



SUFFOLK COUNTY WATER AUTHORITY

ANNUAL INVESTMENT REPORT

FISCAL YEAR ENDED MAY 31, 2018

## AUTHORIZATION

**"Each corporation, other than a corporation included under Paragraph (a) of this sub-division, shall annually submit its investment report to the Chief Executive Office and Chief Financial Officer of each municipality for the benefit of which it was created and to the Department of Audit and Control."**

NAME OF OFFICIAL: Elizabeth K. Vassallo

TITLE: Chief Financial Officer

ADDRESS: Suffolk County Water Authority  
P.O. Box 38  
Oakdale, New York 11769

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# SUFFOLK COUNTY WATER AUTHORITY

## SECTION I

### Investment Policy of the Suffolk County Water Authority

**INVESTMENT POLICY**  
**FOR THE**  
**SUFFOLK COUNTY WATER AUTHORITY**

**I. SCOPE**

This Investment Policy ("Policy") applies to all moneys and other financial resources available for deposit and investment by the Suffolk County Water Authority ("Authority") and on behalf of any other entity or individual.

**II. INVESTMENT OBJECTIVES**

The primary objectives of the Authority's investment program shall, in order of priority, be to: (1) comply with all applicable provisions of law and any applicable covenants contained in the Resolution (as hereinafter defined); (2) safeguard the principal of all deposits and investments; (3) provide sufficient liquidity to ensure that monies invested are available to meet expenditures as they come due; and (4) obtain the maximum rate of return that is consistent with the preceding objectives.

**III. DELEGATION OF AUTHORITY; ESTABLISHMENT OF PROCEDURES**

The members of the Authority ("Board") hereby delegate responsibility for the implementation and administration of the Authority's deposit and investment program ("Program") to the Chief Executive Officer and the Chief Financial Officer ("Finance Officers") who shall establish procedures for the operation of the Program consistent with this Policy ("Procedures"). Such Procedures shall regulate subordinate employees and include an adequate internal control structure to provide a satisfactory level of accountability based on a record incorporating descriptions and amounts of deposits and investments, transaction dates, interest rates and other information necessary to manage the portfolio and to identify the sources of all funds being invested. Except as is otherwise provided in this Policy, no written contracts or agreements shall be required with respect to a specific investment authorized by

this Policy. Any of the Finance Officers shall be authorized to make deposits and investments, or to sell or redeem the same, on behalf of the Authority in accordance with the Procedures and this Policy.

#### **IV. INTERNAL CONTROLS: ANNUAL AUDIT**

(a) The Finance Officers are responsible for establishing and maintaining an internal control structure to provide reasonable assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that such transactions are executed in accordance with proper authorization and recorded properly and, that such transactions are managed in compliance with applicable laws, regulations and bond covenants.

(b) The Authority shall be required to have an annual audit of its investments performed by its independent accountants. This annual audit shall include a review of the Authority's internal control structure as it relates to the Program and verify that the Finance Officers have acted in accordance with the Policy and Procedures.

#### **V. PRUDENCE**

The Finance Officers and their subordinates shall at all times act responsibly as custodians of the public trust. Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their affairs, not for speculation, but for investment, considering the safety of principal as well as the income to be derived. The Finance Officers and their subordinates involved in the investment process shall refrain from personal investment activity that could conflict with proper execution of the Program or which could impair their ability to make impartial investment decisions.

#### **VI. AUTHORIZED INVESTMENTS**

Except as otherwise may be provided in a contract with bond or note holders or this Policy, monies not required for immediate expenditure may be invested in any of the following:

(i) direct obligations of, or obligations the principal of and interest on which are unconditionally guaranteed by, the United States of America;

(ii) bonds, debentures, notes, participation certificates or other evidences of indebtedness issued or guaranteed by the Bank for Cooperatives; Federal Intermediate Credit Bank; Federal Home Loan Bank System; Export-Import Bank of the United States; Federal Land Banks; Federal National Mortgage Association; United States Postal Service; Government National Mortgage Association; Federal Financing Bank; Farmers Home Administration; Federal Home Loan Mortgage Association; Tennessee Valley Authority or any agency or instrumentality of the United States of America or any other corporation wholly-owned by the United States of America;

(iii) New housing authority bonds issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a pledge of annual contributions under an annual contributions contract or contracts with the United States of America or any agency thereof; or project notes issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a requisition or payment agreement with the United States of America or any other agency thereof;

(iv) bank time deposits evidenced by certificates of deposit and bankers' acceptances issued by any bank or trust company which is a member of the Federal Deposit Insurance Corporation (hereinafter "Bank");

(v) certificates or other obligations that evidence ownership of the right to payments of principal of or interest on obligations of the United States of America or any state of the United States of America or any political subdivision thereof or any agency or instrumentality of the United States of America or any state or political subdivision, provided that such obligations shall be held in trust by a bank or trust company or a national banking association meeting the requirements for a Bond Fund Trustee under Section 7.1 of the Resolution (as hereinafter

defined), and provided further that, in the case of certificates or other obligations of a state or political subdivision, the payments of all principal of and interest on such certificates or such obligations shall be fully insured or unconditionally guaranteed by, or otherwise unconditionally payable pursuant to a credit support arrangement provided by, one or more financial institutions or insurance companies or associations which shall be rated in the highest rating category by at least two nationally recognized bond rating agencies or, in the case of an insurer providing municipal bond insurance policies insuring the payment, when due, of the principal and interest on municipal bonds, such insurance policy shall result in such municipal bonds being rated in the highest rating category by at least two nationally recognized bond rating agencies, and

(vi) obligations of any state of the United States of America or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision, except industrial development revenue bonds, which shall be rated in the highest two rating categories by at least two nationally recognized bond rating agencies.

Investments shall be payable or redeemable, at the option of the Authority, within such time as the proceeds shall be needed to meet expenditures for the purpose for which the monies were provided.

#### **VII. DEPOSITS**

All monies collected by any officer or employee of the Authority shall be deposited in such Banks as have been authorized by the Board of the Authority. It is the policy of the Authority that all monies collected by any officer or employee of the Authority shall be immediately deposited in such Banks in accordance with the Procedures established pursuant to Section III of this Policy.

#### **VIII. DIVERSIFICATION**

Investments and deposits shall be diversified by issuer, financial institution, maturity and type of investment to eliminate the risk of loss resulting from over concentration of assets in a



specific issuer, bank or trading partner or a specific maturity. No more than seventy-five percent (75%) of the Authority's funds may be invested in the securities of any one issuer, with the exception of those obligations enumerated in paragraph (i) of Section VI of this Policy. For purposes of the preceding sentence, each Bank issuing a bank time deposit evidenced by a certificate of deposit or bankers' acceptance shall be deemed to be the issuer of a security.

**IX. AUTHORIZED BANKS AND TRADING PARTNERS**

(a) The Finance Officers shall maintain a list of Banks, brokers, dealers and investment advisors approved to do business with the Authority. No Bank, broker, dealer or investment advisor shall be included on such list unless approved by the Board. If appropriate, the Finance Officers shall establish limits on the amount of investments that may be outstanding with any approved bank, broker or dealer at any time. All Banks, brokers and dealers with which the Authority conducts business must be creditworthy as determined by criteria established by the Finance Officers. All Banks with which the Authority does business shall provide their most recent Consolidated Report of Condition (Call Report) to the Finance Officers at the request of any such officer. Brokers and dealers not affiliated with a Bank shall be recognized primary dealers designated by the Federal Reserve Bank of New York. The Finance Officers are responsible for periodically evaluating the financial position of, and the quality of service provided by, Banks, brokers, dealers and investment advisors with which the Authority does business and, based on such evaluations, shall recommend to the Board revisions to the list of eligible Banks and trading partners as they deem appropriate.

(b) Every Bank, broker, dealer or investment advisor approved pursuant to paragraph (a) of this Section shall be given a copy of this Policy. Each such Bank, broker dealer or investment advisor shall certify in writing to the Authority that it has reviewed the Policy and that it will comply with the provisions thereof.

**X. PROCEDURES FOR SECURING DEPOSITS, SPECIAL TIME DEPOSITS AND CERTIFICATES OF DEPOSIT**

**(a) WRITTEN SECURITY AGREEMENTS**

Monies of the Authority shall only be deposited or invested in a Bank pursuant to paragraph (iv) of Section VI of this Policy if the Authority has entered into a written security agreement with such Bank and only if the total amount of such deposits do not exceed at any one time in the aggregate of (5%) five percent the total of the capital and surplus of such Bank. Such security agreement shall require the Bank to secure all Authority deposits with securities (1) of the type enumerated in paragraphs (i), (ii), or (iii) of Section VI of this Policy; or (2) with direct and general obligations, to the payment of the principal of and interest on which the faith and credit of the issuer is pledged, of the State of New York or any political subdivision thereof; provided that (a) as to such obligations of a political subdivision, all the taxable real property within such political subdivision shall be subject to taxation thereby to pay such obligations and the interest thereon, without limitation as to the rate or amount, and (b) at the time of their purchase, such obligations of such state or political subdivision are rated in one of the three highest rating categories by two nationally recognized bond rating agencies ("Collateral"). Such security agreement shall also (1) require that the Collateral provided by the Bank at all times have a market value (exclusive of accrued interest) at least equal to the amount of deposits or investments secured by such Collateral; (2) prescribe the manner in which the market value of the Collateral shall be determined and provide for the valuation of Collateral; (3) require the Bank to provide additional Collateral if the market value falls below the required amount of Collateral; (4) provide that the Collateral is being provided by the Bank to secure all Authority deposits and investments in the Bank, together with agreed upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon default; (5) grant a security interest to the Authority in the Collateral pledged by the Bank pursuant to the agreement; (6) set forth the conditions under which the Collateral may be sold, presented for payment, substituted or released; (7) define the events of default that will enable the Authority to exercise its rights

against said pledged Collateral; (8) require that Collateral pledged to the Authority to secure deposits and not registered in the name of the Authority be delivered in a form suitable for transfer or with an assignment in blank to a custodial bank with which the Authority has entered into a written custodial agreement; (9) require that the agreement be properly authorized by the board of directors of the Bank and that the Bank maintain such agreement as an official record of the Bank; and, (10) contain such other provisions deemed necessary to enable the Authority to enforce its interest in the Collateral in the event of a default by the Bank.

**(b) CUSTODY AGREEMENTS**

All Collateral pledged by a Bank pursuant to a written security agreement shall be delivered to a Bank with which the Authority has entered into a written custody agreement ("Custodian"). The custody agreement shall: (1) specify the manner in which the custodian shall hold securities; (2) require the Custodian to hold the securities as agent of, and Custodian for, the Authority and to keep such securities separate and apart from the general assets of the Custodian and not permit them to become the backing for any other deposits or liabilities of the Custodian; (3) require the Custodian to confirm in writing the receipt, substitution or release of any securities from the Authority's custody account; (4) provide for the methodology and frequency of valuation of securities held by the Custodian; (5) require the Custodian to make appropriate entries on its books at all times showing the Authority's interest in the securities held by the Custodian; (6) require physical securities be kept in the Custodian's vault and physically segregated from the Custodian's property and other property held by the Custodian; (7) require the Custodian to subordinate any claims it may have against the securities to the Authority's interest therein; (8) permit the Authority access to books and records maintained by the Custodian with respect to the Authority's account; and, (9) contain any other provisions deemed necessary and appropriate. A Bank shall not be permitted to act as Custodian of any Collateral pledged by such Bank to secure Authority deposits.

**XI. PURCHASE AND SAFEKEEPING OF INVESTMENTS**

(a) In the case of investments in certificates of deposit and special time deposits, the Procedures shall require the solicitation of quotations from more than one of the approved Banks.

(b) In the case of the purchase of securities, the Procedures shall: (1) require competitive quotations, except in the purchase of government securities at their initial auction; (2) require all securities purchased by the Authority to be held in the custody of a Bank with which the Authority has entered into a written custodial agreement which complies with the requirements contained in paragraph (b) of Section X of this Policy; (3) ensure that securities are purchased, sold or presented for redemption or payment by a Custodian only in accordance with instructions from the Finance Officers or a subordinate authorized to make the investment; and (4) provide that payment of the Authority's funds shall be made only upon delivery of the purchased obligations.

(c) The Finance Officers are further authorized to purchase securities subject to a repurchase agreement in accordance with the procedures enumerated in Section XII of this Policy.

**XII. PROCEDURES FOR REPURCHASE AGREEMENTS**

The Finance Officers are authorized to purchase and sell securities pursuant to repurchase agreements subject to the following restrictions:

(1) The Authority shall only enter into repurchase agreements with a Bank, broker or dealer approved by the Finance Officers pursuant to Section IX of this Policy, subject to any trading limits established for each Bank, broker or dealer;

(2) No repurchase transaction shall be entered into with any Bank, broker or dealer until the Authority has entered into a written master repurchase agreement with such Bank, broker or dealer;

(3) Only securities enumerated in paragraphs (i) and (ii) of Section VI shall be purchased pursuant to the repurchase agreement;

(4) Securities purchased pursuant to the repurchase agreement shall be held by a Custodian, other than the Bank, broker or dealer acting as seller under the repurchase agreement, pursuant to a written custody agreement;

(5) The price paid for the securities shall not be in excess of the market value of the securities being purchased plus any accrued interest not reflected in the market price.

Master repurchase agreements between the Authority and its trading partners shall: (1) contain procedures which ensure that the Authority obtains a perfected security interest in the purchased securities; (2) define events of default; (3) prohibit the trading partner from substituting securities for the purchased securities during the term of the repurchase agreement except with the express consent of the Authority; (4) limit the term of a specific repurchase transaction to a period of not more than thirty (30) days; (5) contain appropriate margin requirements and procedures for timely correction of margin deficiencies or excesses; (6) provide that the Authority shall not make payment for the purchased securities until they are received by the Custodian and that the Custodian shall not transfer the purchased securities to the trading partner until the Authority has received the repurchase price in immediately available funds; (7) require that the terms of all specific repurchase transactions, including rate, price and a description of the specific securities being purchased, be confirmed in writing; (8) provide that all specific repurchase transactions shall be subject to the terms of the master repurchase agreement; and, (9) contain such other provisions as are deemed necessary and appropriate.

