



SUFFOLK COUNTY WATER AUTHORITY

INVESTMENT REPORT

August 31, 2019

SUFFOLK COUNTY WATER AUTHORITY
Maturing Investments & Interest Payments
August-19

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
GENERAL FUND						
FFCB Notes	Raymond James Assoc.,	\$ 2,000,000.00	27-Feb-19	15-Aug-22	2.800%	\$ 28,000.00
NYCGEN Notes	Raymond James Assoc.,	500,000.00	15-Jun-15	1-Feb-24	2.750%	6,875.00
FHLB Notes	Raymond James Assoc.,	2,000,000.00	30-Oct-17	1-Aug-19	1.600%	16,000.00
FFCB Notes	HSBC Securities	2,000,000.00	15-Feb-19	15-Aug-22	2.800%	28,000.00
FHLMC Notes	HSBC Securities	2,000,000.00	6/72019	28-Aug-20	1.750%	17,500.00
CD Notes	Raymond James Assoc.,	250,000.00	8-Apr-19	8-Apr-24	2.950%	626.37
CD Notes	Raymond James Assoc.,	250,000.00	27-Feb-19	28-Feb-22	2.800%	3,471.23
CD Notes	Raymond James Assoc.,	250,000.00	27-Feb-19	28-Feb-22	2.800%	594.52
CD Notes	Raymond James Assoc.,	250,000.00	27-Mar-19	27-Mar-24	2.950%	626.37
FHLMC Notes	Raymond James Assoc.,	2,000,000.00	28-Feb-19	28-Feb-22	2.750%	27,500.12
FHLB Notes	Wells Fargo Advisors	2,000,000.00	26-Feb-19	26-Feb-24	2.750%	27,500.00
FHLMC Notes	Wells Fargo Advisors	2,000,000.00	28-Feb-19	28-Feb-24	2.875%	28,750.00

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
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SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020

FHLB Discount Notes	Bank of New York	\$ 6,038,936.40	03-Jun-19	24-Jul-19	0.000%	\$ 9,063.60
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BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330

US Treasury Bond - SLGS Bank of New York		394,782.00	02-Jul-14	15-Aug-24	2.550%	5,033.47
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Total

