

SUFFOLK COUNTY WATER AUTHORITY ANNUAL INVESTMENT REPORT FISCAL YEAR ENDED MAY 31, 2021

ANNUAL INVESTMENT REPORT

OF THE

SUFFOLK COUNTY WATER AUTHORITY

FOR THE

FISCAL YEAR ENDED MAY 31, 2021

AUTHORIZATION

Title 7, Section 2925, Paragraph 7(b) of the Public Authorities Law

"Each corporation, other than a corporation included under Paragraph (a) of this sub-division, shall annually submit its investment report to the Chief Executive Office and Chief Financial Officer of each municipality for the benefit of which it was created and to the Department of Audit and Control."

NAME OF OFFICIAL: Elizabeth K. Vassallo

TITLE: Chief Financial Officer

ADDRESS: Suffolk County Water Authority

P.O. Box 38

Oakdale, New York 11769

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SECTION I

Investment Policy of the Suffolk County Water Authority

INVESTMENT POLICY FOR THE SUFFOLK COUNTY WATER AUTHORITY

I. SCOPE

This Investment Policy ("Policy") applies to all moneys and other financial resources available for deposit and investment by the Suffolk County Water Authority ("Authority") and on behalf of any other entity or individual.

II. INVESTMENT OBJECTIVES

The primary objectives of the Authority's investment program shall, in order of priority, be to: (1) comply with all applicable provisions of law and any applicable covenants contained in the Resolution (as hereinafter defined); (2) safeguard the principal of all deposits and investments; (3) provide sufficient liquidity to ensure that monies invested are available to meet expenditures as they come due; and (4) obtain the maximum rate of return that is consistent with the preceding objectives.

III. DELEGATION OF AUTHORITY; ESTABLISHMENT OF PROCEDURES

The members of the Authority ("Board") hereby delegate responsibility for the implementation and administration of the Authority's deposit and investment program ("Program") to the Chief Executive Officer and the Chief Financial Officer ("Finance Officers") who shall establish procedures for the operation of the Program consistent with this Policy ("Procedures"). Such Procedures shall regulate subordinate employees and include an adequate internal control structure to provide a satisfactory level of accountability based on a record incorporating descriptions and amounts of deposits and investments, transaction dates, interest rates and other information necessary to manage the portfolio and to identify the sources of all funds being invested. Except as is otherwise provided in this Policy, no written contracts or agreements shall be required with respect to a specific investment authorized by

this Policy. Any of the Finance Officers shall be authorized to make deposits and investments, or to sell or redeem the same, on behalf of the Authority in accordance with the Procedures and this Policy.

IV. INTERNAL CONTROLS; ANNUAL AUDIT

- (a) The Finance Officers are responsible for establishing and maintaining an internal control structure to provide reasonable assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that such transactions are executed in accordance with proper authorization and recorded properly and, that such transactions are managed in compliance with applicable laws, regulations and bond covenants.
- (b) The Authority shall be required to have an annual audit of its investments performed by its independent accountants. This annual audit shall include a review of the Authority's internal control structure as it relates to the Program and verify that the Finance Officers have acted in accordance with the Policy and Procedures.

V. PRUDENCE

The Finance Officers and their subordinates shall at all times act responsibly as custodians of the public trust. Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their affairs, not for speculation, but for investment, considering the safety of principal as well as the income to be derived. The Finance Officers and their subordinates involved in the investment process shall refrain from personal investment activity that could conflict with proper execution of the Program or which could impair their ability to make impartial investment decisions.

VI. AUTHORIZED INVESTMENTS

Except as otherwise may be provided in a contract with bond or note holders or this Policy, monies not required for immediate expenditure may be invested in any of the following:

- (i) direct obligations of, or obligations the principal of and interest on which are unconditionally guaranteed by, the United States of America;
- (ii) bonds, debentures, notes, participation certificates or other evidences of indebtedness issued or guaranteed by the Bank for Cooperatives; Federal Intermediate Credit Bank; Federal Home Loan Bank System; Export-Import Bank of the United States; Federal Land Banks; Federal National Mortgage Association; United States Postal Service; Government National Mortgage Association; Federal Financing Bank; Farmers Home Administration; Federal Home Loan Mortgage Association; Tennessee Valley Authority or any agency or instrumentality of the United States of America or any other corporation wholly-owned by the United States of America;
- (iii) New housing authority bonds issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a pledge of annual contributions under an annual contributions contract or contracts with the United States of America or any agency thereof; or project notes issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a requisition or payment agreement with the United States of America or any other agency thereof;
- (iv) bank time deposits evidenced by certificates of deposit and bankers' acceptances issued by any bank or trust company which is a member of the Federal Deposit Insurance Corporation (hereinafter "Bank");
- (v) certificates or other obligations that evidence ownership of the right to payments of principal of or interest on obligations of the United States of America or any state of the United States of America or any political subdivision thereof or any agency or instrumentality of the United States of America or any state or political subdivision, provided that such obligations shall be held in trust by a bank or trust company or a national banking association meeting the requirements for a Bond Fund Trustee under Section 7.1 of the Resolution (as hereinafter

defined), and provided further that, in the case of certificates or other obligations of a state or political subdivision, the payments of all principal of and interest on such certificates or such obligations shall be fully insured or unconditionally guaranteed by, or otherwise unconditionally payable pursuant to a credit support arrangement provided by, one or more financial institutions or insurance companies or associations which shall be rated in the highest rating category by at least two nationally recognized bond rating agencies or, in the case of an insurer providing municipal bond insurance policies insuring the payment, when due, of the principal and interest on municipal bonds, such insurance policy shall result in such municipal bonds being rated in the highest rating category by at least two nationally recognized bond rating agencies, and

(vi) obligations of any state of the United States of America or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision, except industrial development revenue bonds, which shall be rated in the highest two rating categories by at least two nationally recognized bond rating agencies.

Investments shall be payable or redeemable, at the option of the Authority, within such time as the proceeds shall be needed to meet expenditures for the purpose for which the monies were provided.

VII. <u>DEPOSITS</u>

All monies collected by any officer or employee of the Authority shall be deposited in such Banks as have been authorized by the Board of the Authority. It is the policy of the Authority that all monies collected by any officer or employee of the Authority shall be immediately deposited in such Banks in accordance with the Procedures established pursuant to Section III of this Policy.

