



SUFFOLK COUNTY WATER AUTHORITY

INVESTMENT REPORT

November 30, 2019

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**November-19**

<b>GENERAL FUND</b>	<b>BANK</b>	<b>INVESTMENT</b>	<b>FROM</b>	<b>TO</b>	<b>COUPON RATE</b>	<b>INTEREST</b>
FHLB Step Notes	HSBC Securities USA	\$ 2,500,000.00	17-May-13	15-May-20	1.540%	\$ 19,250.00
FHLB Notes	Raymond James	2,000,000.00	20-Sep-19	26-Nov-21	1.600%	16,000.00
FFCB Notes	Raymond James	2,000,000.00	13-Aug-19	13-May-24	2.220%	11,100.00
FHLMC notes	Raymond James	2,000,000.00	31-Dec-18	26-Nov-21	3.160%	31,600.00
FHLB Notes	Wells Fargo Advisors	2,000,000.00	1-Nov-17	1-Nov-19	1.700%	17,000.00
FHLMC Notes	Wells Fargo Advisors	4,000,000.00	12-Aug-19	12-Aug-24	2.250%	22,500.00
CD Notes	Raymond James	250,000.00	27-Mar-19	27-Mar-24	2.950%	626.37
CD Notes	Raymond James	250,000.00	27-Feb-19	28-Feb-22	2.800%	594.52

	<b>BANK</b>	<b>INVESTMENT</b>	<b>FROM</b>	<b>TO</b>	<b>COUPON RATE</b>	<b>INTEREST</b>
--	-------------	-------------------	-------------	-----------	--------------------	-----------------

**SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020**

FHLB Discount Notes	Bank of New York	\$15,134,961.72	25-Oct-19	22-Nov-19	0.000%	\$ 20,038.28
---------------------	------------------	-----------------	-----------	-----------	--------	--------------

**BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090**

US Treasury Bond-SLGS	Bank of New York	128,973.00	15-Mar-11	15-May-21	2.360%	1,521.88
-----------------------	------------------	------------	-----------	-----------	--------	----------

**BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200**

US Treasury Note -SLGS	Bank of New York	645,708.00	30-Dec-15	15-May-21	1.800%	5,811.37
------------------------	------------------	------------	-----------	-----------	--------	----------

**BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490**

FHLMC Securities	Bank of New York	9,191,914.43	03-Dec-18	30-May-19	2.000%	107,483.58
------------------	------------------	--------------	-----------	-----------	--------	------------