\$ 199,540.68

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS

August-19

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
GENERAL FUND							
FNMA Notes	Wells Fargo Advisors (MS)	3135G0ZG1	17-Sep-18	12-Sep-19	3,000,000.00	2,999,700.00	1.750%
FHLB notes	HSBC Securities USA	3130ACJ88	27-Oct-17	27-Sep-19	2,000,000.00	1,999,260.00	1.550%
FFCB notes	HSBC Securities USA	3133EHF32	27-Oct-17	10-Oct-19	2,000,000.00	1,998,620.00	1.500%
FNMA Notes	HSBC Securities USA	3135G0R39	17-Sep-18	24-Oct-19	3,000,000.00	2,995,590.00	1.000%
FHLB Notes	Raymond James	313382SB0	28-Jun-17	24-Oct-19	1,045,000.00	1,044,258.05	1.500%
FHLB Notes	Wells Fargo Advisors	3130ACQH0	1-Nov-17	1-Nov-19	2,000,000.00	1,998,100.00	1.700%
FHLMC Notes	Raymond James	3134GBRZ7	28-Jun-17	20-Dec-19	1,455,000.00	1,453,064.85	1.500%
FHLB Step Notes	HSBC Securities USA	313382ZT3	17-May-13	15-May-20	2,500,000.00	2,493,725.00	1.540%
FHLMC notes	Raymond James	3134GBRB0	13-Dec-17	5-Jun-20	1,000,000.00	1,000,010.00	2.250%
FHLMC Notes	HSBC Securities USA	3136G4PC1	7-Jun-19	24-Jul-20	2,000,000.00	1,998,000.00	1.750%
FHLMC Notes	HSBC Securities USA	3134GA4Y7	6-Jun-19	28-Aug-20	2,000,000.00	2,000,660.00	1.750%
FFCB Notes	Raymond James	3133EKXM3	29-Jul-19	29-Jan-21	3,000,000.00	3,000,390.00	2.080%
FHLB Notes	HSBC Securities USA	313382K69	8-Mar-19	12-Mar-21	2,000,000.00	2,004,080.00	1.750%
FHLMC Notes	Wells Fargo Advisors	3134GTTL7	17-Jun-19	17-Jun-21	2,000,000.00	2,000,240.00	2.350%
FHLMC Notes	Raymond James	3134G9T31	27-Jul-18	27-Jul-21	2,000,000.00	1,998,760.00	1.500%
FHLMC notes	Raymond James	3134GSC45	31-Dec-18	26-Nov-21	2,000,000.00	2,006,840.00	3.160%
CD Notes	Raymond James	38148P5N3	27-Feb-19	28-Feb-22	250,000.00	255,980.00	2.800%
CD Notes	Raymond James	59013J6T1	27-Feb-19	28-Feb-22	250,000.00	255,982.50	2.800%
FHLMC Notes	Wells Fargo Advisors	3134GTCN1	1-Apr-19	1-Apr-22	3,000,000.00	3,001,950.00	2.600%
FFCB Notes	Raymond James	3133EKVU7	26-Jul-19	18-Jul-22	3,000,000.00	3,000,390.00	2.250%
FFCB Notes	Raymond James	3133EHXZ1	27-Feb-19	12-Sep-22	1,000,000.00	1,000,010.00	2.000%
FFCB Notes	Raymond James	3133EHYB3	27-Feb-19	12-Sep-22	1,000,000.00	1,000,010.00	1.940%
FHLMC Notes	Wells Fargo Advisors	3134GB3Y6	22-Mar-19	6-Dec-22	2,000,000.00	2,000,080.00	2.500%
CD Notes	Raymond James	61690UEQ9	28-Feb-19	28-Feb-23	250,000.00	258,015.00	2.850%
CD Notes	Raymond James	359899AE1	7-Mar-19	7-Mar-23	250,000.00	258,032.50	2.850%
CD Notes	Raymond James	86789VYC5	27-Mar-19	27-Mar-23	250,000.00	251,192.50	2.650%
FFCB Notes	Raymond James	3133EKTR7	26-Jul-19	3-Jul-23	3,000,000.00	3,001,110.00	2.370%
FHLB Notes	Wells Fargo Advisors (MS)	3130AEZN3	5-Oct-18	27-Sep-23	2,000,000.00	2,002,080.00	3.375%
FFCB Notes	Raymond James	3133EJE39-R	11-Mar-19	4-Oct-23	2,000,000.00	2,002,860.00	3.340%
FFCB Notes	Wells Fargo Advisors (MS)	3133EJE39	5-Oct-18	4-Oct-23	2,000,000.00	2,002,860.00	3.340%
CD Notes	Raymond James	05581WQ24	5-Apr-19	5-Oct-23	250,000.00	250,172.50	2.750%
FHLB Notes	Raymond James	3130AFAP2	11-Mar-19	30-Oct-23	2,005,000.00	2,011,195.45	3.500%
FFCB Notes	Raymond James	3133EKVZ6	26-Jul-19	22-Jan-24	2,000,000.00	2,001,280.00	2.400%
NYCGEN Notes	Raymond James	64971WSZ4	15-Jun-15	1-Feb-24	500,000.00	518,870.00	2.750%
FHLB Notes	Wells Fargo Advisors	3130AFXA0	26-Feb-19	26-Feb-24	2,000,000.00	2,030,600.00	2.750%
FHLMC Notes	Wells Fargo Advisors	3134GS3G8	28-Feb-19	28-Feb-24	2,000,000.00	2,009,400.00	2.875%
FHLMC Notes	Wells Fargo Advisors	3134GS6K6	25-Mar-19	25-Mar-24	2,000,000.00	2,021,640.00	2.750%
CD Notes	Raymond James	949763YW0	27-Mar-19	27-Mar-24	250,000.00	259,785.00	2.950%
CD Notes	Raymond James	828373FL9	8-Apr-19	8-Apr-24	250,000.00	250,282.50	2.950%
FFCB Notes	Raymond James	3133EKZR0	13-Aug-19	13-May-24	2,000,000.00	1,999,120.00	2.220%
FHLB Notes	HSBC Securities USA	3130AGUX1	9-Aug-19	8-Aug-24	4,000,000.00	4,000,280.00	2.000%
FHLMC Notes	Wells Fargo Advisors	3134GTZ63	12-Aug-19	12-Aug-24	4,000,000.00	4,000,520.00	2.250%
SUBTOTAL INVESTED FUNDS:					\$ 74,505,000.00	\$ 74,635,995.85	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
August-19