VIII. <u>DIVERSIFICATION</u>

Investments and deposits shall be diversified by issuer, financial institution, maturity and type of investment to eliminate the risk of loss resulting from over concentration of assets in a

specific issuer, bank or trading partner or a specific maturity. No more than seventy-five percent (75%) of the Authority's funds may be invested in the securities of any one issuer, with the exception of those obligations enumerated in paragraph (i) of Section VI of this Policy. For purposes of the preceding sentence, each Bank issuing a bank time deposit evidenced by a certificate of deposit or bankers' acceptance shall be deemed to be the issuer of a security.

IX. <u>AUTHORIZED BANKS AND TRADING PARTNERS</u>

- (a) The Finance Officers shall maintain a list of Banks, brokers, dealers and investment advisors approved to do business with the Authority. No Bank, broker, dealer or investment advisor shall be included on such list unless approved by the Board. If appropriate, the Finance Officers shall establish limits on the amount of investments that may be outstanding with any approved bank, broker or dealer at any time. All Banks, brokers and dealers with which the Authority conducts business must be creditworthy as determined by criteria established by the Finance Officers. All Banks with which the Authority does business shall provide their most recent Consolidated Report of Condition (Call Report) to the Finance Officers at the request of any such officer. Brokers and dealers not affiliated with a Bank shall be recognized primary dealers designated by the Federal Reserve Bank of New York. The Finance Officers are responsible for periodically evaluating the financial position of, and the quality of service provided by, Banks, brokers, dealers and investment advisors with which the Authority does business and, based on such evaluations, shall recommend to the Board revisions to the list of eligible Banks and trading partners as they deem appropriate.
- (b) Every Bank, broker, dealer or investment advisor approved pursuant to paragraph (a) of this Section shall be given a copy of this Policy. Each such Bank, broker dealer or investment advisor shall certify in writing to the Authority that it has reviewed the Policy and that it will comply with the provisions thereof.

X. PROCEDURES FOR SECURING DEPOSITS, SPECIAL TIME DEPOSITS AND CERTIFICATES OF DEPOSIT

(a) WRITTEN SECURITY AGREEMENTS

Monies of the Authority shall only be deposited or invested in a Bank pursuant to paragraph (iv) of Section VI of this Policy if the Authority has entered into a written security agreement with such Bank and only if the total amount of such deposits do not exceed at any one time in the aggregate of (5%) five percent the total of the capital and surplus of such Bank. Such security agreement shall require the Bank to secure all Authority deposits with securities (1) of the type enumerated in paragraphs (i), (ii), or (iii) of Section VI of this Policy; or (2) with direct and general obligations, to the payment of the principal of and interest on which the faith and credit of the issuer is pledged, of the State of New York or any political subdivision thereof; provided that (a) as to such obligations of a political subdivision, all the taxable real property within such political subdivision shall be subject to taxation thereby to pay such obligations and the interest thereon, without limitation as to the rate or amount, and (b) at the time of their purchase, such obligations of such state or political subdivision are rated in one of the three highest rating categories by two nationally recognized bond rating agencies ("Collateral"). Such security agreement shall also (1) require that the Collateral provided by the Bank at all times have a market value (exclusive of accrued interest) at least equal to the amount of deposits or investments secured by such Collateral; (2) prescribe the manner in which the market value of the Collateral shall be determined and provide for the valuation of Collateral; (3) require the Bank to provide additional Collateral if the market value falls below the required amount of Collateral; (4) provide that the Collateral is being provided by the Bank to secure all Authority deposits and investments in the Bank, together with agreed upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon default; (5) grant a security interest to the Authority in the Collateral pledged by the Bank pursuant to the agreement; (6) set forth the conditions under which the Collateral may be sold, presented for payment, substituted or released; (7) define the events of default that will enable the Authority to exercise its rights

against said pledged Collateral; (8) require that Collateral pledged to the Authority to secure deposits and not registered in the name of the Authority be delivered in a form suitable for transfer or with an assignment in blank to a custodial bank with which the Authority has entered into a written custodial agreement; (9) require that the agreement be properly authorized by the board of directors of the Bank and that the Bank maintain such agreement as an official record of the Bank; and, (10) contain such other provisions deemed necessary to enable the Authority to enforce its interest in the Collateral in the event of a default by the Bank.

(b) CUSTODY AGREEMENTS

All Collateral pledged by a Bank pursuant to a written security agreement shall be delivered to a Bank with which the Authority has entered into a written custody agreement ("Custodian"). The custody agreement shall: (1) specify the manner in which the custodian shall hold securities; (2) require the Custodian to hold the securities as agent of, and Custodian for, the Authority and to keep such securities separate and apart from the general assets of the Custodian and not permit them to become the backing for any other deposits or liabilities of the Custodian; (3) require the Custodian to confirm in writing the receipt, substitution or release of any securities from the Authority's custody account; (4) provide for the methodology and frequency of valuation of securities held by the Custodian; (5) require the Custodian to make appropriate entries on its books at all times showing the Authority's interest in the securities held by the Custodian; (6) require physical securities be kept in the Custodian's vault and physically segregated from the Custodian's property and other property held by the Custodian; (7) require the Custodian to subordinate any claims it may have against the securities to the Authority's interest therein; (8) permit the Authority access to books and records maintained by the Custodian with respect to the Authority's account; and, (9) contain any other provisions deemed necessary and appropriate. A Bank shall not be permitted to act as Custodian of any Collateral pledged by such Bank to secure Authority deposits.

XI. PURCHASE AND SAFEKEEPING OF INVESTMENTS

- (a) In the case of investments in certificates of deposit and special time deposits, the Procedures shall require the solicitation of quotations from more than one of the approved Banks.
- (b) In the case of the purchase of securities, the Procedures shall: (1) require competitive quotations, except in the purchase of government securities at their initial auction; (2) require all securities purchased by the Authority to be held in the custody of a Bank with which the Authority has entered into a written custodial agreement which complies with the requirements contained in paragraph (b) of Section X of this Policy; (3) ensure that securities are purchased, sold or presented for redemption or payment by a Custodian only in accordance with instructions from the Finance Officers or a subordinate authorized to make the investment; and (4) provide that payment of the Authority's funds shall be made only upon delivery of the purchased obligations.
- (c) The Finance Officers are further authorized to purchase securities subject to a repurchase agreement in accordance with the procedures enumerated in Section XII of this Policy.