The written custody agreement shall, to the extent applicable, comply with the requirements of paragraph (b) of Section X of this Policy.

**XIII. LEGAL REVIEW**

All security agreements, custody agreements and repurchase agreements shall be reviewed by an attorney retained for the purpose of determining their compliance with the requirements of this Policy.

**XIV. INVESTMENT REPORTS**

(a) The Finance Officers shall prepare and file with the Board on a quarterly basis a report showing: (a) any new investments made during the quarter; (b) any investments sold during the quarter, including the gain or loss thereon; (c) an inventory of existing investments (including the name of the investment, the cost of the investment, the stated interest rate and yield to maturity of the investment, the maturity date of the investment, and the current market value of the investment if less than cost); and (d) the list of approved Banks and trading partners required to be maintained pursuant to Section IX of this Policy.

(b) The Finance Officers shall annually prepare and submit to the Board for approval a report which includes: (1) a copy of this Policy; (2) an explanation of this Policy and any recommendations by the Finance Officers for changes to this Policy; (3) an annual independent audit; (4) the investment results obtained by the Authority during the annual period covered by the report (including interest income, realized and unrealized gains and losses, average weighted maturity and weighted average net yield); and (5) a list of total fees, commissions or other charges paid to each investment banker, broker, agent, dealer and provider rendering investment associated services to the Authority. Such Annual Report, as approved by the Board, shall be submitted to the County Executive of Suffolk County and the New York State Department of Audit and Control.

**XIII. INCONSISTENCY WITH RESOLUTION**

Neither this Policy nor the Procedures adopted hereunder shall be construed to authorize any deposit or investment that is not permitted under the Bond Resolution adopted by the Authority on September 27, 1988, as amended October 27, 1988, March 30, 1993 and November 29, 1994 ("Resolution") and in the event of any such inconsistency, the Resolution shall govern.

SUFFOLK COUNTY WATER AUTHORITY

SECTION II

Amendment to the Investment Policy of the Authority  
Fiscal Year Ending May 31, 2018  
&  
Explanations Issued during the Fiscal Year

There were no amendments to the Investment Guidelines during this fiscal year.



**SUFFOLK COUNTY WATER AUTHORITY**

**SECTION III**

**Fees Paid for Investment Services during the Fiscal Year**

There were no Investment Services fees paid during this fiscal year.

**SUFFOLK COUNTY WATER AUTHORITY**

**SECTION IV**

**Compliance Letter of Investments  
As prepared by KPMG, LLP  
Independent Auditors**



KPMG LLP  
Suite 200  
1305 Walt Whitman Road  
Melville, NY 11747-4302

**Report on Compliance With the Requirements of Section 201.3 of Title Two of the  
Official Compilation of Codes, Rules and Regulations of the State of New York**

The Members  
Suffolk County Water Authority:

We have examined Suffolk County Water Authority's (the Authority) compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* during the fiscal year ended May 31, 2018. The Authority's management is responsible for the Authority's compliance with these requirements. Our responsibility is to express an opinion on the Authority's compliance with the specified requirements based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants and in accordance with standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether the Authority complied, in all material respects, with the specified requirements referenced above. An examination involves performing procedures to obtain evidence about whether management's assertion is fairly stated, in all material respects. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material noncompliance, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion. Our examination does not provide a legal determination on the Authority's compliance with specified requirements.

In our opinion, the Authority complied with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* during the fiscal year ended May 31, 2018, in all material respects.

In accordance with *Government Auditing Standards*, we are required to report certain findings of deficiencies in internal control; instances of noncompliance with provisions of laws, or regulations, contracts or grant agreements; and instances of fraud and abuse that are direct and material to the Authority's compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules, and Regulations of the State of New York* that come to our attention during our examination. We are also required to obtain the views of management on those matters. We performed our examination to express an opinion on whether the Authority complied with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules, and Regulation of the State of New York* and not for the purpose of expressing an opinion on the internal control over compliance with those requirements, compliance with the provisions of other laws, regulations, contracts or grant agreements or the other matters referred to above; accordingly, we express no such opinion. The results of our tests disclosed no matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Authority and New York State and is not intended to be and should not be used by anyone other than the specified parties.

**KPMG LLP**

August 30, 2018

**SUFFOLK COUNTY WATER AUTHORITY**

**SECTION V**

**Statement of Investments**

**June 2017 - May 2018**

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****June-17**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>GENERAL FUND</u></b>						
FFCB Notes	HSBC Securities USA	10-May-16	10-Aug-17	\$ 2,000,000.00	\$ 1,998,860.00	0.650%
FFCB Notes	Raymond James	10-May-16	13-Oct-17	2,000,000.00	1,997,660.00	0.650%
FHLB Notes	HSBC Securities USA	27-Apr-16	26-Oct-17	5,000,000.00	4,992,450.00	0.625%
FHLB Notes	Wells Fargo Advisors	27-Apr-16	26-Oct-17	3,000,000.00	2,995,500.00	0.625%
FHLMC Notes	Raymond James	17-May-16	17-Nov-17	3,000,000.00	2,999,190.00	0.875%
FHLB Notes	HSBC Securities USA	14-Jan-16	8-Dec-17	2,000,000.00	1,999,400.00	1.125%
FFCB Notes	Raymond James	14-Jan-16	12-Dec-17	2,000,000.00	1,997,680.00	0.820%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,484,900.00	0.875%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	997,970.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,997,200.00	1.000%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,986,660.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,675,363.20	1.250%
FHLMC Notes	Wells Fargo Advisors	27-Mar-17	27-Sep-19	2,000,000.00	1,999,540.00	1.625%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,043,537.00	1.500%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,452,992.10	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,481,750.00	1.540%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	498,685.00	2.750%
Various	Cutwater Asset Management	Various	Various	1,500,000.00	1,500,550.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 37,180,000.00</b>	<b>\$ 37,099,887.30</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**June-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
658451						
FHLB Discount Notes	Bank of New York	23-Jun-17	25-Jul-17	\$ 2,589,180.54	\$ 2,589,419.49	0.000%
Cash (combined total)	Bank of New York		30-Jun-17	438.73	438.73	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>						
US Treasury Note-SLGS	Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SLGS	Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>						
US Treasury Note - SLGS	Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - SLGS	Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>						
US Treasury Note - SLGS	Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	9,329,747.95	9,330,085.97	0.000%
Cash	Bank of New York		30-Jun-17	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,454,533.08	0.000%
Cash Balance	Bank of New York		30-Jun-17	891.20	891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	9,443,610.60	9,449,378.20	0.000%
Cash Balance	Bank of New York		30-Jun-17	841.25	841.25	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	7,129,955.84	7,134,310.40	0.000%
Cash Balance	Bank of New York		30-Jun-17	697.63	697.63	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	6,695,253.40	6,694,161.12	0.000%
Cash Balance	Bank of New York		30-Jun-17	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	3,699,790.35	3,698,843.14	0.000%
Cash Balance	Bank of New York		30-Jun-17	24,040.15	24,040.15	

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS cont'd.**

**June-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	4,699,198.82	4,697,995.74	0.000%
Cash Balance	Bank of New York		30-Jun-17	3,943.82	3,943.82	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	10,533,638.32	10,546,654.14	0.000%
Cash Balance	Bank of New York		30-Jun-17	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	3,883,778.83	3,888,577.80	0.000%
Cash Balance	Bank of New York		30-Jun-17	309.98	309.98	
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	7,060,278.16	7,059,135.33	0.000%
Cash Balance	Bank of New York		30-Jun-17	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	3,350,594.45	3,350,047.83	0.000%
Cash Balance	Bank of New York		30-Jun-17	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	4,508,018.58	4,507,283.13	0.000%
Cash Balance	Bank of New York		30-Jun-17	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>79,196,898.16</b>	<b>79,222,994.36</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 116,376,898.16</b>	<b>\$ 116,322,881.66</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**June-17**

Balances based on SAP Balances

				INVESTMENT	Money Market Interest Rates
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>					
<b><u>OPERATING FUND</u></b>		112001			
Money Market	JP Morgan Chase		\$ 1,080,233.40	\$ 1,080,233.40	0.10%
<b><u>SPECIAL DEPOSIT</u></b>		112911			
Money Market	Capital One		27,779.31	27,779.31	0.25%
<b><u>REVENUE FUND</u></b>		111905			
Money Market	JP Morgan Chase		4,448,046.30	4,448,046.30	0.10%
<b><u>GENERAL FUND</u></b>		112907			
Money Market	JP Morgan Chase		769,651.19	769,651.19	0.10%
<b><u>GENERAL FUND MONEY MKT</u></b>		112002			
Money Market	JP Morgan Chase		42,500,974.58	42,500,974.58	0.15%
<b><u>GENERAL FUND-Valley National Bank</u></b>		112906			
Money Market	Valley National Bank		20,002.50	20,002.50	0.15%
<b><u>OPERATING FUND MONEY MKT</u></b>		112914			
Money Market	Capital One		33,435,824.46	33,435,824.46	0.25%
<b><u>CONSTRUCTION FUND (2016A Bonds) - (RESTRICTED)</u></b>		112924			
Money Market	HSBC		22,149,746.26	22,149,746.26	0.20%
<b><u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u></b>		112925			
Money Market	HSBC		31,962,010.44	31,962,010.44	0.20%
<b><u>OPERATING FUND MONEY MKT</u></b>		112918			
Money Market	Bridgehampton National Bank		5,501,808.73	5,501,808.73	0.40%
<b><u>OPERATING FUND OPEB - MONEY MKT</u></b>		112930			
Money Market	1st National Bank of L.I.		16,107,872.23	16,107,872.23	0.35%
<b><u>OPERATING FUND RET - MONEY MKT</u></b>		112932			
Money Market	Bridgehampton National Bank		5,838,710.75	5,838,710.75	0.40%
<b><u>OPERATING FUND-Empire National Bank (Infrastructure)</u></b>		112933			
Money Market	Empire National Bank		16,256,881.56	16,256,881.56	0.40%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS			\$ 180,099,541.71	\$ 180,099,541.71	
GRAND TOTAL			\$ 296,476,439.87	\$ 296,422,423.37	
TOTAL RESTRICTED FUNDS			133,308,654.86	133,334,751.06	
TOTAL UNRESTRICTED FUNDS			163,167,785.01	163,087,672.31	
GRAND TOTAL			\$ 296,476,439.87	\$ 296,422,423.37	



**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****July-17**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>						
FFCB Notes	HSBC Securities USA	10-May-16	10-Aug-17	\$ 2,000,000.00	\$ 1,999,680.00	0.650%
FFCB Notes	Raymond James	10-May-16	13-Oct-17	2,000,000.00	1,998,640.00	0.650%
FHLB Notes	HSBC Securities USA	27-Apr-16	26-Oct-17	5,000,000.00	4,994,250.00	0.625%
FHLB Notes	Wells Fargo Advisors	27-Apr-16	26-Oct-17	3,000,000.00	2,996,610.00	0.625%
FHLMC Notes	Raymond James	17-May-16	17-Nov-17	3,000,000.00	2,999,640.00	0.875%
FHLB Notes	HSBC Securities USA	14-Jan-16	8-Dec-17	2,000,000.00	1,999,600.00	1.125%
FFCB Notes	Raymond James	14-Jan-16	12-Dec-17	2,000,000.00	1,998,300.00	0.820%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,486,200.00	0.875%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	998,670.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,997,980.00	1.000%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,988,700.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,676,707.20	1.250%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,498,375.00	1.500%
FHLMC Notes	Wells Fargo Advisors	27-Mar-17	27-Sep-19	2,000,000.00	2,000,060.00	1.625%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,045,000.00	1.500%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,454,228.85	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,486,250.00	1.540%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	500,120.00	2.750%
Various	Cutwater Asset Management	Various	Various	1,500,000.00	1,500,550.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 39,680,000.00</b>	<b>\$ 39,619,561.05</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**July-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
658451						
FHLB Discount Notes	Bank of New York	25-Jul-17	25-Aug-17	\$ 5,180,669.08	\$ 5,181,526.05	0.000%
Cash (combined total)	Bank of New York		31-Jul-17	388.92	388.92	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>						
US Treasury Note-SLGS Bank of New York		15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>						
US Treasury Note-SLGS Bank of New York		24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>						
US Treasury Bond-SLGS Bank of New York		15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS Bank of New York		15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>						
US Treasury Bond-SLGS Bank of New York		15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>						
US Treasury Note -SLGS Bank of New York		30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SLGS Bank of New York		21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>						
US Treasury Note -SLGS Bank of New York		30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>						
US Treasury Note - SLG Bank of New York		04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - SLG Bank of New York		02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>						
US Treasury Note - SLG Bank of New York		13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	9,330,225.92	9,341,688.56	0.000%
Cash	Bank of New York		31-Jul-17	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,457,182.40	0.000%
Cash Balance	Bank of New York		31-Jul-17	891.20	891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	9,443,610.60	9,458,967.14	0.000%
Cash Balance	Bank of New York		31-Jul-17	841.25	841.25	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	7,129,955.84	7,141,550.08	0.000%
Cash Balance	Bank of New York		31-Jul-17	697.63	697.63	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	6,695,253.40	6,702,485.76	0.000%
Cash Balance	Bank of New York		31-Jul-17	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	3,699,790.35	3,703,404.72	0.000%
Cash Balance	Bank of New York		31-Jul-17	24,040.15	24,040.15	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**July-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	4,699,198.82	4,703,789.52	0.000%
Cash Balance	Bank of New York		31-Jul-17	3,943.82	3,943.82	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	10,533,638.32	10,559,658.12	0.000%
Cash Balance	Bank of New York		31-Jul-17	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	3,883,778.83	3,893,372.40	0.000%
Cash Balance	Bank of New York		31-Jul-17	309.98	309.98	
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	7,060,278.16	7,067,913.84	0.000%
Cash Balance	Bank of New York		31-Jul-17	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	3,350,594.45	3,354,213.84	0.000%
Cash Balance	Bank of New York		31-Jul-17	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	4,508,018.58	4,512,888.24	0.000%
Cash Balance	Bank of New York		31-Jul-17	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>81,788,814.86</b>	<b>81,901,159.85</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 121,468,814.86</b>	<b>\$ 121,520,720.90</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**July-17**

