625%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,034,100.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	\$ 3,000,000.00	3,002,760.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,010,640.00	1.350%
FHLB Notes	Morgan Keegan	24-Jul-12	24-Oct-16	\$ 3,000,000.00	3,000,120.00	0.890%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	\$ 2,000,000.00	2,000,140.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	\$ 5,000,000.00	5,007,100.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,002,580.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,500,125.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	\$ 2,000,000.00	2,001,940.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	\$ 2,500,000.00	2,499,575.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	\$ 3,000,000.00	2,988,540.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	\$ 3,000,000.00	2,996,460.00	0.700%
FHLB Step Notes	Morgan Stanley	15-Feb-13	15-Feb-18	\$ 6,000,000.00	6,005,100.00	0.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,005,450.00	1.000%
Various	Cutwater Asset Management	Various	Various	\$ 11,550,000.00	11,629,207.35	
SUBTOTAL INVESTED FUNDS:				\$ 69,550,000.00	69,718,601.35	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
March-13

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020							
	658451						
FHLMC Discount Notes		BNY	25-Mar-13	22-Apr-13	\$ 7,942,783.77	\$ 7,942,920.57	0.000%
	658450						
FHLMC Discount Notes		BNY	25-Mar-13	22-Apr-13	\$ 1,085,970.44	\$ 1,085,989.14	0.040%
Cash (combined total)		BNY		31-Mar-13	1,333.94	1,333.94	
BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	835,501.00	0.650%
Cash		BNY		31-Mar-13	370.62	370.62	
BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-Mar-13	0.04	0.04	
BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-Mar-13	44,708.08	44,708.08	
BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-Mar-13	0.00	0.00	
BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-Mar-13	43,315.78	43,315.78	
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-Mar-13	59,841.32	59,841.32	
BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,890.18	0.190%
Cash		BNY		31-Mar-13	17,905.61	17,905.61	
BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Mar-13	169.94	169.94	
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-Mar-13	691.61	691.61	
BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Mar-13	57.02	57.02	
BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270							
		BNY			0.00	0.00	0.000%
Cash		BNY		31-Mar-13	34.76	34.76	
BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280							
FHLB Discount Note		BNY	13-Jul-12	12-Jul-13	447,139.34	447,923.84	0.190%
FHLB Discount Note		BNY	28-Mar-13	28-Sep-13	141,935.75	141,937.52	0.090%
Cash		BNY		31-Mar-13	796.86	796.86	
BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,755,250.00	0.650%
Cash		BNY		31-Mar-13	3,809.02	3,809.02	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

March-13

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</u>						
FNMA Discount Note	BNY	31-Dec-12	26-Jun-13	809,681.40	809,902.80	0.000%
Cash	BNY		31-Mar-13	1,000.75	1,000.75	
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>						
US Treasury Bond - SLGS	BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash	BNY		31-Mar-13	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-Mar-13	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-Mar-13	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,668,999.00	0.65%
Cash	BNY		31-Mar-13	4,465.96	4,465.96	
<u>BOND FUND - SERIES 2005C RESERVE ACCOUNT (RESTRICTED) - 296134, GL 165360</u>						
FHLB Step Notes	BNY			0.00	0.00	0.650%
Cash	BNY		31-Mar-13	4,987.34	4,987.34	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,849,107.00	0.650%
Cash	BNY		31-Mar-13	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,817,289.00	0.650%
Cash	BNY		31-Mar-13	1,660.52	1,660.52	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,728,435.00	0.650%
Cash	BNY		31-Mar-13	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,250,547.00	0.650%
Cash	BNY		31-Mar-13	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,495,897.00	0.650%
Cash Balance	BNY		31-Mar-13	2,724.27	2,724.27	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-12	04-Apr-13	2,423,826.54	2,428,000.00	0.160%
Cash Balance	BNY		31-Mar-13	577.93	577.93	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,344,763.40	0.330%
Cash Balance	BNY		31-Mar-13	4,652.83	4,652.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	04-Oct-12	31-May-13	7,048,911.14	7,054,435.60	0.130%
Cash Balance	BNY		31-Mar-13	195.21	195.21	
<u>BOND FUND - 2013A RENEWAL NOTE CONST FD. (RESTRICTED) - 699961, 165211</u>						
Cash Balance	BNY	09-Jan-13	31-Mar-13	13,880.70	13,880.70	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

INVESTMENTS cont'd.