XII. PROCEDURES FOR REPURCHASE AGREEMENTS

The Finance Officers are authorized to purchase and sell securities pursuant to repurchase agreements subject to the following restrictions:

(1) The Authority shall only enter into repurchase agreements with a Bank, broker or dealer approved by the Finance Officers pursuant to Section IX of this Policy, subject to any trading limits established for each Bank, broker or dealer;

- (2) No repurchase transaction shall be entered into with any Bank, broker or dealer until the Authority has entered into a written master repurchase agreement with such Bank, broker or dealer;
- (3) Only securities enumerated in paragraphs (i) and (ii) of Section VI shall be purchased pursuant to the repurchase agreement;
- (4) Securities purchased pursuant to the repurchase agreement shall be held by a Custodian, other than the Bank, broker or dealer acting as seller under the repurchase agreement, pursuant to a written custody agreement;
- (5) The price paid for the securities shall not be in excess of the market value of the securities being purchased plus any accrued interest not reflected in the market price.

Master repurchase agreements between the Authority and its trading partners shall: (1) contain procedures which ensure that the Authority obtains a perfected security interest in the purchased securities; (2) define events of default; (3) prohibit the trading partner from substituting securities for the purchased securities during the term of the repurchase agreement except with the express consent of the Authority; (4) limit the term of a specific repurchase transaction to a period of not more than thirty (30) days; (5) contain appropriate margin requirements and procedures for timely correction of margin deficiencies or excesses; (6) provide that the Authority shall not make payment for the purchased securities until they are received by the Custodian and that the Custodian shall not transfer the purchased securities to the trading partner until the Authority has received the repurchase price in immediately available funds; (7) require that the terms of all specific repurchase transactions, including rate, price and a description of the specific securities being purchased, be confirmed in writing; (8) provide that all specific repurchase transactions shall be subject to the terms of the master repurchase agreement; and, (9) contain such other provisions as are deemed necessary and appropriate.

The written custody agreement shall, to the extent applicable, comply with the requirements of paragraph (b) of Section X of this Policy.

XIII. <u>LEGAL REVIEW</u>

All security agreements, custody agreements and repurchase agreements shall be reviewed by an attorney retained for the purpose of determining their compliance with the requirements of this Policy.

XIV. <u>INVESTMENT REPORTS</u>

- (a) The Finance Officers shall prepare and file with the Board on a quarterly basis a report showing: (a) any new investments made during the quarter; (b) any investments sold during the quarter, including the gain or loss thereon; (c) an inventory of existing investments (including the name of the investment, the cost of the investment, the stated interest rate and yield to maturity of the investment, the maturity date of the investment, and the current market value of the investment if less than cost); and (d) the list of approved Banks and trading partners required to be maintained pursuant to Section IX of this Policy.
- (b) The Finance Officers shall annually prepare and submit to the Board for approval a report which includes: (1) a copy of this Policy; (2) an explanation of this Policy and any recommendations by the Finance Officers for changes to this Policy; (3) an annual independent audit; (4) the investment results obtained by the Authority during the annual period covered by the report (including interest income, realized and unrealized gains and losses, average weighted maturity and weighted average net yield); and (5) a list of total fees, commissions or other charges paid to each investment banker, broker, agent, dealer and provider rendering investment associated services to the Authority. Such Annual Report, as approved by the Board, shall be submitted to the County Executive of Suffolk County and the New York State Department of Audit and Control.

XIII. <u>INCONSISTENCY WITH RESOLUTION</u>

Neither this Policy nor the Procedures adopted hereunder shall be construed to authorize any deposit or investment that is not permitted under the Bond Resolution adopted by the Authority on September 27, 1988, as amended October 27, 1988, March 30, 1993 and November 29, 1994 ("Resolution") and in the event of any such inconsistency, the Resolution shall govern.

SECTION II

Amendment to the Investment Policy of the Authority Fiscal Year Ending May 31, 2021

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Explanations Issued during the Fiscal Year

There were no amendments to the Investment Guidelines during this fiscal year.

SECTION III

Fees Paid for Investment Services during the Fiscal Year

There were no Investment Services fees paid during this fiscal year.

SECTION IV

Compliance Letter of Investments-As prepared by KPMG, LLP Independent Auditors



KPMG LLP Suite 200 1305 Walt Whitman Road Melville, NY 11747-4302

Report on Compliance With the Requirements of Section 201.3 of Title Two of the Official Compilation of Codes, Rules and Regulations of the State of New York

The Members
Suffolk County Water Authority:

We have examined Suffolk County Water Authority's (the Authority) compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* during the fiscal year ended May 31, 2021. The Authority's managementis responsible for the Authority's compliance with the specified requirements. Our responsibility is to express an opinion on the Authority's 's compliance with the specified requirements based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants and in accordance with standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether the Authority complied, in all material respects, with the specified requirements referenced above. An examination involves performing procedures to obtain evidence about whether the Authority complied with the specified requirements. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material noncompliance, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion. Our examination does not provide a legal determination on the Authority's compliance with specified requirements.

In our opinion, the Authority complied, in all material respects, with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* during the fiscal year ended May 31, 2021.

In accordance with *Government Auditing Standards*, we are required to report certain findings of deficiencies in internal control; instances of noncompliance with provisions of laws, or regulations, contracts or grant agreements; and instances of fraud and abuse that are direct and material to the Authority's compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules, and Regulations of the State of New York* that come to our attention during our examination. We are also required to obtain the views of management on those matters. We performed our examination to express an opinion on the Authority's compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules, and Regulation and Regulations of the State of New York* and not for the purpose of expressing an opinion on the internal control over compliance with those requirements, compliance with the provisions of other laws, regulations, contracts or grant agreements or the other matters referred to above; accordingly, we express no such opinion. The results of our tests disclosed no matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Authority and New York State and is not intended to be and should not be used by anyone other than the specified parties.