Balances based on SAP Balances

Money Market  
Interest Rates

			<u>INVESTMENT</u>		Interest Rate
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>OPERATING FUND</u>		112001			
Money Market -	JP Morgan Chase		\$ 5,760,737.35	\$ 5,760,737.35	0.15%
<u>SPECIAL DEPOSIT</u>		112911			
Money Market -	Capital One		826,741.83	826,741.83	0.35%
<u>REVENUE FUND</u>		111905			
Money Market -	JP Morgan Chase		5,762,953.01	5,762,953.01	0.15%
<u>GENERAL FUND</u>		112907			
Money Market -	JP Morgan Chase		570,821.13	570,821.13	0.15%
<u>GENERAL FUND MONEY MKT</u>		112002			
Money Market	JP Morgan Chase		33,699,761.50	33,699,761.50	0.15%
<u>GENERAL FUND-Valley National Bank</u>		112906			
Money Market	Valley National Bank		20,002.58	20,002.58	0.15%
<u>OPERATING FUND MONEY MKT</u>		112914			
Money Market	Capital One		27,435,824.46	27,435,824.46	0.35%
<u>CONSTRUCTION FUND (2016A Bonds) - (RESTRICTED)</u>		112924			
Money Market	HSBC		21,185,637.22	21,185,637.22	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925			
Money Market	HSBC		31,967,439.60	31,967,439.60	0.20%
<u>OPERATING FUND MONEY MKT</u>		112918			
Money Market	Bridgehampton National Bank		5,501,869.06	5,501,869.06	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>		112930			
Money Market	1st National Bank of L.I.		16,108,125.60	16,108,125.60	0.35%
<u>OPERATING FUND RET - MONEY MKT</u>		112932			
Money Market	Bridgehampton National Bank		6,338,954.29	6,338,954.29	0.40%
<u>OPERATING FUND-Empire National Bank (Infrastructure)</u>		112933			
Money Market	Empire National Bank		16,258,974.97	16,258,974.97	0.65%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			\$ 171,437,842.60	\$ 171,437,842.60	
GRAND TOTAL			\$ 292,906,657.46	\$ 292,958,563.50	
TOTAL RESTRICTED FUNDS			134,941,891.68	135,054,236.67	
TOTAL UNRESTRICTED FUNDS			157,964,765.78	157,904,326.83	
GRAND TOTAL			\$ 292,906,657.46	\$ 292,958,563.50	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****August-17**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>						
FFCB Notes	Raymond James	10-May-16	13-Oct-17	\$ 2,000,000.00	\$ 1,999,000.00	0.650%
FHLB Notes	HSBC Securities USA	27-Apr-16	26-Oct-17	5,000,000.00	4,996,400.00	0.625%
FHLB Notes	Wells Fargo Advisors	27-Apr-16	26-Oct-17	3,000,000.00	2,997,750.00	0.625%
FHLMC Notes	Raymond James	17-May-16	17-Nov-17	3,000,000.00	2,997,960.00	0.875%
FHLB Notes	HSBC Securities USA	14-Jan-16	8-Dec-17	2,000,000.00	2,000,300.00	1.125%
FFCB Notes	Raymond James	14-Jan-16	12-Dec-17	2,000,000.00	1,999,200.00	0.820%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,489,500.00	0.875%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	998,860.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,997,440.00	1.000%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,990,100.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,677,530.40	1.250%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,499,525.00	1.500%
FHLMC Notes	Wells Fargo Advisors	27-Mar-17	27-Sep-19	2,000,000.00	2,000,060.00	1.625%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,045,010.45	1.500%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,453,763.25	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,493,050.00	1.540%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	507,570.00	2.750%
Various	Cutwater Asset Management	Various	Various	1,500,000.00	1,500,385.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 37,680,000.00</b>	<b>\$ 37,643,404.10</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**August-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	25-Aug-17	22-Sep-17	\$ 7,774,100.17	\$ 7,775,487.60	0.000%
Cash (combined total)	Bank of New York		31-Aug-17	908.02	908.02	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>						
US Treasury Note-SLGS	Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SLGS	Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296019, 165310</u></b>						
US Treasury Note - SLGS	Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - SLGS	Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>						
US Treasury Note - SLGS	Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FHLB Discount Notes	Bank of New York	31-May-17	21-May-18	9,329,747.95	9,353,385.48	0.000%
Cash	Bank of New York		31-Aug-17	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,459,906.00	0.000%
Cash Balance	Bank of New York		31-Aug-17	891.20	891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	9,443,610.60	9,469,030.78	0.000%
Cash Balance	Bank of New York		31-Aug-17	841.25	841.25	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	7,129,955.84	7,149,148.16	0.000%
Cash Balance	Bank of New York		31-Aug-17	697.63	697.63	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>						
FHLB Discount Notes	Bank of New York	31-May-17	21-May-18	6,695,253.40	6,710,878.08	0.000%
Cash Balance	Bank of New York		31-Aug-17	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	3,699,790.35	3,708,041.08	0.000%
Cash Balance	Bank of New York		31-Aug-17	24,040.15	24,040.15	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**August-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	4,699,198.82	4,709,678.28	0.000%
Cash Balance	Bank of New York		31-Aug-17	3,943.82	3,943.82	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	10,533,638.32	10,572,768.69	0.000%
Cash Balance	Bank of New York		31-Aug-17	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	3,883,778.83	3,898,206.30	0.000%
Cash Balance	Bank of New York		31-Aug-17	309.98	309.98	
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	7,060,278.16	7,076,763.72	0.000%
Cash Balance	Bank of New York		31-Aug-17	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	3,350,594.45	3,358,413.72	0.000%
Cash Balance	Bank of New York		31-Aug-17	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	4,508,018.58	4,518,538.92	0.000%
Cash Balance	Bank of New York		31-Aug-17	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>84,382,287.08</b>	<b>84,583,285.09</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 122,062,287.08</b>	<b>\$ 122,226,689.19</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**August-17**

Balances based on SAP Balances

			<u>INVESTMENT</u>		Money Market Interest Rates
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>OPERATING FUND</u>			112001		
Money Market	JP Morgan Chase		\$ 711,381.12	\$ 711,381.12	0.15%
<u>SPECIAL DEPOSIT</u>			112911		
Money Market	Capital One		16,221.99	16,221.99	0.35%
<u>REVENUE FUND</u>			111905		
Money Market	JP Morgan Chase		1,199,245.57	1,199,245.57	0.15%
<u>GENERAL FUND</u>			112907		
Money Market	JP Morgan Chase		421,958.18	421,958.18	0.15%
<u>GENERAL FUND MONEY MKT</u>			112002		
Money Market	JP Morgan Chase		43,799,318.75	43,799,318.75	0.15%
<u>GENERAL FUND-Valley National Bank</u>			112906		
Money Market	Valley National Bank		20,002.58	20,002.58	0.15%
<u>OPERATING FUND MONEY MKT</u>			112914		
Money Market	Capital One		27,106,824.46	27,106,824.46	0.35%
<u>CONSTRUCTION FUND (2016A Bonds) - (RESTRICTED)</u>			112924		
Money Market	HSBC		21,189,240.69	21,189,240.69	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>			112925		
Money Market	HSBC		31,972,869.69	31,972,869.69	0.20%
<u>OPERATING FUND MONEY MKT</u>			112918		
Money Market	Bridgehampton National Bank		5,501,869.29	5,501,869.29	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>			112930		
Money Market	1st National Bank of L. I.		16,574,638.81	16,574,638.81	0.81%
<u>OPERATING FUND RET - MONEY MKT</u>			112932		
Money Market	Bridgehampton National Bank		6,889,224.22	6,889,224.22	0.40%
<u>OPERATING FUND-Empire National Bank (Infrastructure)</u>			112933		
Money Market	Empire National Bank		16,258,975.13	16,258,975.13	0.65%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS			\$ 171,661,770.48	\$ 171,661,770.48	
GRAND TOTAL			\$ 293,724,057.56	\$ 293,888,459.67	
TOTAL RESTRICTED FUNDS			137,544,397.46	137,745,395.47	
TOTAL UNRESTRICTED FUNDS			156,179,660.10	156,143,064.20	
GRAND TOTAL			\$ 293,724,057.56	\$ 293,888,459.67	



**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****September-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>GENERAL FUND</b>						
FFCB Notes	Raymond James	10-May-16	13-Oct-17	\$ 2,000,000.00	\$ 1,999,660.00	0.650%
FHLB Notes	HSBC Securities USA	27-Apr-16	26-Oct-17	5,000,000.00	4,998,650.00	0.625%
FHLB Notes	Wells Fargo Advisors	27-Apr-16	26-Oct-17	3,000,000.00	2,999,190.00	0.625%
FHLMC Notes	Raymond James	17-May-16	17-Nov-17	3,000,000.00	2,998,740.00	0.875%
FHLB Notes	HSBC Securities USA	14-Jan-16	8-Dec-17	2,000,000.00	2,000,200.00	1.125%
FFCB Notes	Raymond James	14-Jan-16	12-Dec-17	2,000,000.00	1,999,340.00	0.820%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,489,025.00	0.875%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	997,560.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,997,080.00	1.000%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,987,260.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,674,187.20	1.250%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,493,075.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,043,139.90	1.500%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,449,369.15	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,482,150.00	1.540%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	502,805.00	2.750%
Various	Cutwater Asset Management	Various	Various	1,500,000.00	1,499,845.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 35,680,000.00</b>	<b>\$ 35,611,276.25</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**September-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
658451						
FNMA Discount Notes	Bank of New York	22-Sep-17	25-Oct-17	\$ 10,370,342.18	\$ 10,372,461.23	0.000%
Cash (combined total)	Bank of New York		30-Sep-17	185.11	185.11	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>						
US Treasury Note-SLGS	Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SLGS	Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296019, 165310</u></b>						
US Treasury Note - SLGS	Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - SLGS	Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>						
US Treasury Note - SLGS	Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	9,329,747.95	9,360,931.88	0.000%
Cash	Bank of New York		30-Sep-17	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,461,911.56	0.000%
Cash Balance	Bank of New York		30-Sep-17	891.20	891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	9,443,610.60	9,477,575.38	0.000%
Cash Balance	Bank of New York		30-Sep-17	841.25	841.25	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	7,129,955.84	7,155,599.36	0.000%
Cash Balance	Bank of New York		30-Sep-17	697.63	697.63	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	6,695,253.40	6,716,292.48	0.000%
Cash Balance	Bank of New York		30-Sep-17	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	3,699,790.35	3,711,069.67	0.000%
Cash Balance	Bank of New York		30-Sep-17	24,040.15	24,040.15	
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	4,699,198.82	4,713,524.97	0.000%
Cash Balance	Bank of New York		30-Sep-17	3,943.82	3,943.82	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS cont'd.****September-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2015 REF RESERVE FD (RESTRICTED) - 342111, 165644</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	10,533,638.32	10,581,509.07	0.000%
Cash Balance	Bank of New York		30-Sep-17	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	3,883,778.83	3,901,428.90	0.000%
Cash Balance	Bank of New York		30-Sep-17	309.98	309.98	
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	7,060,278.16	7,082,473.32	0.000%
Cash Balance	Bank of New York		30-Sep-17	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	3,350,594.45	3,361,123.32	0.000%
Cash Balance	Bank of New York		30-Sep-17	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	4,508,018.58	4,522,184.52	0.000%
Cash Balance	Bank of New York		30-Sep-17	1,967.97	1,967.97	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				<b>86,977,806.18</b>	<b>87,240,401.03</b>	
TOTAL INVESTED FUNDS:				<b>\$ 122,657,806.18</b>	<b>\$ 122,851,677.28</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**September-17**