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>BOND FUND - 2013B BAN CONSTRUCTION FD. (RESTRICTED) - 699963, 165212</u>						
Cash Balance	BNY BNY	09-Jan-13	31-Mar-13	11,952.64	11,952.64	
<u>BOND FUND - 2013 REFUNDING BAN CONST FD. (RESTRICTED) - 666286, 165231</u>						
Cash Balance	BNY BNY	09-Jan-13	31-Mar-13	33,682.76	33,682.76	
<u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666286, 165232</u>						
Cash Balance	BNY BNY	05-Mar-13	05-Mar-14 31-Mar-13	6,627,231.69 133.80	6,629,901.64 133.80	0.160%
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				<u>\$ 83,918,280.16</u>	<u>83,950,347.95</u>	
TOTAL INVESTED FUNDS:				<u>\$ 153,468,280.16</u>	<u>153,668,949.30</u>	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
March-13

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>OPERATING FUND-618-986022</u>		112001			
Money Market	JP Morgan Chase		1,435,416	1,435,416	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>		112911			
Money Market	Capital One		549,947	549,947	0.40%
<u>REVENUE FUND -825-114624</u>		111905			
Money Market	JP Morgan Chase		4,917,567	4,917,567	0.10%
<u>GENERAL FUND-812-003519</u>		112907			
Money Market	JP Morgan Chase		7,735,585	7,735,585	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>		112002			
Money Market	JP Morgan Chase		84,981,201	84,981,201	0.25%
<u>BANS 2013A MONEY MKT (RESTRICTED)-21788940</u>		112904			
Money Market	Valley National Bank		5,114,924	5,114,924	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>		112914			
Money Market	Capital One		34,104,518	34,104,518	0.40%
<u>BANS 2013B MONEY MKT (RESTRICTED) -944-580-530</u>		112919			
Money Market	HSBC		46,031,156	46,031,156	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>		112918			
Money Market	Bridgehampton National Bank		13,502,146	13,502,146	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u>		112930			
Money Market	1st National Bank of L.I.		2,000,849	2,000,849	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>		112932			
Money Market	Community National Bank		6,723,670	6,723,670	0.15%
	TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		<u>\$ 207,096,980.43</u>	<u>\$ 207,096,980.43</u>	
	GRAND TOTAL		<u>\$ 360,565,260.59</u>	<u>\$ 360,765,929.73</u>	
	TOTAL RESTRICTED FUNDS		135,064,360.98	135,096,428.77	
	TOTAL UNRESTRICTED FUNDS		225,500,899.61	225,669,500.96	
	GRAND TOTAL		<u>\$ 360,565,260.59</u>	<u>\$ 360,765,929.73</u>	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS

April-13

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
OPERATING FUND						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	5,036,800.00	1.000%
GENERAL FUND						
FHLB Notes	HSBC Brokerage	11-Feb-13	15-Jul-15	\$ 2,000,000.00	2,000,940.00	0.375%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	\$ 2,000,000.00	2,003,460.00	0.500%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,031,300.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	\$ 3,000,000.00	3,004,800.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,008,860.00	1.350%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	\$ 2,000,000.00	2,000,000.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	\$ 5,000,000.00	5,006,050.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,004,420.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,500,050.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	\$ 2,000,000.00	2,000,800.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	\$ 2,000,000.00	2,001,740.00	0.990%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	\$ 2,500,000.00	2,506,825.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	\$ 3,000,000.00	3,002,310.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	\$ 3,000,000.00	3,008,790.00	0.700%
FHLB Step Notes	Morgan Stanley	15-Feb-13	15-Feb-18	\$ 6,000,000.00	6,001,680.00	0.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	5,005,750.00	1.000%
Various	Cutwater Asset Management	Various	Various	\$ 11,550,000.00	11,633,178.40	
SUBTOTAL INVESTED FUNDS:				\$ 65,550,000.00	65,757,753.40	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
April-13