Melville, New York August 27, 2021

SECTION V

Statement of Investments

June 2020 - May 2021

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|----------------|----------------------|-----------|-------------------|---------------|-----------------------|----------------|
| GENERAL FUND | | | | HAVESTWEIN | HAVESTIMENTS (& FIMIV | NAIE |
| Treasury Notes | Wells Fargo Advisors | 17-Dec-19 | 15-Jul-20 | \$ 4,000,000 | 00 \$ 4,002,040.00 | 1.500% |
| FHLMC Notes | HSBC Securities USA | 6-Jun-19 | 28-Aug-20 | 2,000,000. | 00 2,004,880.00 | 1,750% |
| Treasury Notes | Wells Fargo Advisors | 17-Dec-19 | 31-Dec-20 | 4,000,000. | 00 4,043,560.00 | 2.375% |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | 2,000,000 | 00 2,020,980.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000. | 00 260,807.50 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000. | 00 260,795.00 | 2.800% |
| FHLMC Notes | Wells Fargo Advisors | 30-Jan-20 | 30-Jan-23 | 2,000,000. | 00 2,002,140.00 | 1.650% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | 250,000. | 00 266,980.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | 250,000. | 00 267,142.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | 4,000,000 | 00 4,219,280.00 | 2.530% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | 3,000,000. | 00 2,996,820.00 | 0.440% |
| FHLMC Notes | Wells Fargo Advisors | 29-Jan-20 | 29-Jan-24 | 2,000,000. | 00 2,001,140.00 | 1.750% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | 500,000. | 00 537,250.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | 2,000,000. | 00 2,028,740.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | 4,735,000. | 00 4,820,372.05 | 2.020% |
| FHLMC Notes | Wells Fargo Advisors | 25-Mar-19 | 25-Mar-24 | 2,000,000. | 00 2,010,420.00 | 2.750% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | 250,000 | 00 271,647.50 | 2.950% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | 1,000,000 | 00 999,630.00 | 0.580% |
| FHLMC Notes | Raymond James | 25-Oct-19 | 15-Oct-24 | 3,000,000. | 00 3,011,070.00 | 1.875% |
| FHLMC Notes | Wells Fargo Advisors | 28-Oct-19 | 28-Oct-24 | 2,000,000 | 00 2,001,740.00 | 2.000% |
| FHLMC Notes | Wells Fargo Advisors | 13-Jan-20 | 13-Jan-25 | 4,000,000 | 00 4,015,600.00 | 2.000% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | 4,585,000 | 00 4,951,616.60 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | 3,350,000. | 00 3,608,754.00 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | 2,000,000 | 00 1,993,580.00 | 0.500% |
| | | SUBTOTA | L INVESTED FUNDS: | \$ 53,420,000 | 00 \$ 54,596,985.15 | |

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|--|--|-----------------------------------|------------------------|----------------------------|----------------------------|----------------|
| | ND & SECONDARY BOND FUND (RESTRICTED)-658 | <u>451 & 658450-165010</u> | <u>& 020</u> | | | |
| 658451 FHLB Discount Notes Cash (combined total) | Bank of New York Bank of New York | 25-Jun-20 | 22-Jul-20 30-Jun-20 | \$ 2,902,945.57 344.41 | | 0.000% |
| BOND FUND - 2011A (200 US Treasury Note-SLGS Cash | 10B) EFC BOND RESERVE ACCOUNT (RESTRICTED Bank of New York Bank of New York |)-647904, GL 165180 15-Mar-11 | 15-Jul-20 30-Jun-20 | 318,889.00 0.00 | • | 2.360% |
| BOND FUND - 2011A (200 US Treasury Bond-SLGS Cash | DIA) EFC BOND RESERVE ACCOUNT (RESTRICTED Bank of New York Bank of New York |)-647976, GL 165090 15-Mar-11 | 15-May-21 30-Jun-20 | 128,973.00 0.00 | · | 2.360% |
| BOND FUND - 2011C (200 US Treasury Note -SLGS Cash | DIB) EFC BOND RESERVE ACCOUNT (RESTRICTED Bank of New York Bank of New York |), 648252, GL 165200 30-Dec-15 | 15-May-21 30-Jun-20 | 645,708.00 | 645,708.00 0.00 | 1.800% |
| US Treasury Bond-SLGS Cash | 12A) EFC BOND RESERVE ACCOUNT (RESTRICTED Bank of New York Bank of New York | 21-Jun-12 | 15-Oct-22 30-Jun-20 | 452,319.00 0.00 | • | 1.760% |
| US Treasury Note -SLGS Cash | 12G) EFC RESERVE ACCOUNT (RESTRICTED)- 2960 Bank of New York Bank of New York | 30-Dec-15 | 15-Oct-22 30-Jun-20 | 448,985.00 0.00 | | 2.050% |
| BOND FUND - 2013B (200 US Treasury Note - SLGS Cash | 13B) EFC RESERVE ACCOUNT (RESTRICTED)- 2960 Bank of New York Bank of New York | 019, 165310 04-Nov-13 | 15-Jan-23 30-Jun-20 | 408,894.00 0.00 | | 2.410% |
| US Treasury Bond - SLGS Cash | Bank of New York | 02-Jul-14 | 15-Aug-24 30-Jun-20 | 394,782.00 0.00 | | 2.550% |
| US Treasury Note - SLGS Cash | Bank of New York | 13-Nov-15 | 01-Oct-25 30-Jun-20 | 403,919.00 0.00 | | 2.300% |
| FHLB Discount Notes Cash | SERVE FUND ACCOUNT (RESTRICTED) - 398774, 16 Bank of New York Bank of New York | 22-May-20 | 01-Dec-20 30-Jun-20 | 9,191,069.92 937.03 | | 0.000% |
| BOND FUND - 2012A RES US Treasury Notes - Bonds Cash Balance | SERVE FUND ACCT. (RESTRICTED) - 556118, 165620 Bank of New York Bank of New York | <u>0</u> 30-Nov-18 | 30-Nov-22 30-Jun-20 | 7,047,306.56 1,799.44 | 7,592,113.44 1,799.44 | 2.000% |
| US Treasury Notes - Bonds Cash Balance | Bank of New York | 65632 03-Dec-18 | 30-Nov-22 30-Jun-20 | 6,625,615.39 1,750.97 | 7,142,140.82 1,750.97 | 2.000% |
| US Treasury Notes - Bonds Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 3,678,026.52 4,214.00 | 4,004,693.90 4,214.00 | 2.875% |
| US Treasury Notes - Bonds Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 4,653,890.82 3,694.30 | 5,067,230.50 3,694.30 | 2.875% |
| US Treasury Notes - Bonds Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 10,459,982.81 10,069.43 | 11,388,996.00 10,069.43 | 2.875% |
| US Treasury Notes - Bonds Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 3,856,367.23 3,947.51 | 4,198,874.10 3,947.51 | 2.875% |
| BOND FUND - 2016A RES US Treasury Notes - Bonds Cash Balance | ERVE FD (RESTRICTED) - 280134, 165646 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 7,033,436.72 7,803.89 | 7,658,118.00 7,803.89 | 2.875% |