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020							
658451							
FHLB Discount Notes	Bank of New York	313384MD8	26-Aug-19	25-Sep-19	\$ 9,066,205.54	\$ 9,069,557.94	0.000%
Cash (combined total)	Bank of New York			31-Aug-19	407.17	407.17	
BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110							
US Treasury Bond-SLG	Bank of New York	S35378530	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180							
US Treasury Note-SLGS	Bank of New York	S35352370	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090							
US Treasury Bond-SLG	Bank of New York	S35378560	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200							
US Treasury Note -SLG	Bank of New York	MM000Q9WPH	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
Cash	Bank of New York			31-Aug-19		0.00	
BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260							
US Treasury Bond-SLG	Bank of New York	S35394810	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280							
US Treasury Note -SLG	Bank of New York	MM000Q9UY	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310							
US Treasury Note - SLG	Bank of New York	S35340040	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330							
US Treasury Bond - SLC	Bank of New York	S35357300	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340							
US Treasury Note - SLG	Bank of New York	MM000P6LB	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
Cash	Bank of New York			31-Aug-19	0.00	0.00	
BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490							
FHLB Discount Notes	Bank of New York	313384PU7	30-May-19	27-Nov-19	9,191,467.40	9,255,759.65	2.350%
Cash	Bank of New York			31-Aug-19	532.60	532.60	
BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550							
FNMA Notes	Bank of New York	315G0F73	30-Nov-18	30-Nov-20	2,417,949.61	2,476,087.62	1.500%
Cash Balance	Bank of New York			31-Aug-19	1,050.39	1,050.39	
BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580							
US Treasury Notes - Boi	Bank of New York	912828WN6	30-Nov-18	31-May-21	7,779,608.01	9,613,628.55	2.000%
US Treasury Notes - Boi	Bank of New York	912828WN6	03-Dec-18	31-May-21	1,580,830.47	0.00	2.000%
Cash Balance	Bank of New York			31-Aug-19	2,295.81	2,295.81	
BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620							
US Treasury Notes - Boi	Bank of New York	912828M80	30-Nov-18	30-Nov-22	7,047,306.56	7,402,096.08	2.000%
Cash Balance	Bank of New York			31-Aug-19	1,693.44	1,693.44	
BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632							
US Treasury Notes - Boi	Bank of New York	912828M80	03-Dec-18	30-Nov-22	6,625,615.39	6,963,385.49	2.000%
Cash Balance	Bank of New York			31-Aug-19	256.97	256.97	
BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635							
US Treasury Notes - Boi	Bank of New York	9128285P1	03-Dec-18	30-Nov-23	3,678,026.52	3,889,975.15	2.875%
Cash Balance	Bank of New York			31-Aug-19	3,103.74	3,103.74	
BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638							
US Treasury Notes - Boi	Bank of New York	9128285P1	03-Dec-18	30-Nov-23	4,653,890.82	4,922,074.25	2.875%
Cash Balance	Bank of New York			31-Aug-19	3,008.54	3,008.54	
BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644							
US Treasury Notes - Boi	Bank of New York	9128285P1	03-Dec-18	30-Nov-23	10,459,982.81	11,062,746.00	2.875%
Cash Balance	Bank of New York			31-Aug-19	7,543.43	7,543.43	

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

August-19

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u>					
US Treasury Notes - Boi Bank of New York					
			9128285P1		
Cash Balance	03-Dec-18	30-Nov-23	3,856,367.23	4,078,592.85	2.875%
Bank of New York		31-Aug-19	2,720.75	2,720.75	
<u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u>					
US Treasury Notes - Boi Bank of New York					
			9128285P1		
Cash Balance	03-Dec-18	30-Nov-23	7,033,436.72	7,438,743.00	2.875%
Bank of New York		31-Aug-19	5,899.89	5,899.89	
<u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u>					
US Treasury Notes - Boi Bank of New York					
			9128285P1		
Cash Balance	03-Dec-18	30-Nov-23	3,338,377.66	3,530,753.80	2.875%
Bank of New York		31-Aug-19	2,832.82	2,832.82	
<u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u>					
US Treasury Notes - Boi Bank of New York					
			9128285P1		
Cash Balance	03-Dec-18	30-Nov-23	4,495,588.40	4,754,649.55	2.875%
Bank of New York		31-Aug-19	348.40	348.40	
<u>BOND FUND - 2018A REFUNDING RESERVE FD (RESTRICTED) - 349287, 165655</u>					
US Treasury Notes - Boi Bank of New York					
			3135G0T45		
Cash Balance	03-Aug-18	05-Apr-22	9,267,087.29	9,673,004.88	1.875%
Bank of New York		31-Aug-19	405.79	405.79	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:			93,772,976.17	97,412,290.55	
TOTAL INVESTED FUNDS:			\$ 168,277,976.17	\$ 172,048,286.40	