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020							
FHLB Discount Notes	658451	BNY	25-Apr-13	24-May-13	\$ 9,829,841.63	\$ 9,829,901.70	0.000%
FHLB Discount Notes	658450	BNY	25-Apr-13	24-May-13	\$ 1,357,978.12	\$ 1,357,986.42	0.040%
Cash (combined total)		BNY		30-Apr-13	666.72	666.72	
BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040							
FHLB Step Notes		BNY	24-May-12	24-May-16	835,000.00	835,225.45	0.650%
Cash		BNY		30-Apr-13	370.62	370.62	
BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		30-Apr-13	0.04	0.04	
BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		30-Apr-13	25,002.03	25,002.03	
BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		30-Apr-13	0.00	0.00	
BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		30-Apr-13	43,315.78	43,315.78	
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		30-Apr-13	59,841.32	59,841.32	
BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,948.32	0.190%
Cash		BNY		30-Apr-13	17,905.61	17,905.61	
BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190							
Cash		BNY			0.00	0.00	0.000%
		BNY		30-Apr-13	169.94	169.94	
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		30-Apr-13	691.61	691.61	
BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250							
Cash		BNY			0.00	0.00	0.000%
		BNY		30-Apr-13	57.02	57.02	
BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270							
Cash		BNY			0.00	0.00	0.000%
		BNY		30-Apr-13	34.76	34.76	
BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	447,139.34	447,964.16	0.190%
FHLB Discount Notes		BNY	28-Mar-13	28-Sep-13	141,935.75	141,958.82	0.090%
Cash		BNY		30-Apr-13	796.86	796.86	
BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050							
FHLB Step Notes		BNY	24-May-12	24-May-16	8,750,000.00	8,752,362.50	0.650%
Cash		BNY		30-Apr-13	3,809.02	3,809.02	
BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300							
FNMA Discount Note		BNY	31-Dec-12	26-Jun-13	809,681.40	809,959.50	0.000%
Cash		BNY		30-Apr-13	1,000.75	1,000.75	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
#REF!

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>						
US Treasury Bond - SLGS	BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash	BNY		30-Apr-13	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		30-Apr-13	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		30-Apr-13	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,665,000.00	6,666,799.55	0.65%
Cash	BNY		30-Apr-13	4,465.96	4,465.96	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	6,845,000.00	6,846,848.15	0.650%
Cash	BNY		30-Apr-13	1,916.05	1,916.05	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	3,815,000.00	3,816,030.05	0.650%
Cash	BNY		30-Apr-13	1,660.52	1,660.52	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	5,725,000.00	5,726,545.75	0.650%
Cash	BNY		30-Apr-13	658.27	658.27	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	9,245,000.00	9,247,496.15	0.650%
Cash	BNY		30-Apr-13	1,788.32	1,788.32	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FHLB Step Notes	BNY	24-May-12	24-May-16	1,495,000.00	1,495,403.65	0.650%
Cash Balance	BNY		30-Apr-13	2,724.27	2,724.27	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,428,034.18	0.160%
Cash Balance	BNY		30-Apr-13	764.57	764.57	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,343,455.80	0.330%
Cash Balance	BNY		30-Apr-13	4,652.83	4,652.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	04-Oct-12	31-May-13	7,048,911.14	7,054,858.90	0.130%
Cash Balance	BNY		30-Apr-13	195.21	195.21	
<u>BOND FUND - 2013A RENEWAL NOTE CONST FD. (RESTRICTED) - 699961, 165211</u>						
Cash Balance	BNY		30-Apr-13	3,639.46	3,639.46	
<u>BOND FUND - 2013B BAN CONSTRUCTION FD. (RESTRICTED) - 699963, 165212</u>						
Cash Balance	BNY		30-Apr-13	2,193.88	2,193.88	
<u>BOND FUND - 2013 REFUNDING BAN CONST FD. (RESTRICTED) - 666286, 165231</u>						
Cash Balance	BNY		30-Apr-13	3,682.76	3,682.76	
<u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165232</u>						
Cash Balance	BNY	05-Mar-13	05-Mar-14	6,627,231.69	6,631,162.86	0.160%
	BNY		30-Apr-13	133.80	133.80	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 86,006,158.55	86,020,426.20	
TOTAL INVESTED FUNDS:				\$ 151,556,158.55	151,778,179.60	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
April-13