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|--|--|-------------------|------------------------|---------------------------|---------------------------|----------------|
| BOND FUND - 2016B RE US Treasury Notes - Bond Cash Balance | SERVE FD (RESTRICTED) - 280076, 165649 Is Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 3,338,377.66 3,454.82 | 3,634,878.80 3,454.82 | 2.875% |
| BOND FUND - 2016 REF US Treasury Notes - Bond Cash Balance | UNDING RESERVE FD (RESTRICTED) - 280108, 165652 is Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Jun-20 | 4,495,588.40 1,264.66 | 4,894,868.30 1,264.66 | 2.875% |
| BOND FUND - 2018A RE FNMA Notes Cash Balance | FUNDING RESERVE FD (RESTRICTED) - 349287, 165655 Bank of New York Bank of New York | 5 03-Aug-18 | 05-Apr-22 30-Jun-20 | 9,267,087.29 59,258.79 | 9,858,587.76 59,258.79 | 1.875% |
| BOND FUND - 2020B RE US Treasury Notes - Bond Cash | SERVE FUND ACCOUNT(RESTRICTED),291102, 165658 is Bank of New York Bank of New York | 12-May-20 | 15-May-25 30-Jun-20 | 5,969,008.48 62,531.01 | 5,972,671.35 62,531.01 | 2.125% |
| BOND FUND - 2020A EFF FFCB Discount Notes Cash | C RESERVE FUND(RESTRICTED),162470, 165351 Bank of New York Bank of New York | | 30-Jun-20 | 0.00 63,310.72 | 0.00 63,310.72 | 0.000% |
| BOND FUND - 2020 Refu FHLB Discount Notes Cash | nding Bond Fund (RESTRICTED),483800, 165660 Bank of New York Bank of New York | 24-Jun-20 | 19-Nov-20 30-Jun-20 | 766,590.08 1,101.75 | 766,486.11 1,101.75 | 0.000% |
| BOND FUND - 2020 Rese US Treasury Notes - Bond Cash | rve Fund (RESTRICTED),483810, 165661 is Bank of New York Bank of New York | 23-Jun-20 | 15-Jun-23 30-Jun-20 | 4,997,574.84 2,407.57 | 4,999,526.79 2,407.57 | 0.250% |
| SUBTOTAL INVESTED FISCAL AGENT FUNDS: | | | | 87,713,227.59 | 92,701,353.00 | |
| | TOTAL IN | \$ 141,133,227.59 | \$ 147,298,338.15 | | | |

| June-20 | | | | INVESTMENT | | Money Market Interest Rates |
|--|--|-----------------------------|---|-------------------------------|-------------------------------|--------------------------------|
| WORKING FUNDS - MO | NEY MARKET ACCOUNTS | | | | | |
| GENERAL FUND - CUST Money Market | OMER REFUND Capital One | 112014 | | \$ 1,459,464.33 \$ | 1,459,464.33 | 0.25% |
| GENERAL FUND - W/CC Money Market | MP SELF INS Citibank | 112905 | | 7,528,157.07 | 7,528,157.07 | 0.10% |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | 1,709,982.63 | 1,709,982.63 | 0.03% |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | 310,314.24 | 310,314.24 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 6,532,481.81 | 6,532,481.81 | 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBRA-LBX | 112907 111016 | | 68,862,884.41 | 68,862,884.41 | 0.03% 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | (391,684.46) 27,367,242.12 | (391,684.46) 27,367,242.12 | 0.05% |
| OPERATING FUND Money Market | Capital One | 112914 | | 22,769,843.66 | 22,769,843.66 | 0.25% |
| CONSTRUCTION FUND Money Market | (2018A Bonds) - (RESTRICTED) HSBC | 112926 | | 2,871,740.24 | 2,871,740.24 | 0.18% |
| CONSTRUCTION FUND Money Market | (2020B Bonds) - (RESTRICTED) HSBC | 112927 | | 79,537,156.49 | 79,537,156.49 | 0.18% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | 5,500,966.87 | 5,500,966.87 | 0.42% |
| OPERATING FUND - OP Money Market | EB_ 1st National Bank of L.I. | 112930 | | 69,205,551.46 | 69,205,551.46 | 0.12% |
| OPERATING FUND - RE Money Market | T_ Bridgehampton National Bank | 112932 | | 6,162,634.03 | 6,162,634.03 | 0.42% |
| GENERAL FUND - (Infras Money Market | <u>structure)</u> Empire National Bank | 112933 | | 19,755,669.14 | 19,755,669.14 | 0.50% |
| | TOTAL WORKING | FUND MONEY MARKET ACCOUNTS: | : | \$ 319,182,404.04 \$ | 319,182,404.04 | |
| | | GRAND TOTAL | : | \$ 460,315,631.63 \$ | 466,480,742.19 | |
| | | TOTAL RESTRICTED FUNDS | : | 90,584,967.83 | 95,573,093.24 | |
| | | TOTAL UNRESTRICTED FUNDS | : | 369,730,663.80 | 370,907,648.95 | |

GRAND TOTAL

:

\$ 460,315,631.63 \$ 466,480,742.19

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS July-20

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|----------------|----------------------|-------------|---------------|------------------|--------------------|----------------|
| GENERAL FUND | | PROW | | INVESTMENT | INVESTMENTS (Q PMV | KAIE |
| | | | | | | |
| FHLMC Notes | HSBC Securities USA | 6-Jun-19 | 28-Aug-20 | \$ 2,000,000.00 | \$ 2,002,240.00 | 1.750% |
| Treasury Notes | Wells Fargo Advisors | 17-Dec-19 | 31-Dec-20 | 4,000,000.00 | 4,036,240.00 | 2.375% |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | 2,000,000.00 | 2,020,500.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 260,415.00 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 260,420.00 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | 250,000.00 | 267,012.50 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | 250,000.00 | 267,190.00 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | 4,000,000.00 | 4,225,200.00 | 2.530% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | 3,000,000.00 | 3,000,660.00 | 0.440% |
| FFCB Notes | HSBC Securities USA | 31-Jul-20 | 22-Jan-24 | 2,000,000.00 | 1,999,180.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | 500,000.00 | 536,955.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | 2,000,000.00 | 2,025,820.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | 4,735,000.00 | 4,943,434.70 | 2.020% |
| FHLMC Notes | Wells Fargo Advisors | 25-Mar-19 | 25-Mar-24 | 2,000,000.00 | 2,006,780.00 | 2.750% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | 250,000.00 | 272,577.50 | 2.950% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | 1,000,000.00 | 1,000,710.00 | 0.580% |
| FHLMC Notes | Raymond James | 25-Oct-19 | 15-Oct-24 | 3,000,000.00 | 3,008,220.00 | 1.875% |
| FHLMC Notes | Wells Fargo Advisors | 13-Jan-20 | 13-Jan-25 | 4,000,000.00 | 4,011,440.00 | 2.000% |
| FFCB Notes | Raymond James | 17-Jul-20 | 15-Jan-25 | 3,000,000.00 | 3,000,270.00 | 0.600% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | 4,585,000.00 | 4,959,273.55 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | 3,350,000.00 | 3,614,951.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | 2,000,000.00 | 2,000,080.00 | 0.500% |
| FFCB Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | 3,000,000.00 | 2,996,310.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | 2,000,000.00 | 2,000,080.00 | 0.650% |
| | | SUBTOTAL IN | VESTED FUNDS: | \$ 53,420,000.00 | \$ 54,715,959.75 | |