Balances based on SAP Balances

				INVESTMENT	Money Market Interest Rates
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>					
<u>OPERATING FUND</u>		112001			
Money Market	JP Morgan Chase		\$ 1,068,034.28	\$ 1,068,034.28	0.15%
<u>SPECIAL DEPOSIT</u>		112911			
Money Market	Capital One		175,438.99	175,438.99	0.35%
<u>REVENUE FUND</u>		111905			
Money Market	JP Morgan Chase		620,692.91	620,692.91	0.15%
<u>GENERAL FUND</u>		112907			
Money Market	JP Morgan Chase		156,902.25	156,902.25	0.15%
<u>GENERAL FUND MONEY MKT</u>		112002			
Money Market	JP Morgan Chase		56,200,428.88	56,200,428.88	0.15%
<u>GENERAL FUND-Valley National Bank</u>		112906			
Money Market	Valley National Bank		20,002.42	20,002.42	0.25%
<u>OPERATING FUND MONEY MKT</u>		112914			
Money Market	Capital One		29,206,824.46	29,206,824.46	0.35%
<u>CONSTRUCTION FUND (2016A Bonds) - (RESTRICTED)</u>		112924			
Money Market	HSBC		6,707,085.23	6,707,085.23	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925			
Money Market	HSBC		31,978,125.50	31,978,125.50	0.20%
<u>OPERATING FUND MONEY MKT</u>		112918			
Money Market	Bridgehampton National Bank		5,501,748.40	5,501,748.40	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>		112930			
Money Market	1st National Bank of L.I.		19,911,351.14	19,911,351.14	0.99%
<u>OPERATING FUND RET - MONEY MKT</u>		112932			
Money Market	Bridgehampton National Bank		7,549,257.40	7,549,257.40	0.40%
<u>OPERATING FUND-Empire National Bank (Infrastructure)</u>		112933			
Money Market	Empire National Bank		16,258,685.75	16,258,685.75	0.75%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			\$ 175,354,577.61	\$ 175,354,577.61	
GRAND TOTAL			\$ 298,012,383.79	\$ 298,206,254.89	
TOTAL RESTRICTED FUNDS			125,663,016.91	125,925,611.76	
TOTAL UNRESTRICTED FUNDS			172,349,366.88	172,280,643.13	
GRAND TOTAL			\$ 298,012,383.79	\$ 298,206,254.89	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**October-17**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>GENERAL FUND</u></b>						
FHLMC Notes	Raymond James	17-May-16	17-Nov-17	\$ 3,000,000.00	\$ 2,999,430.00	0.875%
FHLB Notes	HSBC Securities USA	14-Jan-16	8-Dec-17	2,000,000.00	2,000,020.00	1.125%
FFCB Notes	Raymond James	14-Jan-16	12-Dec-17	2,000,000.00	1,999,180.00	0.820%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,486,125.00	0.875%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,997,100.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	996,370.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,996,580.00	1.000%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,985,600.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,671,969.60	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,993,640.00	1.375%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,489,225.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,995,180.00	1.200%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,997,040.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,995,580.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,041,029.00	1.500%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,446,604.65	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,477,725.00	1.540%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	501,605.00	2.750%
Various	Cutwater Asset Management	Various	Various	1,500,000.00	1,499,660.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 35,680,000.00</b>	<b>\$ 35,569,663.25</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**October-17**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>					
658451					
FHLB Discount Notes Bank of New York	25-Oct-17	22-Nov-17	\$ 12,968,006.94	\$ 12,970,342.98	0.000%
Cash (combined total) Bank of New York		31-Oct-17	797.44	797.44	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>					
US Treasury Note-SLI Bank of New York	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>					
US Treasury Note-SLI Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>					
US Treasury Note-SLI Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>					
US Treasury Bond-SL Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>					
US Treasury Note - SI Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>					
US Treasury Bond - S Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>					
US Treasury Note - SI Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>					
FHLB Discount Note Bank of New York	31-May-17	21-May-18	9,329,747.95	9,366,120.03	0.000%
Cash Bank of New York		31-Oct-17	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>					
FHLB Discount Notes Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,464,189.48	0.000%
Cash Balance Bank of New York		31-Oct-17	891.20	891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>					
FHLB Discount Notes Bank of New York	22-May-17	01-Dec-17	9,443,610.60	9,485,740.22	0.000%
Cash Balance Bank of New York		31-Oct-17	841.25	841.25	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>					
FHLB Discount Notes Bank of New York	22-May-17	01-Dec-17	7,129,955.84	7,161,763.84	0.000%
Cash Balance Bank of New York		31-Oct-17	697.63	697.63	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>					
FHLB Discount Note Bank of New York	31-May-17	21-May-18	6,695,253.40	6,720,014.88	0.000%
Cash Balance Bank of New York		31-Oct-17	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	3,699,790.35	3,713,163.51	0.000%
Cash Balance Bank of New York		31-Oct-17	24,040.15	24,040.15	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS cont'd.****October-17**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>					
FHLB Discount Notes	Bank of New York	18-May-17	4,699,198.82	4,716,184.41	0.000%
Cash Balance	Bank of New York	31-Oct-17	3,943.82	3,943.82	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>					
FHLB Discount Notes	Bank of New York	10-May-17	10,533,638.32	10,587,584.70	0.000%
Cash Balance	Bank of New York	31-Oct-17	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>					
FHLB Discount Notes	Bank of New York	10-May-17	3,883,778.83	3,903,669.00	0.000%
Cash Balance	Bank of New York	31-Oct-17	309.98	309.98	
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>					
FHLMC Discount Note	Bank of New York	31-May-17	7,060,278.16	7,086,398.67	0.000%
Cash Balance	Bank of New York	31-Oct-17	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>					
FHLMC Discount Note	Bank of New York	31-May-17	3,350,594.45	3,362,986.17	0.000%
Cash Balance	Bank of New York	31-Oct-17	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>					
FHLMC Discount Note	Bank of New York	31-May-17	4,508,018.58	4,524,690.87	0.000%
Cash Balance	Bank of New York	31-Oct-17	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>			<b>89,576,083.27</b>	<b>89,885,776.46</b>	
<b>TOTAL INVESTED FUNDS:</b>			<b>\$ 125,256,083.27</b>	<b>\$ 125,455,439.71</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**October-17**

Balances based on SAP Balances

		<u>INVESTMENT</u>		Money Market Interest Rates
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>				
<u>OPERATING FUND</u>		112001		
Money Market	JP Morgan Chase	\$ 1,622,384.80	\$ 1,622,384.80	0.20%
<u>SPECIAL DEPOSIT</u>		112911		
Money Market	Capital One	9,446.69	9,446.69	0.35%
<u>REVENUE FUND</u>		111905		
Money Market	JP Morgan Chase	657,079.18	657,079.18	0.20%
<u>GENERAL FUND</u>		112907		
Money Market	JP Morgan Chase	276,735.04	276,735.04	0.20%
<u>GENERAL FUND MONEY MKT</u>		112002		
Money Market	JP Morgan Chase	50,403,497.05	50,403,497.05	0.25%
<u>GENERAL FUND-Valley National Bank</u>		112906		
Money Market	Valley National Bank	20,002.67	20,002.67	0.15%
<u>OPERATING FUND MONEY MKT</u>		112914		
Money Market	Capital One	45,559,824.46	45,559,824.46	0.35%
<u>CONSTRUCTION FUND (2016A Bonds) - (RESTRICTED)</u>		112924		
Money Market	HSBC	974,364.53	974,364.53	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925		
Money Market	HSBC	31,983,557.40	31,983,557.40	0.20%
<u>OPERATING FUND MONEY MKT</u>		112918		
Money Market	Bridgehampton National Bank	5,501,929.30	5,501,929.30	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>		112930		
Money Market	1st National Bank of L.I.	24,551,955.29	24,551,955.29	0.99%
<u>OPERATING FUND RET - MONEY MKT</u>		112932		
Money Market	Bridgehampton National Bank	8,049,629.07	8,049,629.07	0.40%
<u>OPERATING FUND-Empire National Bank (Infrastructure)</u>		112933		
Money Market	Empire National Bank	16,259,687.54	16,259,687.54	0.65%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		\$ 185,870,093.02	\$ 185,870,093.02	
GRAND TOTAL		\$ 311,126,176.29	\$ 311,325,532.73	
TOTAL RESTRICTED FUNDS		122,534,005.20	122,843,698.39	
TOTAL UNRESTRICTED FUNDS		188,592,171.09	188,481,834.34	
GRAND TOTAL		\$ 311,126,176.29	\$ 311,325,532.73	



**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****November-17**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>						
FHLB Notes	HSBC Securities USA	14-Jan-16	8-Dec-17	\$ 2,000,000.00	\$ 2,000,020.00	1.125%
FFCB Notes	Raymond James	14-Jan-16	12-Dec-17	2,000,000.00	1,999,840.00	0.820%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,483,575.00	0.875%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,993,460.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	994,440.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,995,160.00	1.000%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,981,580.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,668,962.40	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,988,120.00	1.375%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,482,650.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,991,500.00	1.200%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,990,160.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,987,140.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,037,486.45	1.500%
FHLB Notes	Wells Fargo Advisors	1-Nov-17	1-Nov-19	2,000,000.00	1,992,720.00	1.700%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,441,672.20	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,469,475.00	1.540%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	498,855.00	2.750%
Various	Cutwater Asset Management	Various	Various	1,000,000.00	999,360.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 34,180,000.00</b>	<b>\$ 33,996,176.05</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>						
658451						
FHLB Discount Notes	Bank of New York	24-Nov-17	01-Dec-17	\$ 15,567,820.92	\$ 15,571,000.00	0.000%
Cash (combined total)	Bank of New York		30-Nov-17	595.79	595.79	
<b>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>						
US Treasury Note-SLGS	Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>						
US Treasury Note-SLGS	Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLGS	Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>						
US Treasury Bond-SLGS	Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>						
US Treasury Note -SLGS	Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296019, 165310</b>						
US Treasury Note - SLGS	Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</b>						
US Treasury Bond - SLGS	Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</b>						
US Treasury Note - SLGS	Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	9,329,747.95	9,370,742.20	0.000%
Cash	Bank of New York		30-Nov-17	477.97	477.97	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</b>						
FHLB Discount Notes	Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,465,130.36	0.000%
Cash Balance	Bank of New York		30-Nov-17	891.20	891.20	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	9,443,610.60	9,494,000.00	0.000%
Cash Balance	Bank of New York		30-Nov-17	841.25	841.25	
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</b>						
FHLB Discount Notes	Bank of New York	22-May-17	01-Dec-17	7,129,955.84	7,168,000.00	0.000%
Cash Balance	Bank of New York		30-Nov-17	697.63	697.63	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	6,695,253.40	6,723,331.20	0.000%
Cash Balance	Bank of New York		30-Nov-17	2,244.26	2,244.26	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	3,699,790.35	3,715,033.01	0.000%
Cash Balance	Bank of New York		30-Nov-17	24,040.15	24,040.15	
<b>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</b>						
FHLB Discount Notes	Bank of New York	18-May-17	16-May-18	4,699,198.82	4,718,558.91	0.000%
Cash Balance	Bank of New York		30-Nov-17	3,943.82	3,943.82	
<b>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	10,533,638.32	10,593,127.38	0.000%
Cash Balance	Bank of New York		30-Nov-17	897.41	897.41	
<b>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</b>						
FHLB Discount Notes	Bank of New York	10-May-17	10-May-18	3,883,778.83	3,905,712.60	0.000%
Cash Balance	Bank of New York		30-Nov-17	309.98	309.98	
<b>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	7,060,278.16	7,089,895.80	0.000%
Cash Balance	Bank of New York		30-Nov-17	1,520.23	1,520.23	
<b>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	3,350,594.45	3,364,645.80	0.000%
Cash Balance	Bank of New York		30-Nov-17	998.39	998.39	
<b>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</b>						
FHLMC Discount Notes	Bank of New York	31-May-17	21-May-18	4,508,018.58	4,526,923.80	0.000%
Cash Balance	Bank of New York		30-Nov-17	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>92,175,695.60</b>	<b>92,528,827.11</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 126,355,695.60</b>	<b>\$ 126,525,003.16</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-17**

Balances based on SAP Balances

				INVESTMENT	Money Market Interest Rates
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>					
<u>OPERATING FUND</u>		112001			
Money Market	JP Morgan Chase		\$ 411,934.06	\$ 411,934.06	0.20%
<u>SPECIAL DEPOSIT</u>		112911			
Money Market	Capital One		15,374.20	15,374.20	0.55%
<u>REVENUE FUND</u>		111905			
Money Market	JP Morgan Chase		4,124,624.91	4,124,624.91	0.20%
<u>GENERAL FUND</u>		112907			
Money Market	JP Morgan Chase		618,576.37	618,576.37	0.20%
<u>GENERAL FUND MONEY MKT</u>		112002			
Money Market	JP Morgan Chase		56,803,359.54	56,803,359.54	0.25%
<u>GENERAL FUND-Valley National Bank</u>		112906			
Money Market	Valley National Bank		20,002.50	20,002.50	0.15%
<u>OPERATING FUND MONEY MKT</u>		112914			
Money Market	Capital One		42,044,824.46	42,044,824.46	0.55%
<u>GENERAL FUND-HSBC</u>		112924			
Money Market	HSBC		20,000.00	20,000.00	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925			
Money Market	HSBC		29,416,792.63	29,416,792.63	0.20%
<u>OPERATING FUND MONEY MKT</u>		112918			
Money Market	Bridgehampton National Bank		5,501,808.81	5,501,808.81	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>		112930			
Money Market	1st National Bank of L.I.		25,386,865.03	25,386,865.03	0.99%
<u>OPERATING FUND RET - MONEY MKT</u>		112932			
Money Market	Bridgehampton National Bank		8,549,672.01	8,549,672.01	0.40%
<u>GENERAL FUND-Empire National Bank (Infrastructure)</u>		112933			
Money Market	Empire National Bank		16,250,002.00	16,250,002.00	0.75%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			\$ 189,163,836.52	\$ 189,163,836.52	
GRAND TOTAL			\$ 315,519,532.12	\$ 315,688,839.68	
TOTAL RESTRICTED FUNDS			121,612,488.23	121,965,619.74	
TOTAL UNRESTRICTED FUNDS			193,907,043.89	193,723,219.94	
GRAND TOTAL			\$ 315,519,532.12	\$ 315,688,839.68	