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>OPERATING FUND-618-986022</u> <u>Money Market</u>	JP Morgan Chase	112001	488,724	488,724	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> <u>Money Market</u>	Capital One	112911	453,831	453,831	0.40%
<u>REVENUE FUND -825-114624</u> <u>Money Market</u>	JP Morgan Chase	111905	6,181,097	6,181,097	0.10%
<u>GENERAL FUND-812-003519</u> <u>Money Market</u>	JP Morgan Chase	112907	77,425	77,425	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> <u>Money Market</u>	JP Morgan Chase	112002	96,476,048	96,476,048	0.25%
<u>BANS 2013A MONEY MKT (RESTRICTED)-21788940</u> <u>Money Market</u>	Valley National Bank	112904	5,116,061	5,116,061	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> <u>Money Market</u>	Capital One	112914	34,101,339	34,101,339	0.40%
<u>BANS 2013B MONEY MKT (RESTRICTED) -944-580-530</u> <u>Money Market</u>	HSBC	112919	43,136,748	43,136,748	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> <u>Money Market</u>	Bridgheampton National Bank	112918	13,502,368	13,502,368	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> <u>Money Market</u>	1st National Bank of L.I.	112930	2,000,822	2,000,822.11	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> <u>Money Market</u>	Community National Bank	112932	7,305,295	7,305,295.39	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			<u>\$ 208,839,758.75</u>	<u>\$ 208,839,758.75</u>	
GRAND TOTAL			<u>\$ 360,395,917.30</u>	<u>\$ 360,617,938.35</u>	
TOTAL RESTRICTED FUNDS			134,258,967.67	134,273,235.32	
TOTAL UNRESTRICTED FUNDS			226,136,949.63	226,344,703.03	
GRAND TOTAL			<u>\$ 360,395,917.30</u>	<u>\$ 360,617,938.35</u>	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS

May-13

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>OPERATING FUND</u>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	4,980,250.00	1.000%
<u>GENERAL FUND</u>						
FHLB Notes	HSBC Brokerage	11-Feb-13	30-Jul-15	\$ 2,000,000.00	1,998,500.00	0.375%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	\$ 2,000,000.00	1,999,820.00	0.500%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	\$ 5,000,000.00	5,024,800.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	\$ 3,000,000.00	2,989,380.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	\$ 2,000,000.00	2,007,080.00	1.350%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	\$ 2,000,000.00	2,000,000.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	\$ 5,000,000.00	4,960,250.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	\$ 2,000,000.00	2,001,440.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	\$ 2,500,000.00	2,482,375.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	\$ 2,000,000.00	1,992,960.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	\$ 2,000,000.00	1,978,000.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	\$ 2,500,000.00	2,487,350.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	\$ 3,000,000.00	2,974,110.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	\$ 3,000,000.00	2,979,390.00	0.700%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	\$ 3,000,000.00	2,972,070.00	0.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	\$ 5,000,000.00	4,998,900.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	\$ 2,500,000.00	2,451,550.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	\$ 2,500,000.00	2,483,250.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	\$ 2,500,000.00	2,472,875.00	1.690%
FHLB Step Notes	HSBC Brokerage	13-May-13	30-Oct-20	\$ 2,500,000.00	2,462,875.00	1.000%
Various	Cutwater Asset Management	Various	Various	\$ 11,550,000.00	11,596,050.25	
SUBTOTAL INVESTED FUNDS:				\$ 72,550,000.00	72,293,275.25	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
May-13

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020</u>							
FHLB Discount Notes	658451	BNY	29-May-13	03-Jun-13	\$ 11,715,991.86	\$ 11,716,000.00	0.000%
FHLB Discount Notes	658450	BNY	29-May-13	03-Jun-13	\$ 1,629,998.87	\$ 1,630,000.00	0.000%
Cash (combined total)		BNY		31-May-13	828.52	828.52	
<u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u>							
FFCB Notes		BNY	30-May-13	30-May-17	835,000.00	828,169.70	0.750%
Cash		BNY		31-May-13	3,084.37	3,084.37	
<u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash		BNY		31-May-13	0.04	0.04	
<u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash		BNY		31-May-13	25,002.03	25,002.03	
<u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash		BNY		31-May-13	0.00	0.00	
<u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash		BNY		31-May-13	43,315.78	43,315.78	
<u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash		BNY		31-May-13	0.00	0.00	
<u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u>							
FHLB Discount Notes		BNY	13-Jul-12	12-Jul-13	644,758.96	645,980.62	0.190%
Cash		BNY		31-May-13	290.44	290.44	
<u>BOND FUND - 2001B EFC BOND CONSTRUCTION ACCOUNT (RESTRICTED), 648251, GL 165190</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		31-May-13	169.94	169.94	
<u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash		BNY		31-May-13	691.61	691.61	
<u>BOND FUND - 2002A EFC CONSTRUCTION ACCOUNT (RESTRICTED)-296009, 165250</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		31-May-13	57.02	57.02	
<u>BOND FUND - 2002G EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296013, 165270</u>							
Cash		BNY			0.00	0.00	0.000%
		BNY		31-May-13	34.76	34.76	
<u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u>							
FHLB Discount Note		BNY	13-Jul-12	12-Jul-13	447,139.34	447,986.56	0.190%
FHLB Discount Note		BNY	28-Mar-13	28-Sep-13	141,935.75	141,977.81	0.090%
Cash		BNY		31-May-13	796.86	796.86	
<u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u>							
FFCB Notes		BNY	30-May-13	30-May-17	8,753,000.00	8,681,400.46	0.750%
Cash		BNY		31-May-13	29,246.52	29,246.52	
<u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296020, 165300</u>							
FNMA Discount Note		BNY	31-Dec-12	26-Jun-13	809,681.40	809,991.90	0.000%
Cash		BNY		31-May-13	1,000.75	1,000.75	