| | | FROM | то | | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|------------------------------|--|------------------------|------------------------|----|--------------------|---------------------------|----------------|
| SENIOR LIEN BOND FUR | ND & SECONDARY BOND FUND (RESTRICTED)-65 | 8451 & 658450-1650 | 110 & 020 | | | | |
| 658451 | TO W OLSONDAM: DOND TOND (RESTRICTED) OS | 0-10 T CL 000-100-1001 | 7.10 CL U.L. | | | | |
| FHLB Discount Notes | Bank of New York | 21-Jul-20 | 21-Aug-20 | \$ | 5,805,819.37 | \$ 5,805,825.82 | 0.000% |
| Cash (combined total) | Bank of New York | | 31-Jul-20 | • | 815.02 | | |
| | | | | | | | |
| | 11A) EFC BOND RESERVE ACCOUNT (RESTRICTE | | | | | | |
| US Treasury Bond-SLGS | Bank of New York | 15-Mar-11 | 15-May-21 | | 128,973.00 | | 2.360% |
| Cash | Bank of New York | | 31-Jul-20 | | 0.00 | 0.00 | |
| BOND FUND - 2011C (200 | 1B) EFC BOND RESERVE ACCOUNT (RESTRICTE | D), 648252, GL 1652 | 200 | | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-May-21 | | 645,708.00 | 645,708.00 | 1.800% |
| Cash | Bank of New York | | 31-Jul-20 | | | 0.00 | |
| | | | | | | | |
| | 22A) EFC BOND RESERVE ACCOUNT (RESTRICTE | | | | | | |
| US Treasury Bond-SLGS | Bank of New York | 21-Jun-12 | 15-Oct-22 | | 452,319.00 | | 1.760% |
| Cash | Bank of New York | | 31-Jul-20 | | 0.00 | 0.00 | |
| BOND FUND - 2011C (200 | 2G) EFC RESERVE ACCOUNT (RESTRICTED)- 296 | 1012 165280 | | | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-Oct-22 | | 440 005 00 | 440 005 00 | 2.0500/ |
| Cash | Bank of New York | 30-Dec-13 | 31-Jul-20 | | 448,985.00 0.00 | · | 2.050% |
| | Sam of four | | 31-341-20 | | 0.00 | 0.00 | |
| BOND FUND - 2013B (200 | 3B) EFC RESERVE ACCOUNT (RESTRICTED) 290 | 6019, 165310 | | | | | |
| US Treasury Note - SLGS | | 04-Nov-13 | 15-Jan-23 | | 408,894.00 | 408,894.00 | 2.410% |
| Cash | Bank of New York | | 31-Jul-20 | | 0.00 | 0.00 | |
| | | | | | | | |
| | 4A) EFC RESERVE ACCOUNT(RESTRICTED), 296 | | | | | | |
| US Treasury Bond - SLGS Cash | | 02-Jul-14 | 15-Aug-24 | | 394,782.00 | 394,782.00 | 2.550% |
| Casii | Bank of New York | | 31-Jul-20 | | 0.00 | 0.00 | |
| BOND FUND - 2015D (200 | 5A) EFC RESERVE ACCOUNT(RESTRICTED), 2959 | 008 165340 | | | | | |
| US Treasury Note - SLGS | | 13-Nov-15 | 01-Oct-25 | | 403,919.00 | 403,919.00 | 2.300% |
| Cash | Bank of New York | 10-1104-10 | 31-Jul-20 | | 0.00 | 0.00 | 2.300% |
| | | | 0. 10. 20 | | 0.00 | 0.00 | |
| | SERVE FUND ACCOUNT (RESTRICTED) - 398774, 1 | 65490 | | | | | |
| FHLB Discount Notes | Bank of New York | 22-May-20 | 01-Dec-20 | | 9,191,069.92 | 9,192,965.32 | 0.000% |
| Cash | Bank of New York | | 31-Jul-20 | | 937.03 | 937.03 | |
| BOND SIND ASSAULT | | | | | | | |
| | SERVE FUND ACCT. (RESTRICTED) - 556118, 1656 | | | | | | |
| US Treasury Notes - Bonds | | 30-Nov-18 | 30-Nov-22 | | 7,047,306.56 | 7,589,859.12 | 2.000% |
| Cash Balance | Bank of New York | | 31-Jul-20 | | 1,799.44 | 1,799.44 | |
| POND FUND 2012 DEFU | NOING DESCRIVE FUND (DESCRIPTION) | 4.0000 | | | | | |
| US Treasury Notes - Bonds | NDING RESERVE FUND. (RESTRICTED) - 666285, | | | | | | |
| Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-22 | | 6,625,615.39 | 7,140,020.11 | 2.000% |
| | Bull of New York | | 31-Jul-20 | | 1,750.97 | 1,750.97 | |
| BOND FUND - 2014A RES | ERVE FUND. (RESTRICTED) - 552333, 165635 | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | | 3,678,026.52 | 4,004,693.90 | 2.875% |
| Cash Balance | Bank of New York | ** - ** . * | 31-Jul-20 | | 4,214.00 | 4,214.00 | 2.07576 |
| | | | | | ., | ,,2100 | |
| BOND FUND - 2014B RES | ERVE FUND. (RESTRICTED) - 552336, 165638 | | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | | 4,653,890.82 | 5,067,230.50 | 2.875% |
| Cash Balance | Bank of New York | | 31-Jul-20 | | 3,694.30 | 3,694.30 | |
| ROND FIND - 2015 DEE 6 | RESERVE FD. (RESTRICTED) - 342111, 165644 | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 02 Dec 10 | 20.11 20 | | 40 450 000 04 | | |
| Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 | | 10,459,982.81 | 11,388,996.00 | 2.875% |
| | or non-ron | | 31-Jul-20 | | 10,069.43 | 10,069.43 | |
| BOND FUND - 2015A RES | SERVE FD (RESTRICTED) - 342109, 165641 | | | • | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | | 3,856,367.23 | 4,198,874.10 | 2.875% |
| Cash Balance | Bank of New York | | 31-Jul-20 | | 3,947.51 | 4, 196,674.10 3,947.51 | 2.07070 |
| | | | - · - - · · · · | | 5,041.01 | 0,041.01 | |
| BOND FUND - 2016A RES | ERVE FD (RESTRICTED) - 280134, 165646 | | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | | 7,033,436.72 | 7,658,118.00 | 2.875% |
| Cash Balance | Bank of New York | | 31-Jul-20 | | 7,803.89 | 7,803.89 | |
| | | | | | | | |