**Total**

**\$ 253,526.00**

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****November-19**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>GENERAL FUND</b>						
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	\$ 1,455,000.00	\$ 1,454,912.70	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,497,925.00	1.540%
FHLMC Notes	HSBC Securities USA	7-Jun-19	24-Jul-20	2,000,000.00	2,000,200.00	1.750%
FHLMC Notes	HSBC Securities USA	6-Jun-19	28-Aug-20	2,000,000.00	2,002,300.00	1.750%
FHLB Notes	HSBC Securities USA	8-Mar-19	12-Mar-21	2,000,000.00	1,999,780.00	1.750%
FHLMC Notes	Raymond James	27-Jul-18	27-Jul-21	2,000,000.00	1,998,820.00	1.500%
FHLB Notes	Raymond James	20-Sep-19	26-Nov-21	2,000,000.00	1,986,220.00	1.600%
CD Notes	Raymond James	27-Feb-19	28-Feb-22	250,000.00	255,912.50	2.800%
CD Notes	Raymond James	27-Feb-19	28-Feb-22	250,000.00	255,922.50	2.800%
FHLB Notes	Raymond James	20-Sep-19	26-Aug-22	2,000,000.00	2,000,100.00	2.000%
FFCB Notes	Raymond James	27-Feb-19	12-Sep-22	1,000,000.00	1,000,000.00	2.000%
FFCB Notes	Raymond James	27-Feb-19	12-Sep-22	1,000,000.00	1,000,010.00	1.940%
FHLMC Notes	Wells Fargo Advisors	3-Oct-19	3-Oct-22	4,000,000.00	3,992,600.00	2.000%
CD Notes	Raymond James	28-Feb-19	28-Feb-23	250,000.00	258,140.00	2.850%
CD Notes	Raymond James	7-Mar-19	7-Mar-23	250,000.00	258,195.00	2.850%
CD Notes	Raymond James	27-Mar-19	27-Mar-23	250,000.00	250,850.00	2.650%
NYCGEN Notes	Raymond James	13-Nov-19	1-May-23	4,000,000.00	4,075,560.00	2.530%
CD Notes	Raymond James	5-Apr-19	5-Oct-23	250,000.00	250,272.50	2.750%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	514,610.00	2.750%
FHLB Notes	Wells Fargo Advisors	26-Feb-19	26-Feb-24	2,000,000.00	2,019,560.00	2.750%
FHLMC Notes	Wells Fargo Advisors	28-Feb-19	28-Feb-24	2,000,000.00	2,003,460.00	2.875%
FHLMC Notes	Wells Fargo Advisors	25-Mar-19	25-Mar-24	2,000,000.00	2,012,680.00	2.750%
CD Notes	Raymond James	27-Mar-19	27-Mar-24	250,000.00	259,615.00	2.950%
FFCB Notes	Raymond James	13-Aug-19	13-May-24	2,000,000.00	1,979,220.00	2.220%
FHLB Notes	HSBC Securities USA	9-Aug-19	8-Aug-24	4,000,000.00	3,965,200.00	2.000%
FHLMC Notes	Raymond James	1-Nov-19	10-Sep-24	2,000,000.00	1,996,060.00	1.750%
FHLMC Notes	Raymond James	25-Oct-19	15-Oct-24	3,000,000.00	2,984,430.00	1.875%
FHLMC Notes	Wells Fargo Advisors	28-Oct-19	28-Oct-24	2,000,000.00	1,994,740.00	2.000%
FHLB Notes	Raymond James	30-Oct-19	30-Oct-24	3,000,000.00	2,989,920.00	1.800%
FHLMC Notes	Wells Fargo Advisors	30-Oct-19	30-Oct-24	2,000,000.00	1,987,080.00	2.050%
FHLMC Notes	Wells Fargo Advisors	30-Oct-19	30-Oct-24	2,000,000.00	2,000,120.00	2.000%
FHLMC Notes	Wells Fargo Advisors	18-Nov-19	18-Nov-24	4,000,000.00	3,986,680.00	2.000%
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 58,205,000.00</b>	<b>\$ 58,231,095.20</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-19**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>						
658451						
FHLB Discount Notes	Bank of New York	25-Oct-19	22-Nov-19	\$ 18,173,051.54	\$ 18,178,000.00	0.000%
Cash (combined total)	Bank of New York		30-Nov-19	9.03	9.03	
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>						
US Treasury Bond-SLG	Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>						
US Treasury Note-SLG	Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLG	Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>						
US Treasury Note -SLG	Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
Cash	Bank of New York		30-Nov-19		0.00	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>						
US Treasury Bond-SLG	Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>						
US Treasury Note -SLG	Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</b>						
US Treasury Note - SLG	Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</b>						
US Treasury Bond - SLC	Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</b>						
US Treasury Note - SLG	Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
Cash	Bank of New York		30-Nov-19	0.00	0.00	
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>						
FHLB Discount Notes	Bank of New York	27-Nov-19	22-May-20	9,190,341.65	9,188,512.80	2.350%
Cash	Bank of New York		30-Nov-19	109,141.93	109,141.93	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</b>						
FNMA Notes	Bank of New York	30-Nov-18	30-Nov-20	2,417,949.61	2,476,559.01	1.500%
Cash Balance	Bank of New York		30-Nov-19	1,050.39	1,050.39	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>						
US Treasury Notes - Boi	Bank of New York	30-Nov-18	31-May-21	7,779,608.01	9,589,384.25	2.000%
US Treasury Notes - Boi	Bank of New York	03-Dec-18	31-May-21	1,580,830.47	0.00	2.000%
Cash Balance	Bank of New York		30-Nov-19	2,295.81	2,295.81	
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</b>						
US Treasury Notes - Boi	Bank of New York	30-Nov-18	30-Nov-22	7,047,306.56	7,354,944.43	2.000%
Cash Balance	Bank of New York		30-Nov-19	1,693.44	1,693.44	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</b>						
US Treasury Notes - Boi	Bank of New York	03-Dec-18	30-Nov-22	6,625,615.39	6,919,028.45	2.000%
Cash Balance	Bank of New York		30-Nov-19	256.97	256.97	
<b>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</b>						
US Treasury Notes - Boi	Bank of New York	03-Dec-18	30-Nov-23	3,678,026.52	3,847,538.39	2.875%
Cash Balance	Bank of New York		30-Nov-19	3,103.74	3,103.74	
<b>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</b>						
US Treasury Notes - Boi	Bank of New York	03-Dec-18	30-Nov-23	4,653,890.82	4,868,378.05	2.875%
Cash Balance	Bank of New York		30-Nov-19	3,008.54	3,008.54	
<b>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</b>						
US Treasury Notes - Boi	Bank of New York	03-Dec-18	30-Nov-23	10,459,982.81	10,942,059.60	2.875%
Cash Balance	Bank of New York		30-Nov-19	7,543.43	7,543.43	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-19**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>					
US Treasury Notes - Boi Bank of New York	03-Dec-18	30-Nov-23	3,856,367.23	4,034,098.41	2.875%
Cash Balance Bank of New York		30-Nov-19	2,720.75	2,720.75	
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>					
US Treasury Notes - Boi Bank of New York	03-Dec-18	30-Nov-23	7,033,436.72	7,357,591.80	2.875%
Cash Balance Bank of New York		30-Nov-19	5,899.89	5,899.89	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>					
US Treasury Notes - Boi Bank of New York	03-Dec-18	30-Nov-23	3,338,377.66	3,492,235.88	2.875%
Cash Balance Bank of New York		30-Nov-19	2,832.82	2,832.82	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>					
US Treasury Notes - Boi Bank of New York	03-Dec-18	30-Nov-23	4,495,588.40	4,702,779.83	2.875%
Cash Balance Bank of New York		30-Nov-19	348.40	348.40	
<b><u>BOND FUND - 2018A REFUNDING RESERVE FD (RESTRICTED) - 349287, 165655</u></b>					
US Treasury Notes - Boi Bank of New York	03-Aug-18	05-Apr-22	9,267,087.29	9,626,944.32	1.875%
Cash Balance Bank of New York		30-Nov-19	90,180.79	90,180.79	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>			<b>103,076,682.61</b>	<b>106,057,277.15</b>	
<b>TOTAL INVESTED FUNDS:</b>			<b>\$ 161,281,682.61</b>	<b>\$ 164,288,372.35</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-19**