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS**

**December-17**

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>							
FNMA notes	HSBC Securities USA	3135G0YM9	12-Dec-17	18-Sep-18	\$ 2,000,000.00	\$ 2,001,740.00	1.875%
FHLB Notes	Morgan Stanley	3130A9AE1	28-Jun-17	1-Oct-18	2,500,000.00	2,483,375.00	0.875%
FHLMC notes	Wells Fargo Advisors	3134G8KV0	12-Dec-17	26-Nov-18	2,000,000.00	1,988,880.00	1.150%
FNMA Notes	Morgan Stanley	3135G0G72	12-Dec-17	14-Dec-18	2,000,000.00	1,986,700.00	1.125%
FFCB notes	Raymond James	3133EFUE5	30-Oct-17	28-Dec-18	2,000,000.00	1,992,420.00	1.420%
FHLB Notes	HSBC Securities USA	3130AAE46	29-Jun-17	16-Jan-19	1,000,000.00	993,720.00	1.250%
FHLMC Notes	Raymond James	3134GAL51	21-Feb-17	25-Jan-19	2,000,000.00	1,995,440.00	1.000%
FNMA Notes	Morgan Stanley	3135G0J53	26-Feb-17	26-Feb-19	2,000,000.00	1,981,100.00	1.000%
FHLMC Notes	HSBC Securities USA	3134G8VH9	29-Jun-17	29-Mar-19	1,680,000.00	1,668,004.80	1.250%
FHLB Notes	Morgan Stanley	3130ABF92	27-Oct-17	28-May-19	2,000,000.00	1,986,400.00	1.375%
FHLMC notes	Raymond James	3134GBYS5	12-Dec-17	26-Jul-19	2,530,000.00	2,516,768.10	1.600%
FHLMC Notes	Wells Fargo Advisors	3134GBWP3	26-Jul-17	26-Jul-19	2,500,000.00	2,479,975.00	1.500%
FFCB Notes	Raymond James	3130ABW77	27-Oct-17	1-Aug-19	2,000,000.00	1,990,100.00	1.200%
FHLB notes	HSBC Securities USA	3130ACJ88	27-Oct-17	27-Sep-19	2,000,000.00	1,987,640.00	1.550%
FFCB notes	HSBC Securities USA	3133EHF32	27-Oct-17	10-Oct-19	2,000,000.00	1,984,720.00	1.500%
FHLB Notes	Raymond James	313382SB0	28-Jun-17	24-Oct-19	1,045,000.00	1,036,148.85	1.500%
FHLB Notes	Wells Fargo Advisors	3130ACQH0	1-Nov-17	1-Nov-19	2,000,000.00	1,989,580.00	1.700%
FHLMC Notes	Raymond James	3134GBRZ7	28-Jun-17	20-Dec-19	1,455,000.00	1,439,766.15	1.500%
FHLB Step Notes	HSBC Securities USA	313382ZT3	17-May-13	15-May-20	2,500,000.00	2,468,600.00	1.540%
FHLMC notes	Raymond James	3134GBRB0	13-Dec-17	5-Jun-20	1,000,000.00	996,700.00	1.375%
NYCGEN Notes	Raymond James	64971WSZ4	15-Jun-15	1-Feb-24	500,000.00	498,070.00	2.750%
Various	Cutwater Asset Management		Various	Various	1,000,000.00	999,700.00	Various
<b>SUBTOTAL INVESTED FUNDS:</b>					<b>\$ 39,710,000.00</b>	<b>\$ 39,465,547.90</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**December-17**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>						
658451						
FHLB Discount Notes Bank of New York	313385SD9	01-Dec-17	23-Jan-18	\$ 2,843,887.28	\$ 2,844,864.75	0.000%
Cash (combined total) Bank of New York			31-Dec-17	873.59	873.59	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>						
US Treasury Note-SL Bank of New York	S35401500	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>						
US Treasury Bond-SL Bank of New York	S35378530	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>						
US Treasury Note-SL Bank of New York	S35352370	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SL Bank of New York	S35378560	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>						
US Treasury Note -SL Bank of New York	MM000Q9WH	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>						
US Treasury Bond-SL Bank of New York	S35394810	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>						
US Treasury Note -SL Bank of New York	MM000Q9UY	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</b>						
US Treasury Note - SI Bank of New York	S35340040	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</b>						
US Treasury Bond - S Bank of New York	S35357300	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</b>						
US Treasury Note - SI Bank of New York	MM000P6L8	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>						
FHLMC Discount Note Bank of New York	313397XB2	31-May-17	21-May-18	9,329,747.95	9,380,929.84	0.000%
Cash Bank of New York			31-Dec-17	477.97	477.97	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</b>						
FHLB Discount Notes Bank of New York	313385UW4	31-Mar-17	29-Mar-18	2,451,283.33	2,468,027.28	0.000%
Cash Balance Bank of New York			31-Dec-17	891.20	891.20	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>						
FHLB Discount Notes Bank of New York	313385XM3	01-Dec-17	31-May-18	9,361,965.14	9,367,215.84	0.000%
Cash Balance Bank of New York			31-Dec-17	7,883.20	7,883.20	
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</b>						
FHLB Discount Notes Bank of New York	313385XM3	01-Dec-17	31-May-18	7,049,044.11	7,052,997.60	0.000%
Cash Balance Bank of New York			31-Dec-17	4,392.33	4,392.33	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</b>						
FHLMC Discount Note Bank of New York	313397XB2	31-May-17	21-May-18	6,695,253.40	6,730,640.64	0.000%
Cash Balance Bank of New York			31-Dec-17	2,244.26	2,244.26	
<b>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</b>						
FHLB Discount Notes Bank of New York	313385WW2	18-May-17	16-May-18	3,699,790.35	3,719,108.52	0.000%
Cash Balance Bank of New York			31-Dec-17	24,040.15	24,040.15	
<b>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</b>						
FHLB Discount Notes Bank of New York	313385WW2	18-May-17	16-May-18	4,699,198.82	4,723,735.32	0.000%
Cash Balance Bank of New York			31-Dec-17	3,943.82	3,943.82	
<b>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</b>						
FHLB Discount Notes Bank of New York	313385WQ5	10-May-17	10-May-18	10,533,638.32	10,604,852.28	0.000%
Cash Balance Bank of New York			31-Dec-17	897.41	897.41	
<b>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</b>						
FHLB Discount Notes Bank of New York	313385WQ5	10-May-17	10-May-18	3,883,778.83	3,910,035.60	0.000%
Cash Balance Bank of New York			31-Dec-17	309.98	309.98	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS cont'd.****December-17**

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>							
FHLMC Discount Note	Bank of New York	313397XB2	31-May-17	21-May-18	7,060,287.16	7,097,603.76	0.000%
Cash Balance	Bank of New York			31-Dec-17	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>							
FHLMC Discount Note	Bank of New York	313397XB2	31-May-17	21-May-18	3,350,594.45	3,368,303.76	0.000%
Cash Balance	Bank of New York			31-Dec-17	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>							
FHLMC Discount Note	Bank of New York	313397XB2	31-May-17	21-May-18	4,508,018.58	4,531,845.36	0.000%
Cash Balance	Bank of New York			31-Dec-17	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>					<b>79,056,339.22</b>	<b>79,390,012.05</b>	
<b>TOTAL INVESTED FUNDS:</b>					<b>\$ 118,766,339.22</b>	<b>\$ 118,855,559.95</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**December-17**

Balances based on SAP Balances

		<u>INVESTMENT</u>		Money Market Interest Rates
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>				
<u>OPERATING FUND</u>	112001			
Money Market JP Morgan Chase		\$ 459,269.68	\$ 459,269.68	0.20%
<u>SPECIAL DEPOSIT</u>	112911			
Money Market Capital One		135,352.98	135,352.98	0.55%
<u>REVENUE FUND</u>	111905			
Money Market JP Morgan Chase		2,665,190.66	2,665,190.66	0.20%
<u>GENERAL FUND</u>	112907			
Money Market JP Morgan Chase		340,369.34	340,369.34	0.20%
<u>GENERAL FUND MONEY MKT</u>	112002			
Money Market JP Morgan Chase		53,604,857.07	53,604,857.07	0.25%
<u>GENERAL FUND-Valley National Bank</u>	112906			
Money Market Valley National Bank		20,002.42	20,002.42	0.15%
<u>OPERATING FUND MONEY MKT</u>	112914			
Money Market Capital One		43,344,824.46	43,344,824.46	0.55%
<u>CWFs HSBC GENERAL FUND-MONEY MKT</u>	112923			
Money Market		20,059.81	20,059.81	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>	112925			
Money Market HSBC		25,341,693.58	25,341,693.58	0.20%
<u>OPERATING FUND MONEY MKT</u>	112918			
Money Market Bridgehampton National Bank		5,501,748.39	5,501,748.39	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>	112930			
Money Market 1st National Bank of L.I.		26,221,450.85	26,221,450.85	0.99%
<u>OPERATING FUND RET - MONEY MKT</u>	112932			
Money Market Bridgehampton National Bank		2,315,542.10	2,315,542.10	0.40%
<u>GEN'L FUND-Empire National Bank (Infrastructure)</u>	112933			
Money Market Empire National Bank		19,763,256.60	19,763,256.60	0.75%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		\$ 179,733,617.94	\$ 179,733,617.94	
GRAND TOTAL		\$ 298,499,957.16	\$ 298,589,177.89	
TOTAL RESTRICTED FUNDS		104,398,032.80	104,731,705.63	
TOTAL UNRESTRICTED FUNDS		194,101,924.36	193,857,472.26	
GRAND TOTAL		\$ 298,499,957.16	\$ 298,589,177.89	



**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****January-18**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>GENERAL FUND</u></b>						
FNMA notes	HSBC Securities USA	12-Dec-17	18-Sep-18	\$ 2,000,000.00	\$ 2,001,120.00	1.875%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,484,200.00	0.875%
FHLMC notes	Wells Fargo Advisors	12-Dec-17	26-Nov-18	2,000,000.00	1,989,700.00	1.150%
FNMA Notes	Morgan Stanley	12-Dec-17	14-Dec-18	2,000,000.00	1,986,300.00	1.125%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,991,640.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	993,370.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,995,860.00	1.500%
FNMA Notes	Morgan Stanley	26-Feb-17	26-Feb-19	2,000,000.00	1,979,280.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,665,400.80	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,982,540.00	1.375%
FHLMC notes	Raymond James	12-Dec-17	26-Jul-19	2,530,000.00	2,514,086.30	1.600%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,472,450.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,984,260.00	1.200%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,980,500.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,977,920.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,032,345.05	1.500%
FHLB Notes	Wells Fargo Advisors	1-Nov-17	1-Nov-19	2,000,000.00	1,982,000.00	1.700%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,434,077.10	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,460,900.00	1.540%
FHLMC notes	Raymond James	13-Dec-17	5-Jun-20	1,000,000.00	994,340.00	1.375%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	491,650.00	2.750%
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 38,710,000.00</b>	<b>\$ 38,393,939.25</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**January-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>					
658451					
FHLB Discount Notes Bank of New York	01-Dec-17	23-Jan-18	\$ 5,437,431.51	\$ 5,438,700.03	0.000%
Cash (combined total) Bank of New York		31-Jan-18	61.35	61.35	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>					
US Treasury Note-SL Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>					
US Treasury Note-SL Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>					
US Treasury Bond-SL Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296019, 165310</b>					
US Treasury Note - S Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</b>					
US Treasury Bond - S Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</b>					
US Treasury Note - S Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	9,329,747.95	9,391,023.15	0.000%
Cash Bank of New York		31-Jan-18	477.97	477.97	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</b>					
FHLB Discount Notes Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,470,874.68	0.000%
Cash Balance Bank of New York		31-Jan-18	891.20	891.20	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	9,361,965.14	9,377,204.22	0.000%
Cash Balance Bank of New York		31-Jan-18	7,883.20	7,883.20	
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	7,049,044.11	7,060,518.30	0.000%
Cash Balance Bank of New York		31-Jan-18	4,392.33	4,392.33	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	6,695,253.40	6,737,882.40	0.000%
Cash Balance Bank of New York		31-Jan-18	2,244.26	2,244.26	
<b>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	3,699,790.35	3,723,109.25	0.000%
Cash Balance Bank of New York		31-Jan-18	24,040.15	24,040.15	
<b>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	4,699,198.82	4,728,816.75	0.000%
Cash Balance Bank of New York		31-Jan-18	3,943.82	3,943.82	
<b>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	10,533,638.32	10,616,364.00	0.000%
Cash Balance Bank of New York		31-Jan-18	897.41	897.41	
<b>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	3,883,778.83	3,914,280.00	0.000%
Cash Balance Bank of New York		31-Jan-18	309.98	309.98	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS cont'd.****January-18**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>						
FHLMC Discount Note	Bank of New York	31-May-17	21-May-18	7,060,287.16	7,105,240.35	0.000%
Cash Balance	Bank of New York		31-Jan-18	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>						
FHLMC Discount Note	Bank of New York	31-May-17	21-May-18	3,350,594.45	3,371,927.85	0.000%
Cash Balance	Bank of New York		31-Jan-18	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>						
FHLMC Discount Note	Bank of New York	31-May-17	21-May-18	4,508,018.58	4,536,721.35	0.000%
Cash Balance	Bank of New York		31-Jan-18	1,967.97	1,967.97	
SUBTOTAL INVESTED FISCAL AGENT FUNDS:				81,649,071.21	82,061,701.59	
TOTAL INVESTED FUNDS:				\$ 120,359,071.21	\$ 120,455,640.84	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**January-18**