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|---------------------------------------|---|--------------|-----------|-------------------|-------------------|----------------|
| BOND FUND - 2016B RESER | VE FD (RESTRICTED) - 280076, 165649 | | | | | |
| US Treasury Notes - Bonds B | | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | | 2.875% |
| Cash Balance B | Bank of New York | | 31-Jul-20 | 3,454.82 | 3,454.82 | |
| BOND FUND - 2016 REFUND | NING RESERVE FD (RESTRICTED) - 280108, 165652 | <u>!</u> | | | | |
| US Treasury Notes - Bonds B | Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,894,868.30 | 2.875% |
| Cash Balance B | Bank of New York | | 31-Jul-20 | 1,264.66 | 1,264.66 | |
| BOND FUND - 2018A REFUN | DING RESERVE FD (RESTRICTED) - 349287, 16565 | 55 | | | | |
| FNMA Notes B | Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,850,926.96 | 1.875% |
| Cash Balance B | Pank of New York | | 31-Jul-20 | 59,258.79 | 59,258.79 | |
| BOND FUND - 2020B RESER | VE FUND ACCOUNT(RESTRICTED),291102, 165658 | | | | | |
| US Treasury Notes - Bonds B | Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,984,025.30 | 2.125% |
| Cash B | Bank of New York | | 31-Jul-20 | 62,531.01 | 62,531.01 | |
| BOND FUND - 2020A EFC RE | ESERVE FUND(RESTRICTED),162470, 165351 | | | | | |
| US Treasury Notes - Bonds B | Jank of New York | 09-Jul-20 | 06-Aug-20 | 78,996.31 | 78,999.21 | 0.000% |
| Cash B | Bank of New York | | 31-Jul-20 | 140.51 | 140.51 | |
| BOND FUND - 2020 Refundin | ng Bond Fund (RESTRICTED),483800, 165660 | | | | | |
| FHLB Discount Notes B | Bank of New York | 24-Jun-20 | 19-Nov-20 | 766,590.08 | 766,792.91 | 0.000% |
| Cash B | Sank of New York | | 31-Jul-20 | 1,101.75 | 1,101.75 | |
| BOND FUND - 2020 Reserve | Fund (RESTRICTED),483810, 165661 | | | | | |
| US Treasury Notes - Bonds B | Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 5,007,509.19 | 0.250% |
| Cash B | Bank of New York | | 31-Jul-20 | 2,407.57 | 2,407.57 | |
| SUBTOTAL INVESTED FISCAL AGENT FUNDS: | | | | 90,313,509.10 | 95,313,354.24 | |
| | TOTAL INV | ESTED FUNDS: | | \$ 143,733,509.10 | \$ 150,029,313.99 | |

| <u>July-20</u> | | INVESTMENT | | | | Money Market Interest Rates | | |
|--|--|-------------------------------|---|------|----------------------------|--------------------------------|----------------------------|----------------|
| WORKING FUNDS - MON | EY MARKET ACCOUNTS | | | | | | | |
| GENERAL FUND - CUSTO Money Market | <u>DMER REFUND</u> Capital One | 112014 | | \$ | 1,475,529.30 | \$ | 1,475,529.30 | 0.25% |
| GENERAL FUND - W/COM Money Market | MP SELF INS Citibank | 112905 | | | 9,620,669.27 | | 9,620,669.27 | 0.05% |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | | 886,847.59 | | 886,847.59 | 0.03% |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | | 9,350.08 | | 9,350.08 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | | 1,367,859.77 | | 1,367,859.77 | 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBRA-LBX | 112 9 07 111016 | | | 82,527,170.90 75,654.95 | | 82,527,170.90 75,654.95 | 0.03% 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | | 27,367,279.33 | | 27,367,279.33 | 0.05% |
| OPERATING FUND Money Market | Capital One | 112914 | | | 19,369,843.66 | | 19,369,843.66 | 0.25% |
| CONSTRUCTION FUND (2 Money Market | 2018A Bonds) - (RESTRICTED) HSBC | 112926 | | | 2,872,488.92 | | 2,872,488.92 | 0.05% |
| CONSTRUCTION FUND (2 Money Market | 2020B Bonds) - (RESTRICTED) HSBC | 112927 | | | 79,548,139.15 | | 79,548,139.15 | 0.16% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | | 5,500,921.59 | | 5,500,921.59 | 0.30% |
| OPERATING FUND - RET Money Market | Bridgehampton National Bank | 112932 | | | 6,692,735.32 | | 6,692,735.32 | 0.30% |
| GENERAL FUND - (Infrastr Money Market | ucture) Empire National Bank | 112933 | | | 19,755,857.88 | | 19,755,857.88 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | | 70,616,895.30 | | 70,616,895.30 | 0.03% |
| | TOTAL WORKING F | UND MONEY MARKET ACCOUNTS: | : | \$ | 327,687,243.01 | \$ | 327,687,243.01 | |
| | | GRAND TOTAL | : | \$ 4 | 471,420,752.11 | \$ | 477,716,557.00 | |
| | | TOTAL RESTRICTED FUNDS | : | | 93,185,998.02 | | 98,185,843.16 | |
| | | TOTAL UNRESTRICTED FUNDS | : | ; | 378,234,754.09 | | 379,530,713.84 | |
| | | GRAND TOTAL | : | \$ 4 | 471,420,752.11 | \$ | 477,716,557.00 | |