Balances based on SAP Balances

				<u>INVESTMENT</u>		Money Market Interest Rates	
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>							
<u>GENERAL FUND - CUSTOMER REFUND</u>							
Money Market	Capital One	112014	\$	1,502,115.41	\$	1,502,115.41	1.50%
<u>GENERAL FUND - W/COMP SELF INS</u>							
Money Market	Citibank	112905		7,530,325.26		7,530,325.26	0.45%
<u>OPERATING FUND</u>							
Money Market	JP Morgan Chase	112001		40,916.56		40,916.56	0.20%
<u>SPECIAL DEPOSIT</u>							
Money Market	Capital One	112911		196,118.15		196,118.15	1.50%
<u>REVENUE FUND</u>							
Money Market	JP Morgan Chase	111905		1,509,810.05		1,509,810.05	0.20%
<u>GENERAL FUND</u>							
Money Market	JP Morgan Chase	112907		53,123,696.67		53,123,696.67	0.20%
Money Market	JP Morgan Chase - KUBRA-LBX	111016		(322,484.06)		(322,484.06)	0.15%
<u>GENERAL FUND</u>							
Money Market	JP Morgan Chase	112002		27,380,300.71		27,380,300.71	0.80%
<u>OPERATING FUND</u>							
Money Market	Capital One	112914		27,044,843.66		27,044,843.66	1.50%
<u>GENERAL FUND</u>							
Money Market	HSBC	112903		20,424.15		20,424.15	0.10%
<u>CONSTRUCTION FUND (2018A Bonds) - (RESTRICTED)</u>							
Money Market	HSBC	112926		29,007,875.70		29,007,875.70	1.19%
<u>OPERATING FUND</u>							
Money Market	Bridgehampton National Bank	112918		5,503,498.26		5,503,498.26	0.80%
<u>OPERATING FUND - OPEB</u>							
Money Market	1st National Bank of L.I.	112930		58,162,902.29		58,162,902.29	0.99%
<u>OPERATING FUND - RET</u>							
Money Market	Bridgehampton National Bank	112932		8,804,080.74		8,804,080.74	0.80%
<u>GENERAL FUND - (Infrastructure)</u>							
Money Market	Empire National Bank	112933		19,778,433.48		19,778,433.48	1.75%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			:	<u>\$</u>	<u>239,282,857.03</u>	<u>\$</u>	<u>239,282,857.03</u>
GRAND TOTAL			:	<u>\$</u>	<u>400,564,539.64</u>	<u>\$</u>	<u>403,571,229.38</u>
TOTAL RESTRICTED FUNDS			:		132,084,558.31		135,065,152.85
TOTAL UNRESTRICTED FUNDS			:		268,479,981.33		268,506,076.53
GRAND TOTAL			:	<u>\$</u>	<u>400,564,539.64</u>	<u>\$</u>	<u>403,571,229.38</u>

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS cont'd.**

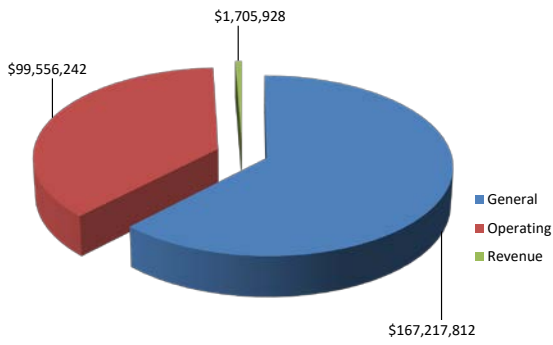
**November-19**

General	\$	167,217,812
Operating	\$	99,556,242
Revenue	\$	1,705,928
Total	\$	<u>268,479,981</u>

Construction Proceeds	\$	29,007,876
Debt Service	\$	18,173,061
Debt Service Reserve	\$	84,903,622
Total	\$	<u>132,084,558</u>

GT \$ 400,564,540

**Unrestricted Investments**



**Restricted Investments**