Balances based on SAP Balances

				INVESTMENT	Money Market Interest Rates
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>					
<u>OPERATING FUND</u>		112001			
Money Market	JP Morgan Chase		\$ 503,713.03	\$ 503,713.03	0.20%
<u>SPECIAL DEPOSIT</u>		112911			
Money Market	Capital One		506,306.34	506,306.34	0.55%
<u>REVENUE FUND</u>		111905			
Money Market	JP Morgan Chase		3,872,692.97	3,872,692.97	0.20%
<u>GENERAL FUND</u>		112907			
Money Market	JP Morgan Chase		1,628,796.58	1,628,796.58	0.20%
<u>GENERAL FUND MONEY MKT</u>		112002			
Money Market	JP Morgan Chase		56,304,574.12	56,304,574.12	0.25%
<u>GENERAL FUND-Valley National Bank</u>		112906			
Money Market	Valley National Bank		20,002.75	20,002.75	0.15%
<u>OPERATING FUND MONEY MKT</u>		112914			
Money Market	Capital One		39,744,824.46	39,744,824.46	0.55%
<u>CWFs HSBC GENERAL FUND MONEY MKT</u>		112923			
Money Market			20,001.70	20,001.70	0.20%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925			
Money Market	HSBC		18,884,610.87	18,884,610.87	0.20%
<u>OPERATING FUND MONEY MKT</u>		112918			
Money Market	Bridgehampton National Bank		5,501,989.62	5,501,989.62	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>		112930			
Money Market	1st National Bank of L.I.		27,055,394.70	27,055,394.70	0.99%
<u>OPERATING FUND RET - MONEY MKT</u>		112932			
Money Market	Bridgehampton National Bank		2,844,780.86	2,844,780.86	0.40%
<u>GEN'L FUND-Empire National Bank (Infrastructure)</u>		112933			
Money Market	Empire National Bank		19,766,783.60	19,766,783.60	0.75%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			\$ 176,654,471.60	\$ 176,654,471.60	
GRAND TOTAL			\$ 297,013,542.81	\$ 297,110,112.44	
TOTAL RESTRICTED FUNDS			100,533,682.08	100,946,312.46	
TOTAL UNRESTRICTED FUNDS			196,479,860.73	196,163,799.98	
GRAND TOTAL			\$ 297,013,542.81	\$ 297,110,112.44	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****February-18**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>						
FNMA notes	HSBC Securities USA	12-Dec-17	18-Sep-18	\$ 2,000,000.00	\$ 1,998,920.00	1.875%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,483,925.00	0.875%
FHLMC notes	Wells Fargo Advisors	12-Dec-17	26-Nov-18	2,000,000.00	1,988,560.00	1.150%
FNMA Notes	Morgan Stanley	12-Dec-17	14-Dec-18	2,000,000.00	1,985,440.00	1.125%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,988,840.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	992,800.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,995,620.00	1.500%
FNMA Notes	Morgan Stanley	17-Feb-17	26-Feb-19	2,000,000.00	1,978,360.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,664,292.00	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,980,660.00	1.375%
FHLMC notes	Raymond James	12-Dec-17	26-Jul-19	2,530,000.00	2,507,862.50	1.600%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,471,575.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,983,380.00	1.600%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,979,100.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,976,560.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,031,644.90	1.500%
FHLB Notes	Wells Fargo Advisors	1-Nov-17	1-Nov-19	2,000,000.00	1,980,580.00	1.700%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,433,014.95	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,457,350.00	1.540%
FHLMC notes	Raymond James	13-Dec-17	5-Jun-20	1,000,000.00	992,970.00	1.375%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	488,530.00	2.750%
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 38,710,000.00</b>	<b>\$ 38,359,984.35</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**February-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>					
658451					
FHLB Discount Notes Bank of New York	23-Feb-18	23-Mar-18	\$ 8,032,183.33	\$ 8,032,844.40	0.000%
Cash (combined total Bank of New York		28-Feb-18	497.29	497.29	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>					
US Treasury Note-SL Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>					
US Treasury Note-SL Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>					
US Treasury Bond-SL Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>					
US Treasury Note - S Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</u></b>					
US Treasury Bond - S Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT (RESTRICTED), 295998, 165340</u></b>					
US Treasury Note - S Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	9,329,747.95	9,397,531.92	0.000%
Cash Bank of New York		28-Feb-18	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>					
FHLB Discount Note Bank of New York	31-Mar-17	29-Mar-18	2,451,283.33	2,473,177.36	0.000%
Cash Balance Bank of New York		28-Feb-18	891.20	891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	9,361,965.14	9,383,234.94	0.000%
Cash Balance Bank of New York		28-Feb-18	7,883.20	7,883.20	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	7,049,044.11	7,065,059.10	0.000%
Cash Balance Bank of New York		28-Feb-18	4,392.33	4,392.33	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	6,695,253.40	6,742,552.32	0.000%
Cash Balance Bank of New York		28-Feb-18	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	3,699,790.35	3,725,801.33	0.000%
Cash Balance Bank of New York		28-Feb-18	24,040.15	24,040.15	
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	4,699,198.82	4,732,236.03	0.000%
Cash Balance Bank of New York		28-Feb-18	3,943.82	3,943.82	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	10,533,638.32	10,624,358.25	0.000%
Cash Balance Bank of New York		28-Feb-18	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	3,883,778.83	3,917,227.50	0.000%
Cash Balance Bank of New York		28-Feb-18	309.98	309.98	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**February-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	7,060,287.16	7,110,164.88	0.000%
Cash Balance Bank of New York		28-Feb-18	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	3,350,594.45	3,374,264.88	0.000%
Cash Balance Bank of New York		28-Feb-18	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	4,508,018.58	4,539,865.68	0.000%
Cash Balance Bank of New York		28-Feb-18	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>			<b>84,244,258.97</b>	<b>84,707,793.79</b>	
<b>TOTAL INVESTED FUNDS:</b>			<b>\$ 122,954,258.97</b>	<b>\$ 123,067,778.14</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**February-18**

Balances based on SAP Balances

				INVESTMENT	Money Market Interest Rates
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>					
<u>OPERATING FUND</u>		112001			
Money Market	JP Morgan Chase		\$ 1,459,482.82	\$ 1,459,482.82	0.20%
<u>SPECIAL DEPOSIT</u>		112911			
Money Market	Capital One		303,823.83	303,823.83	0.55%
<u>REVENUE FUND</u>		111905			
Money Market	JP Morgan Chase		1,005,076.30	1,005,076.30	0.20%
<u>GENERAL FUND</u>		112907			
Money Market	JP Morgan Chase		121,610.71	121,610.71	0.20%
<u>GENERAL FUND MONEY MKT</u>		112002			
Money Market	JP Morgan Chase		61,304,645.98	61,304,645.98	0.25%
<u>GENERAL FUND-Valley National Bank</u>		112906			
Money Market	Valley National Bank		20,000.00	20,000.00	0.15%
<u>OPERATING FUND MONEY MKT</u>		112914			
Money Market	Capital One		39,544,824.46	39,544,824.46	0.55%
<u>CWFs HSBC GENERAL FUND-MONEY MKT</u>		112923			
Money Market			20,001.70	20,001.70	0.10%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925			
Money Market	HSBC		15,596,759.60	15,596,759.60	0.20%
<u>OPERATING FUND MONEY MKT</u>		112918			
Money Market	Bridgehampton National Bank		5,501,688.13	5,501,688.13	0.40%
<u>OPERATING FUND OPEB - MONEY MKT</u>		112930			
Money Market	1st National Bank of L.I.		27,887,272.97	27,887,272.97	0.99%
<u>OPERATING FUND RET - MONEY MKT</u>		112932			
Money Market	Bridgehampton National Bank		3,544,833.71	3,544,833.71	0.40%
<u>GEN'L FUND-Empire National Bank (Infrastructure)</u>		112933			
Money Market	Empire National Bank		19,765,159.12	19,765,159.12	0.75%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			\$ 176,075,179.33	\$ 176,075,179.33	
GRAND TOTAL			\$ 299,029,438.30	\$ 299,142,957.47	
TOTAL RESTRICTED FUNDS			99,841,018.57	100,304,553.39	
TOTAL UNRESTRICTED FUNDS			199,188,419.73	198,838,404.08	
GRAND TOTAL			\$ 299,029,438.30	\$ 299,142,957.47	



**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****March-18**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>						
FNMA notes	HSBC Securities USA	12-Dec-17	18-Sep-18	\$ 2,000,000.00	\$ 1,998,900.00	1.875%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,486,000.00	0.875%
FHLMC notes	Wells Fargo Advisors	12-Dec-17	26-Nov-18	2,000,000.00	1,989,520.00	1.150%
FNMA Notes	Morgan Stanley	12-Dec-17	14-Dec-18	2,000,000.00	1,986,980.00	1.125%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,990,620.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	993,260.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,996,800.00	1.500%
FNMA Notes	Morgan Stanley	17-Feb-17	26-Feb-19	2,000,000.00	1,979,040.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,664,460.00	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,981,600.00	1.375%
FHLMC notes	Raymond James	12-Dec-17	26-Jul-19	2,530,000.00	2,508,419.10	1.600%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,472,600.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,983,700.00	1.600%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,979,840.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,978,160.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,032,230.10	1.500%
FHLB Notes	Wells Fargo Advisors	1-Nov-17	1-Nov-19	2,000,000.00	1,981,220.00	1.700%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,433,378.70	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,456,925.00	1.540%
FHLMC notes	Raymond James	13-Dec-17	5-Jun-20	1,000,000.00	993,300.00	1.500%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	491,370.00	2.750%
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 38,710,000.00</b>	<b>\$ 38,378,322.90</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**March-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>					
658451					
FHLB Discount Notes Bank of New York	23-Feb-18	23-Mar-18	\$ 10,628,655.49	\$ 10,633,716.30	0.000%
Cash (combined total) Bank of New York		31-Mar-18	1,461.07	1,461.07	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>					
US Treasury Note-SL Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>					
US Treasury Note-SL Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>					
US Treasury Note - SL Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>					
US Treasury Bond-SL Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>					
US Treasury Note - SL Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>					
US Treasury Note - SL Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</u></b>					
US Treasury Bond - S Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT (RESTRICTED), 295998, 165340</u></b>					
US Treasury Note - SL Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	9,329,747.95	9,411,209.77	0.000%
Cash Bank of New York		31-Mar-18	477.97	477.97	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>					
FHLB Discount Notes Bank of New York	31-Mar-17	29-Mar-18			0.000%
Cash Balance Bank of New York		31-Mar-18	2,476,891.20	2,476,891.20	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	9,361,965.14	9,396,709.83	0.000%
Cash Balance Bank of New York		31-Mar-18	7,883.20	7,883.20	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	7,049,044.11	7,075,204.95	0.000%
Cash Balance Bank of New York		31-Mar-18	4,392.33	4,392.33	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	6,695,253.40	6,752,365.92	0.000%
Cash Balance Bank of New York		31-Mar-18	2,244.26	2,244.26	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	3,699,790.35	3,731,222.88	0.000%
Cash Balance Bank of New York		31-Mar-18	24,040.15	24,040.15	
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</u></b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	4,699,198.82	4,739,122.08	0.000%
Cash Balance Bank of New York		31-Mar-18	3,943.82	3,943.82	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	10,533,638.32	10,639,920.39	0.000%
Cash Balance Bank of New York		31-Mar-18	897.41	897.41	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	3,883,778.83	3,922,965.30	0.000%
Cash Balance Bank of New York		31-Mar-18	309.98	309.98	

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS cont'd.**

**March-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	7,060,287.16	7,120,513.53	0.000%
Cash Balance Bank of New York		31-Mar-18	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	3,350,594.45	3,379,176.03	0.000%
Cash Balance Bank of New York		31-Mar-18	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	4,508,018.58	4,546,473.33	0.000%
Cash Balance Bank of New York		31-Mar-18	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>			<b>86,866,411.58</b>	<b>87,415,039.29</b>	
<b>TOTAL INVESTED FUNDS:</b>			<b>\$ 125,576,411.58</b>	<b>\$ 125,793,362.19</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**March-18**

Balances based on SAP Balances

			<u>INVESTMENT</u>		Money Market Interest Rates
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>GENERAL FUND - CUSTOMER REFUND</u>			112014		
Money Market	Capital One		\$	1,474,934.61	\$ 1,474,934.61 0.55%
<u>GENERAL FUND - W/COMP SELF INS</u>			112905		
Money Market	Citibank			3,340,942.38	3,340,942.38 0.25%
<u>OPERATING FUND</u>			112001		
Money Market	JP Morgan Chase			181,527.53	181,527.53 0.20%
<u>SPECIAL DEPOSIT</u>			112911		
Money Market	Capital One			638,942.31	638,942.31 0.55%
<u>REVENUE FUND</u>			111905		
Money Market	JP Morgan Chase			547,812.24	547,812.24 0.20%
<u>GENERAL FUND</u>			112907		
Money Market	JP Morgan Chase			556,001.25	556,001.25 0.20%
<u>GENERAL FUND</u>			112002		
<u>Money Market</u>	JP Morgan Chase			62,805,926.69	62,805,926.69 0.25%
<u>GENERAL FUND</u>			112906		
<u>Money Market</u>	Valley National Bank			20,002.50	20,002.50 0.15%
<u>OPERATING FUND</u>			112914		
<u>Money Market</u>	Capital One			40,144,824.46	40,144,824.46 0.55%
<u>GENERAL FUND</u>			112923		
<u>Money Market</u>	HSBC			20,003.23	20,003.23 0.10%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>			112925		
<u>Money Market</u>	HSBC			12,962,601.17	12,962,601.17 0.20%
<u>OPERATING FUND</u>			112918		
<u>Money Market</u>	Bridgehampton National Bank			5,501,808.76	5,501,808.76 0.40%
<u>OPERATING FUND - OPEB</u>			112930		
<u>Money Market</u>	1st National Bank of L.I.			28,723,508.00	28,723,508.00 0.99%
<u>OPERATING FUND - RET</u>			112932		
<u>Money Market</u>	Bridgehampton National Bank			4,045,114.24	4,045,114.24 0.40%
<u>GENERAL FUND - (Infrastructure)</u>			112933		
<u>Money Market</u>	Empire National Bank			19,750,002.00	19,750,002.00 1.00%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			:	<u>\$ 180,713,951.37</u>	<u>\$ 180,713,951.37</u>
GRAND TOTAL			:	<u>\$ 306,290,362.95</u>	<u>\$ 306,507,313.56</u>
TOTAL RESTRICTED FUNDS			:	99,829,012.75	100,377,640.46
TOTAL UNRESTRICTED FUNDS			:	206,461,350.20	206,129,673.10
GRAND TOTAL			:	<u>\$ 306,290,362.95</u>	<u>\$ 306,507,313.56</u>