SUFFOLK COUNTY WATER AUTHORITY
INVESTMENTS cont'd.
August-19

Balances based on SAP Balances

				<u>INVESTMENT</u>		Money Market Interest Rates		
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>								
<u>GENERAL FUND - CUSTOMER REFUND</u>								
Money Market	Capital One	112014	\$	1,454,255.00	\$	1,454,255.00	1.50%	
<u>GENERAL FUND - W/COMP SELF INS</u>								
Money Market	Citibank	112905		7,530,274.23		7,530,274.23	0.45%	
<u>OPERATING FUND</u>								
Money Market	JP Morgan Chase	112001		468,734.00		468,734.00	0.20%	
<u>SPECIAL DEPOSIT</u>								
Money Market	Capital One	112911		198,351.65		198,351.65	1.50%	
<u>REVENUE FUND</u>								
Money Market	JP Morgan Chase	111905		2,730,822.70		2,730,822.70	0.20%	
<u>GENERAL FUND</u>								
Money Market	JP Morgan Chase	112907		22,180,864.63		22,180,864.63	0.20%	
	JP Morgan Chase - KUBRA-LBX	111016		(182,016.16)		(182,016.16)	0.20%	
<u>GENERAL FUND</u>								
Money Market	JP Morgan Chase	112002		11,376,134.93		11,376,134.93	0.95%	
<u>OPERATING FUND</u>								
Money Market	Capital One	112914		20,778,972.46		20,778,972.46	1.50%	
<u>GENERAL FUND</u>								
Money Market	HSBC	112903		20,424.21		20,424.21	0.10%	
<u>CONSTRUCTION FUND (2018A Bonds) - (RESTRICTED)</u>								
Money Market	HSBC	112926		54,044,806.77		54,044,806.77	1.45%	
<u>OPERATING FUND</u>								
Money Market	Bridgehampton National Bank	112918		5,504,072.00		5,504,072.00	1.26%	
<u>OPERATING FUND - OPEB</u>								
Money Market	1st National Bank of L.I.	112930		53,410,035.83		53,410,035.83	0.99%	
<u>OPERATING FUND - RET</u>								
Money Market	Bridgehampton National Bank	112932		7,094,412.88		7,094,412.88	1.26%	
<u>GENERAL FUND - (Infrastructure)</u>								
Money Market	Empire National Bank	112933		19,779,376.17		19,779,376.17	1.50%	
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:				:	<u>\$</u>	<u>206,389,521.30</u>	<u>\$</u>	<u>206,389,521.30</u>
GRAND TOTAL				:	<u>\$</u>	<u>374,667,497.47</u>	<u>\$</u>	<u>378,437,807.70</u>
TOTAL RESTRICTED FUNDS				:		147,817,782.94		151,457,097.32
TOTAL UNRESTRICTED FUNDS				:		226,849,714.53		226,980,710.38
GRAND TOTAL				:	<u>\$</u>	<u>374,667,497.47</u>	<u>\$</u>	<u>378,437,807.70</u>

SUFFOLK COUNTY WATER AUTHORITY

INVESTMENTS cont'd.

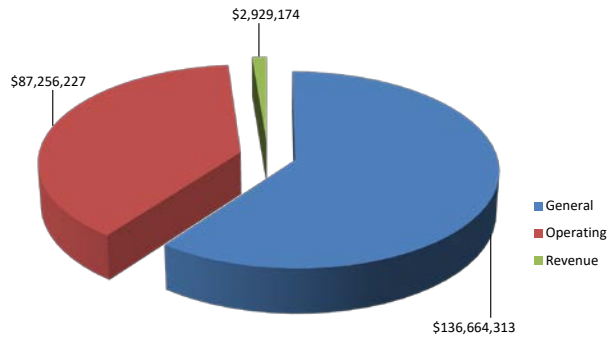
August-19

General	\$	136,664,313
Operating	\$	87,256,227
Revenue	\$	2,929,174
Total	\$	<u>226,849,715</u>

Construction Proceeds	\$	54,044,807
Debt Service	\$	9,066,613
Debt Service Reserve	\$	84,706,363
Total	\$	<u>147,817,783</u>

GT \$ 374,667,497

Unrestricted Investments



Restricted Investments