SUFFOLK COUNTY WATER AUTHORITY**INVESTMENTS cont'd.****May-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	YIELD
<u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u>						
US Treasury Bond - SLGS	BNY	24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.666%
Cash	BNY		31-May-13	976.31	976.31	
<u>BOND FUND - 2004 EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash	BNY		31-May-13	0.00	0.00	
<u>BOND FUND - 2005 EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash	BNY		31-May-13	0.00	0.00	
<u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u>						
FFCB Notes	BNY	30-May-13	30-May-17	6,669,000.00	6,614,447.58	0.75%
Cash	BNY		31-May-13	22,127.21	22,127.21	
<u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u>						
FFCB Notes	BNY	30-May-13	30-May-17	6,846,000.00	6,789,999.72	0.750%
Cash	BNY		31-May-13	23,162.30	23,162.30	
<u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</u>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,784,785.12	0.750%
Cash	BNY		31-May-13	13,059.27	13,059.27	
<u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,678,169.50	0.750%
Cash	BNY		31-May-13	19,264.52	19,264.52	
<u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,170,367.72	0.750%
Cash	BNY		31-May-13	30,834.57	30,834.57	
<u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,484,754.54	0.750%
Cash Balance	BNY		31-May-13	5,583.02	5,583.02	
<u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,428,544.69	0.130%
Cash Balance	BNY		31-May-13	764.57	764.57	
<u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,341,459.38	0.330%
Cash Balance	BNY		31-May-13	4,652.83	4,652.83	
<u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,048,824.60	0.130%
Cash Balance	BNY		31-May-13	6,472.56	6,472.56	
<u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165232</u>						
FHLB Discount Notes	BNY	05-Mar-13	05-Mar-14	6,627,231.69	6,632,424.08	0.160%
Cash Balance	BNY		31-May-13	133.80	133.80	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				\$ 88,224,576.35	87,866,203.58	
TOTAL INVESTED FUNDS:				\$ 160,774,576.35	160,159,478.83	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
May-13

			<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>OPERATING FUND-618-986022</u> <u>Money Market</u>	JP Morgan Chase	112001	613,710	613,710	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> <u>Money Market</u>	Capital One	112911	820,154	820,154	0.40%
<u>REVENUE FUND -825-114624</u> <u>Money Market</u>	JP Morgan Chase	111905	3,323,834	3,323,834	0.10%
<u>GENERAL FUND-812-003519</u> <u>Money Market</u>	JP Morgan Chase	112907	197,207	197,207	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> <u>Money Market</u>	JP Morgan Chase	112002	89,302,422	89,302,422	0.25%
<u>BANS 2013A MONEY MKT (RESTRICTED)-21788940</u> <u>Money Market</u>	Valley National Bank	112904	5,118,118	5,118,118	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> <u>Money Market</u>	Capital One	112914	34,101,618	34,101,618	0.40%
<u>BANS 2013B MONEY MKT (RESTRICTED) -944-580-530</u> <u>Money Market</u>	HSBC	112919	38,646,922	38,646,922	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> <u>Money Market</u>	Bridgehampton National Bank	112918	13,502,294	13,502,294	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> <u>Money Market</u>	1st National Bank of L.I.	112930	2,000,749	2,000,749	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> <u>Money Market</u>	Community National Bank	112932	7,305,196	7,305,196	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			<u>\$ 194,932,226</u>	<u>\$ 194,932,226</u>	
GRAND TOTAL			<u>\$ 355,706,802.45</u>	<u>\$ 355,091,704.93</u>	
TOTAL RESTRICTED FUNDS			131,989,617	131,631,244	
TOTAL UNRESTRICTED FUNDS			223,717,185	223,460,461	
GRAND TOTAL			<u>\$ 355,706,802.45</u>	<u>\$ 355,091,704.93</u>	