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****April-18**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>GENERAL FUND</b>						
FNMA notes	HSBC Securities USA	12-Dec-17	18-Sep-18	\$ 2,000,000.00	\$ 1,999,240.00	1.875%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,488,000.00	0.875%
FHLMC notes	Wells Fargo Advisors	12-Dec-17	26-Nov-18	2,000,000.00	1,989,920.00	1.150%
FNMA Notes	Morgan Stanley	12-Dec-17	14-Dec-18	2,000,000.00	1,987,200.00	1.125%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,988,700.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	993,460.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,996,920.00	1.500%
FNMA Notes	Morgan Stanley	17-Feb-17	26-Feb-19	2,000,000.00	1,980,040.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,664,544.00	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,979,560.00	1.375%
FHLMC notes	Raymond James	12-Dec-17	26-Jul-19	2,530,000.00	2,505,054.20	1.600%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,469,250.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,980,380.00	1.600%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,976,260.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,972,940.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,030,495.40	1.500%
FHLB Notes	Wells Fargo Advisors	1-Nov-17	1-Nov-19	2,000,000.00	1,977,680.00	1.700%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,430,526.90	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,451,150.00	1.540%
FHLMC notes	Raymond James	13-Dec-17	5-Jun-20	1,000,000.00	988,940.00	1.500%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	487,285.00	2.750%
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 38,710,000.00</b>	<b>\$ 38,337,545.50</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**April-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>					
658451					
FHLB Discount Notes Bank of New York	25-Apr-18	25-May-18	\$ 13,235,665.30	\$ 13,239,022.98	0.000%
Cash (combined total) Bank of New York		30-Apr-18	415.04	415.04	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>					
US Treasury Note-SL Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>					
US Treasury Note-SL Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>					
US Treasury Bond-SL Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</b>					
US Treasury Note - SI Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</b>					
US Treasury Bond - S Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</b>					
US Treasury Note - SI Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	9,329,747.95	9,424,132.98	0.000%
Cash Bank of New York		30-Apr-18	477.97	477.97	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</b>					
FHLB Discount Notes Bank of New York	31-Mar-17	30-Nov-18	2,475,424.71	2,475,602.22	0.000%
Cash Balance Bank of New York		30-Apr-18	1,466.49	1,466.49	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	9,361,965.14	9,409,619.34	0.000%
Cash Balance Bank of New York		30-Apr-18	7,883.20	7,883.20	
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	7,049,044.11	7,084,925.10	0.000%
Cash Balance Bank of New York		30-Apr-18	4,392.33	4,392.33	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	6,695,253.40	6,761,638.08	0.000%
Cash Balance Bank of New York		30-Apr-18	2,244.26	2,244.26	
<b>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	3,699,790.35	3,736,345.31	0.000%
Cash Balance Bank of New York		30-Apr-18	24,040.15	24,040.15	
<b>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</b>					
FHLB Discount Notes Bank of New York	18-May-17	16-May-18	4,699,198.82	4,745,628.21	0.000%
Cash Balance Bank of New York		30-Apr-18	3,943.82	3,943.82	
<b>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	10,533,638.32	10,654,523.22	0.000%
Cash Balance Bank of New York		30-Apr-18	897.41	897.41	
<b>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</b>					
FHLB Discount Notes Bank of New York	10-May-17	10-May-18	3,883,778.83	3,928,349.40	0.000%
Cash Balance Bank of New York		30-Apr-18	309.98	309.98	

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS cont'd.**

**April-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	7,060,287.16	7,130,291.22	0.000%
Cash Balance Bank of New York		30-Apr-18	1,520.23	1,520.23	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	3,350,594.45	3,383,816.22	0.000%
Cash Balance Bank of New York		30-Apr-18	998.39	998.39	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>					
FHLMC Discount Note Bank of New York	31-May-17	21-May-18	4,508,018.58	4,552,716.42	0.000%
Cash Balance Bank of New York		30-Apr-18	1,967.97	1,967.97	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>			<b>89,472,375.36</b>	<b>90,116,578.94</b>	
<b>TOTAL INVESTED FUNDS:</b>			<b>\$ 128,182,375.36</b>	<b>\$ 128,454,124.44</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**April-18**

Balances based on SAP Balances

			<u>INVESTMENT</u>		Money Market Interest Rates
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>					
<u>GENERAL FUND - CUSTOMER REFUND</u>		112014			
Money Market	Capital One		\$ 1,458,440.50	\$ 1,458,440.50	0.65%
<u>GENERAL FUND - W/COMP SELF INS</u>		112905			
Money Market	Citibank		3,340,919.49	3,340,919.49	0.25%
<u>OPERATING FUND</u>		112001			
Money Market	JP Morgan Chase		496,961.97	496,961.97	0.20%
<u>SPECIAL DEPOSIT</u>		112911			
Money Market	Capital One		139,173.12	139,173.12	0.55%
<u>REVENUE FUND</u>		111905			
Money Market	JP Morgan Chase		920,477.94	920,477.94	0.20%
<u>GENERAL FUND</u>		112907			
Money Market	JP Morgan Chase		102,274.43	102,274.43	0.20%
<u>GENERAL FUND</u>		112002			
Money Market	JP Morgan Chase		59,505,350.62	59,505,350.62	0.25%
<u>GENERAL FUND</u>		112906			
Money Market	Valley National Bank		20,002.58	20,002.58	0.15%
<u>OPERATING FUND</u>		112914			
Money Market	Capital One		40,144,824.46	40,144,824.46	0.55%
<u>GENERAL FUND</u>		112923			
Money Market	HSBC		20,001.70	20,001.70	0.10%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>		112925			
Money Market	HSBC		10,664,889.04	10,664,889.04	0.20%
<u>OPERATING FUND</u>		112918			
Money Market	Bridgehampton National Bank		5,501,869.02	5,501,869.02	0.40%
<u>OPERATING FUND - OPEB</u>		112930			
Money Market	1st National Bank of L.I.		29,556,830.64	29,556,830.64	0.99%
<u>OPERATING FUND - RET</u>		112932			
Money Market	Bridgehampton National Bank		4,555,345.58	4,555,345.58	0.40%
<u>GENERAL FUND - (Infrastructure)</u>		112933			
Money Market	Empire National Bank		19,750,002.00	19,750,002.00	1.00%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:			\$ 176,177,363.09	\$ 176,177,363.09	
GRAND TOTAL			\$ 304,359,738.45	\$ 304,631,487.53	
TOTAL RESTRICTED FUNDS			100,137,264.40	100,781,467.98	
TOTAL UNRESTRICTED FUNDS			204,222,474.05	203,850,019.55	
GRAND TOTAL			\$ 304,359,738.45	\$ 304,631,487.53	



**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**May-18**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>GENERAL FUND</b>						
FNMA notes	HSBC Securities USA	12-Dec-17	18-Sep-18	\$ 2,000,000.00	\$ 1,999,040.00	1.875%
FHLB Notes	Morgan Stanley	28-Jun-17	1-Oct-18	2,500,000.00	2,490,075.00	0.875%
FHLMC notes	Wells Fargo Advisors	12-Dec-17	26-Nov-18	2,000,000.00	1,991,580.00	1.150%
FNMA Notes	Morgan Stanley	12-Dec-17	14-Dec-18	2,000,000.00	1,989,060.00	1.125%
FFCB notes	Raymond James	30-Oct-17	28-Dec-18	2,000,000.00	1,990,540.00	1.420%
FHLB Notes	HSBC Securities USA	29-Jun-17	16-Jan-19	1,000,000.00	994,080.00	1.250%
FHLMC Notes	Raymond James	21-Feb-17	25-Jan-19	2,000,000.00	1,998,100.00	1.500%
FNMA Notes	Morgan Stanley	17-Feb-17	26-Feb-19	2,000,000.00	1,981,640.00	1.000%
FHLMC Notes	HSBC Securities USA	29-Jun-17	29-Mar-19	1,680,000.00	1,666,408.80	1.250%
FHLB Notes	Morgan Stanley	27-Oct-17	28-May-19	2,000,000.00	1,982,300.00	1.375%
FHLMC notes	Raymond James	12-Dec-17	26-Jul-19	2,530,000.00	2,509,051.60	1.600%
FHLMC Notes	Wells Fargo Advisors	26-Jul-17	26-Jul-19	2,500,000.00	2,473,125.00	1.500%
FFCB Notes	Raymond James	27-Oct-17	1-Aug-19	2,000,000.00	1,983,180.00	1.600%
FHLB notes	HSBC Securities USA	27-Oct-17	27-Sep-19	2,000,000.00	1,979,300.00	1.550%
FFCB notes	HSBC Securities USA	27-Oct-17	10-Oct-19	2,000,000.00	1,977,620.00	1.500%
FHLB Notes	Raymond James	28-Jun-17	24-Oct-19	1,045,000.00	1,032,177.85	1.500%
FHLB Notes	Wells Fargo Advisors	1-Nov-17	1-Nov-19	2,000,000.00	1,980,240.00	1.700%
FHLMC Notes	Raymond James	28-Jun-17	20-Dec-19	1,455,000.00	1,433,466.00	1.500%
FHLB Step Notes	HSBC Securities USA	17-May-13	15-May-20	2,500,000.00	2,451,575.00	1.540%
FHLMC notes	Raymond James	13-Dec-17	5-Jun-20	1,000,000.00	992,030.00	1.500%
NYCGEN Notes	Raymond James	15-Jun-15	1-Feb-24	500,000.00	489,285.00	2.750%
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 38,710,000.00</b>	<b>\$ 38,383,874.25</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**May-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>					
658451					
FHLB Discount Notes Bank of New York	25-May-18	01-Jun-18	\$ 15,843,084.92	\$ 15,848,000.00	0.000%
Cash (combined total) Bank of New York		31-May-18	949.39	949.39	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>					
US Treasury Note-SL Bank of New York	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>					
US Treasury Note-SL Bank of New York	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>					
US Treasury Bond-SL Bank of New York	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-May-21	645,708.00	645,708.00	1.800%
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>					
US Treasury Bond-SL Bank of New York	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>					
US Treasury Note -SL Bank of New York	30-Dec-15	15-Oct-22	448,985.00	448,985.00	2.050%
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>					
US Treasury Note - S Bank of New York	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>					
US Treasury Bond - S Bank of New York	02-Jul-14	15-Aug-24	394,782.00	394,782.00	2.550%
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>					
US Treasury Note - S Bank of New York	13-Nov-15	01-Oct-25	403,919.00	403,919.00	2.300%
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>					
FHLB Discount Notes Bank of New York	21-May-18	03-Dec-18	9,186,566.17	9,190,225.40	0.000%
Cash Bank of New York		31-May-18	246,911.80	246,911.80	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>					
FHLB Discount Notes Bank of New York	31-Mar-17	30-Nov-18	2,475,424.71	2,480,037.84	0.000%
Cash Balance Bank of New York		31-May-18	1,466.49	1,466.49	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	0.00	0.00	0.000%
Cash Balance Bank of New York		31-May-18	9,430,883.20	9,430,883.20	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>					
FHLB Discount Notes Bank of New York	01-Dec-17	31-May-18	0.00	0.00	0.000%
Cash Balance Bank of New York		31-May-18	7,099,392.33	7,099,392.33	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</u></b>					
FHLB Discount Notes Bank of New York	21-May-18	03-Dec-18	6,622,436.35	6,625,074.22	0.000%
Cash Balance Bank of New York		31-May-18	147,807.91	147,807.91	
<b><u>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</u></b>					
FHLB Discount Notes Bank of New York	16-May-18	03-Dec-18	3,682,257.97	3,683,014.98	0.000%
Cash Balance Bank of New York		31-May-18	80,782.18	80,782.18	
<b><u>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552338, 165638</u></b>					
FHLB Discount Notes Bank of New York	16-May-18	03-Dec-18	4,657,467.84	4,658,425.34	0.000%
Cash Balance Bank of New York		31-May-18	95,475.98	95,475.98	
<b><u>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</u></b>					
FHLB Discount Notes Bank of New York	10-May-18	03-Dec-18	10,464,945.12	10,473,295.62	0.000%
Cash Balance Bank of New York		31-May-18	194,952.29	194,952.29	
<b><u>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</u></b>					
FHLB Discount Notes Bank of New York	10-May-18	03-Dec-18	3,858,003.29	3,861,081.78	0.000%
Cash Balance Bank of New York		31-May-18	72,306.69	72,306.69	

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS cont'd.****May-18**

	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	RATE
<b><u>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</u></b>					
FHLB Discount Notes Bank of New York	10-May-18	03-Dec-18	7,036,771.25	7,039,574.16	0.000%
Cash Balance Bank of New York		31-May-18	101,748.98	101,748.98	
<b><u>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</u></b>					
FHLB Discount Notes Bank of New York	10-May-18	03-Dec-18	3,339,400.86	3,340,731.02	0.000%
Cash Balance Bank of New York		31-May-18	48,597.53	48,597.53	
<b><u>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</u></b>					
FHLB Discount Notes Bank of New York	10-May-18	03-Dec-18	4,494,396.48	4,496,186.70	0.000%
Cash Balance Bank of New York		31-May-18	64,571.49	64,571.49	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>			<b>92,786,012.22</b>	<b>92,820,904.32</b>	
<b>TOTAL INVESTED FUNDS:</b>			<b>\$ 131,496,012.22</b>	<b>\$ 131,204,778.57</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**May-18**

Balances based on SAP Balances

		<u>INVESTMENT</u>		Money Market Interest Rates
<u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u>				
<u>GENERAL FUND - CUSTOMER REFUND</u>	112014			
Money Market Capital One		\$ 1,462,685.71	\$ 1,462,685.71	0.70%
<u>GENERAL FUND - W/COMP SELF INS</u>	112905			
Money Market Citibank		3,340,942.37	3,340,942.37	0.25%
<u>OPERATING FUND</u>	112001			
Money Market JP Morgan Chase		292,588.31	292,588.31	0.20%
<u>SPECIAL DEPOSIT</u>	112911			
Money Market Capital One		42,357.01	42,357.01	0.70%
<u>REVENUE FUND</u>	111905			
Money Market JP Morgan Chase		308,858.19	308,858.19	0.20%
<u>GENERAL FUND</u>	112907			
Money Market JP Morgan Chase		198,854.35	198,854.35	0.20%
<u>GENERAL FUND</u>	112002			
<u>Money Market</u> JP Morgan Chase		60,725,456.38	60,725,456.38	0.25%
<u>GENERAL FUND</u>	112906			
<u>Money Market</u> Valley National Bank		20,002.58	20,002.58	0.15%
<u>OPERATING FUND</u>	112914			
<u>Money Market</u> Capital One		41,744,824.46	41,744,824.46	0.70%
<u>GENERAL FUND</u>	112923			
<u>Money Market</u> HSBC		20,001.64	20,001.64	0.10%
<u>CONSTRUCTION FUND (2016B Bonds) - (RESTRICTED)</u>	112925			
<u>Money Market</u> HSBC		6,176,214.54	6,176,214.54	0.20%
<u>OPERATING FUND</u>	112918			
<u>Money Market</u> Bridgehampton National Bank		5,501,868.99	5,501,868.99	0.40%
<u>OPERATING FUND - OPEB</u>	112930			
<u>Money Market</u> 1st National Bank of L.I.		30,391,732.53	30,391,732.53	0.99%
<u>OPERATING FUND - RET</u>	112932			
<u>Money Market</u> Bridgehampton National Bank		5,055,540.14	5,055,540.14	0.40%
<u>GENERAL FUND - (Infrastructure)</u>	112933			
<u>Money Market</u> Empire National Bank		19,766,782.20	19,766,782.20	1.00%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		\$ 175,048,709.40	\$ 175,048,709.40	
GRAND TOTAL		\$ 306,544,721.62	\$ 306,253,487.97	
TOTAL RESTRICTED FUNDS		98,962,226.76	98,997,118.86	
TOTAL UNRESTRICTED FUNDS		207,582,494.86	207,256,369.11	
GRAND TOTAL		\$ 306,544,721.62	\$ 306,253,487.97	

**SUFFOLK COUNTY WATER AUTHORITY**

**SECTION VI**

**Statement of Maturing Investments and Interest Payments**

**June 2017 – May 2018**

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**June-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB notes	HSBC Securities USA	\$ 2,000,000.00	14-Jan-16	8-Dec-17	1.125%	\$ 11,250.00
FHLB notes	Wells Fargo Advisors (Cutwater)	500,000.00	7-Jun-13	9-Jun-17	1.000%	2,500.00
FFCB Notes	Raymond James	2,000,000.00	14-Jan-16	12-Dec-17	0.820%	8,200.00
FFCB Notes	Wells Fargo Advisors (Cutwater)	500,000.00	14-Jan-14	28-Jun-17	1.100%	2,750.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	16,221,791.73	25-May-17	01-Jun-17	0.000%	2,208.27
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>						
US Treasury Note-SL	Bank of New York	243,889.00	15-Mar-11	15-Dec-17	2.360%	2,877.89
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>						
US Treasury Bond-SL	Bank of New York	46,667.00	15-Mar-11	15-Dec-19	2.360%	550.67
<b>Total</b>						<b><u>\$ 30,336.83</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**July-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB notes	HSBC Securities USA	\$ 1,000,000.00	29-Jun-17	16-Jan-19	1.250%	\$ 6,250.00
FHLMC Notes	Raymond James	2,000,000.00	21-Feb-17	25-Jan-19	1.000%	10,000.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	2,589,180.54	23-Jun-17	25-Jul-17	0.000%	1,819.46
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS	Bank of New York	318,889.00	15-Mar-11	15-Jul-20	2.360%	3,762.89
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>						
US Treasury Note - SLGS	Bank of New York	408,894.00	04-Nov-13	15-Jan-23	2.410%	4,927.17
<b>Total</b>						<b><u>\$ 26,759.52</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**August-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FNMA notes	Morgan Stanley	\$ 2,000,000.00	17-Feb-17	26-Feb-19	1.000%	\$ 10,000.00
NYCGEN notes	Raymond James	500,000.00	15-Jun-15	1-Feb-24	2.750%	6,875.06
FFCB Notes	Wells Fargo Advisors (Cutwater)	2,000,000.00	18-Mar-13	30-Jan-18	0.940%	4,700.00
FFCB Notes	HSBC Securities USA	2,000,000.00	10-May-16	10-Aug-17	0.650%	6,500.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	16,221,791.73	25-Jul-17	25-Aug-17	0.000%	4,330.92
<b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - S	Bank of New York	394,782.00	02-Jul-14	15-Aug-24	2.550%	5,033.47
<b>Total</b>						<b><u>\$ 37,439.45</u></b>



**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**September-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLMC Notes	FHLMC Notes	\$ 1,680,000.00	29-Jun-17	29-Mar-19	1.250%	\$ 10,500.00
FHLMC Notes	Wells Fargo Advisors	2,000,000.00	27-Mar-17	27-Sep-19	1.625%	16,250.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	7,774,100.17	25-Aug-17	22-Sep-17	0.000%	5,899.83
<b>Total</b>						<b><u>\$ 32,649.83</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**October-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	Morgan Stanley	\$ 2,500,000.00	28-Jun-17	1-Oct-18	0.875%	\$ 10,937.50
FFCB Notes	Raymond James	2,000,000.00	10-May-16	13-Oct-17	0.650%	6,500.00
FHLB Notes	Raymond James	1,045,000.00	28-Jun-17	24-Oct-19	1.500%	7,837.50
FHLB notes	Wells Fargo Advisors	3,000,000.00	27-Apr-16	26-Oct-17	0.625%	9,375.00
FHLB notes	HSBC Securities USA	5,000,000.00	27-Apr-16	26-Oct-17	0.625%	15,625.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	10,370,342.18	25-Aug-17	22-Sep-17	0.000%	8,657.82
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>						
US Treasury Note-SL	Bank of New York	290,275.00	24-Jun-10	01-Oct-18	2.980%	4,325.10
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SL	Bank of New York	452,319.00	21-Jun-12	15-Oct-22	1.760%	3,980.41
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>						
US Treasury Note -SL	Bank of New York	448,985.00	30-Dec-15	15-Oct-22	2.050%	4,602.10
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>						
US Treasury Note - SI	Bank of New York	403,919.00	13-Nov-15	01-Oct-25	2.300%	4,645.07
<b>Total</b>						<b><u>\$ 76,485.50</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**November-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	HSBC Securities USA	\$ 2,500,000.00	17-May-13	15-May-20	1.540%	\$ 19,250.00
FFCB Notes	Morgan Stanley	2,000,000.00	27-Oct-17	28-May-19	1.375%	14,972.22
FHLB Notes	Raymond James	3,000,000.00	17-May-16	17-Nov-17	0.875%	13,125.00
FHLB notes	Wells Fargo Advisors	500,000.00	28-Feb-14	30-Nov-17	2.250%	5,625.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	10,370,342.18	25-Oct-17	22-Nov-17	0.000%	9,993.06
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>						
US Treasury Bond-SLGS	Bank of New York	128,973.00	15-Mar-11	15-May-21	2.360%	1,521.88
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>						
US Treasury Note -SLGS	Bank of New York	645,708.00	30-Dec-15	15-May-21	1.800%	5,811.37
<b>Total</b>						<b><u>\$ 70,298.53</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**December-17**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	HSBC Securities USA	\$ 2,000,000.00	14-Jan-16	8-Dec-17	1.125%	\$ 11,250.00
FNMA Notes	Morgan Stanley	2,000,000.00	12-Dec-17	14-Dec-18	1.125%	11,250.04
FFCB Notes	Raymond James	3,000,000.00	14-Jan-16	12-Dec-17	0.820%	8,200.00
FFCB Notes	Raymond James	2,000,000.00	30-Oct-17	28-Dec-18	1.420%	14,200.00
FHLMC Notes	Raymond James	1,455,000.00	28-Jun-17	20-Dec-19	1.500%	10,912.50
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	15,567,820.92	24-Nov-17	01-Dec-17	0.000%	3,320.69
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED) - 647403, 165120</u></b>						
US Treasury Note-SLGS	Bank of New York	243,889.00	15-Mar-11	15-Dec-17	2.360%	2,877.89
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED) - 647767, GL 165110</u></b>						
US Treasury Bond-SLGS	Bank of New York	46,667.00	15-Mar-11	15-Dec-19	2.360%	550.67
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FNMA Discount Notes	Bank of New York	9,443,610.60	22-May-17	01-Dec-17	0.000%	50,389.40
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FNMA Discount Notes	Bank of New York	7,129,955.84	22-May-17	01-Dec-17	0.000%	38,044.16
Total						<b><u>\$ 150,995.35</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**January-18**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	HSBC Securities USA	\$ 1,000,000.00	29-Jun-17	16-Jan-19	1.250%	\$ 6,250.00
FHLMC Notes	Raymond James	2,000,000.00	21-Feb-17	25-Jan-19	1.000%	10,000.00
FHLMC Notes	Raymond James	2,530,000.00	12-Dec-17	26-Jul-19	1.600%	20,240.00
FFCB Notes	Wells Fargo Advisors (Cutwater)	1,000,000.00	18-Mar-13	30-Jan-18	0.940%	4,700.00
FHLMC Notes	Wells Fargo Advisors	2,500,000.00	26-Jul-17	26-Jul-19	1.500%	18,750.00
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	2,843,887.28	01-Dec-17	23-Jan-18	0.000%	3,112.72
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS	Bank of New York	318,889.00	15-Mar-11	15-Jul-20	2.360%	3,762.89
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>						
US Treasury Note - SLGS	Bank of New York	408,894.00	04-Nov-13	15-Jan-23	2.410%	4,927.17
						<b><u>\$ 71,742.78</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**February-18**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	Raymond James	\$ 2,000,000.00	27-Nov-17	1-Aug-19	1.200%	\$ 12,000.00
NYCGEN Notes	Raymond James	500,000.00	15-Jun-15	1-Feb-24	2.750%	6,875.00
FNMA Notes	Morgan Stanley	2,000,000.00	17-Feb-17	26-Feb-19	1.000%	10,000.00
 <b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	5,437,431.51	23-Jan-18	23-Feb-18	0.000%	5,568.49
 <b><u>BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - SLGS	Bank of New York	394,782.00	02-Jul-14	15-Aug-24	2.550%	5,033.47
<b>Total</b>						<b><u>\$ 39,476.96</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**March-18**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	Raymond James	\$ 1,000,000.00	28-Jun-17	24-Oct-19	1.380%	\$ 3,437.50
FNMA Notes	HSBC Securities USA	2,000,000.00	12-Dec-17	18-Sep-18	1.875%	18,750.00
FHLMC Notes	HSBC Securities USA	1,680,000.00	29-Jun-17	29-Mar-19	1.250%	10,500.00
FHLB Notes	HSBC Securities USA	2,000,000.00	27-Oct-17	27-Sep-19	1.550%	15,327.78
 <b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	8,032,183.33	23-Feb-18	23-Mar-18	0.000%	7,816.67
 <b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	Bank of New York	2,451,283.33	31-Mar-17	29-Mar-18	0.000%	24,716.67
<b>Total</b>						<b><u>\$ 80,548.62</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**April-18**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b><u>GENERAL FUND</u></b>						
FHLB Notes	Morgan Stanley	\$ 2,500,000.00	28-Jun-17	1-Oct-18	0.875%	\$ 10,937.50
FFCB Notes	HSBC Securities USA	2,000,000.00	27-Oct-17	27-Oct-19	1.500%	15,000.00
FHLB Notes	Raymond James	1,045,000.00	28-Jun-17	24-Oct-19	1.500%	7,837.50
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
FHLB Discount Notes	Bank of New York	10,628,655.49	23-Feb-18	23-Mar-18	0.000%	16,344.51
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>						
US Treasury Note-SLGS	Bank of New York	290,275.00	24-Jun-10	01-Oct-18	2.980%	4,325.10
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SLGS	Bank of New York	452,319.00	21-Jun-12	15-Oct-22	1.760%	3,980.41
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</u></b>						
US Treasury Note -SLGS	Bank of New York	448,985.00	30-Dec-15	15-Oct-22	2.050%	4,602.10
<b><u>BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>						
US Treasury Note - SLGS	Bank of New York	403,919.00	13-Nov-15	01-Oct-25	2.300%	4,645.07
<b>Total</b>						<b><u>\$ 67,672.19</u></b>



	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FHLB Notes	Morgan Stanley	\$ 2,000,000.00	27-Oct-17	28-May-19	1.375%	\$ 13,750.01
FHLB Notes	HSBC Securities USA	2,500,000.00	17-May-13	15-May-20	1.540%	19,250.00
FHLMC Notes	Wells Fargo Advisors	2,000,000.00	12-Dec-17	26-Nov-18	1.150%	11,500.00
FHLB Notes	Wells Fargo Advisors	2,000,000.00	1-Nov-17	1-Nov-19	1.700%	17,000.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>						
FHLB Discount Notes	Bank of New York	13,235,665.30	25-Apr-18	25-May-18	0.000%	18,334.70
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLGS	Bank of New York	128,973.00	15-Mar-11	15-May-21	2.360%	1,521.88
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>						
US Treasury Note -SLGS	Bank of New York	645,708.00	30-Dec-15	15-May-21	1.800%	5,811.37
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>						
FHLMC Securities	Bank of New York	9,329,747.95	31-May-17	21-May-18	0.000%	103,252.05
Cash	Bank of New York	477.97				
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>						
FNMA Discount Notes	Bank of New York	9,361,965.14	01-Dec-17	31-May-18	0.000%	61,034.86
Cash Balance	Bank of New York	7,883.20				
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</b>						
FNMA Discount Notes	Bank of New York	7,049,044.11	01-Dec-17	31-May-18	0.000%	45,955.89
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165632</b>						
FHLMC Discount Notes	Bank of New York	6,695,253.40	31-May-17	21-May-18	0.000%	72,746.60
<b>BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - 552333, 165635</b>						
FHLB Discount Notes	Bank of New York	3,699,790.35	18-May-17	16-May-18	0.000%	39,209.65
<b>BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - 552336, 165638</b>						
FHLB Discount Notes	Bank of New York	4,699,198.82	18-May-17	16-May-18	0.000%	49,801.18
<b>BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - 342111, 165644</b>						
FNMA Discount Notes	Bank of New York	10,533,638.32	10-May-17	10-May-18	0.000%	125,361.68
<b>BOND FUND - 2015A RESERVE FD (RESTRICTED) - 342109, 165641</b>						
FNMA Discount Notes	Bank of New York	3,883,778.83	10-May-17	10-May-18	0.000%	46,221.17
<b>BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280134, 165646</b>						
FNMA Discount Notes	Bank of New York	7,060,278.16	31-May-17	21-May-18	0.000%	76,712.84
<b>BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649</b>						
FNMA Discount Notes	Bank of New York	3,350,594.45	31-May-17	21-May-18	0.000%	36,405.55
<b>BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652</b>						
FNMA Discount Notes	Bank of New York	4,508,018.58	31-May-17	21-May-18	0.000%	48,981.42
<b>Total</b>						<b>\$ 792,850.85</b>