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS August-20

| GENERAL FUNI | <u> </u> | | FROM | ТО | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|------------------------------|----------------------|-----------|-------------|----------------|------------------|-------------------|----------------|
| Transcer Notes | Mella Causa Adulusus | 047070400 | 47.0 40 | | | | |
| Treasury Notes FHLB Notes | Wells Fargo Advisors | 912828A83 | 17-Dec-19 | 31-Dec-20 | \$ 4,000,000.00 | | 2.375% |
| | HSBC Securities USA | 313382K69 | 8-Mar-19 | 12-Mar-21 | 2,000,000.00 | • • | 1.750% |
| CD Notes | Raymond James | 38148P5N3 | 27-Feb-19 | 28-Feb-22 | 250,000.00 | • | 2.800% |
| CD Notes | Raymond James | 59013J6T1 | 27-Feb-19 | 28-Feb-22 | 250,000.00 | • | 2.800% |
| CD Notes | Raymond James | 61690UEQ9 | 28-Feb-19 | 28-Feb-23 | 250,000.00 | • | 2.850% |
| CD Notes | Raymond James | 359899AE1 | 7-Mar-19 | 7-Mar-23 | 250,000.00 | • | 2.850% |
| NYCGEN Notes | Raymond James | 64971W5Z9 | 13-Nov-19 | 1-May-23 | 4,000,000.00 | 4,221,920.00 | 2.530% |
| FFCB Notes | Raymond James | 3133EL2W3 | 4-Aug-20 | 4-May-23 | 2,000,000.00 | 1,998,080.00 | 0.350% |
| FHLB Notes | Raymond James | 3130AJPW3 | 29-Jun-20 | 29-Jun-23 | 3,000,000.00 | 3,000,330.00 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 3130AJYU7 | 24-Aug-20 | 24-Aug-23 | 2,000,000.00 | 1,999,240.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 3136G4Y31 | 25-Aug-20 | 25-Aug-23 | 2,000,000.00 | 2,000,220.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 3133ELX25 | 31-Jul-20 | 22-Jan-24 | 2,000,000.00 | 1,996,260.00 | 0.470% |
| NYCGEN Notes | Raymond James | 64971WSZ4 | 15-Jun-15 | 1-Feb-24 | 500,000.00 | 537,525.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 3130AFXA0 | 26-Feb-19 | 26-Feb-24 | 2,000,000.00 | 2,021,900.00 | 2.750% |
| NYSFAC Notes | Raymond James | 64985TAY7 | 2-Apr-20 | 15-Mar-24 | 4,735,000.00 | 4,942,108.90 | 2.020% |
| FHLMC Notes | Wells Fargo Advisors | 3134GS6K6 | 25-Mar-19 | 25-Mar-24 | 2,000,000.00 | 2,003,160.00 | 2.750% |
| CD Notes | Raymond James | 949763YW0 | 27-Mar-19 | 27-Mar-24 | 250,000.00 | 272,562.50 | 2.950% |
| FHLMC Notes | HSBC Securities USA | 3134GWHR0 | 13-Aug-20 | 13-May-24 | 2,000,000.00 | 1,995,900.00 | 0.410% |
| FFCB Notes | Raymond James | 3133ELE67 | 12-Jun-20 | 3-Jun-24 | 1,000,000.00 | 1,000,310.00 | 0.580% |
| FHLMC Notes | Raymond James | 3134GUHK9 | 25-Oct-19 | 15-Oct-24 | 3,000,000.00 | 3,005,010.00 | 1.875% |
| FHLMC Notes | Wells Fargo Advisors | 3134GU2B5 | 13-Jan-20 | 13-Jan-25 | 4,000,000.00 | 4,006,640.00 | 2.000% |
| FFCB Notes | Raymond James | 3133ELU85 | 17-Jul-20 | 15-Jan-25 | 3,000,000.00 | 2,997,030.00 | 0.600% |
| NYCGEN Notes | Raymond James | 64971WF70 | 18-Feb-20 | 1-Feb-25 | 4,585,000.00 | 4,997,650.00 | 2.610% |
| NYSDEV Notes | Raymond James | 6500354V7 | 3-Apr-20 | 15-Mar-25 | 3,350,000.00 | 3,692,068.50 | 3.120% |
| FHLMC Notes | Raymond James | 3134GVYP7 | 12-Jun-20 | 27-Jun-25 | 2,000,000.00 | | 0.500% |
| FFCB Notes | Raymond James | 3136G4A86 | 21-Jul-20 | 21-Jul-25 | 3,000,000.00 | • • | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 3136G4ZP1 | 30-Jul-20 | 30-Jul-25 | 2,000,000.00 | 1,997,020.00 | 0.650% |
| | | | SUBTOTAL IN | IVESTED FUNDS: | \$ 59,420,000.00 | \$ 60,772,532.40 | |

| | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | RATE |
|--|--------------------------|---------------------------------|---------------------------|---------------------------|----------|
| SENIOR LIEN BOND FUND & SECONDARY BOND FU | ND (RESTRICTED)-65845 | 1 & 658450-16501 | 0 & 020 | | |
| 658451 FHLB Discount Not Bank of New York 91279 Cash (combined to Bank of New York | 62H1 21-Aug-20 | 24-Sep-20 31-Aug-20 | \$ 8,709,767.73 337.27 | \$ 8,709,477.40 337.27 | 0.000% |
| POND FUND 2011A /2001A) FFC BOND DECERVE | COUNT (DECTRICTED).C. | 47076 CI 16E000 | | | |
| BOND FUND - 2011A (2001A) EFC BOND RESERVE A US Treasury Bond- Bank of New York S3537 | | 15-May-21 | 128,973.00 | 128,973.00 | 2.360% |
| Cash Bank of New York | 22 | 31-Aug-20 | 0.00 | 0.00 | |
| BOND FUND - 2011C (2001B) EFC BOND RESERVE A | | | | | |
| | 0Q9WH 30-Dec-15 | 15-May-21 | 645,708.00 | 645,708.00 | 1.800% |
| Cash Bank of New York | | 31-Aug-20 | | 0.00 | |
| BOND FUND - 2012B (2002A) EFC BOND RESERVE A | | | 453 340 00 | 452 210 00 | 1 7600/ |
| US Treasury Bond- Bank of New York S3539 Cash Bank of New York | 4810 21-Jun-12 | 15-Oct-22 31-Aug-20 | 452,319.00 0.00 | 452,319.00 0.00 | 1.760% |
| Cash Dank of New York | | 31-A0g-20 | 0.00 | 0.00 | |
| BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT | | | 440.005.00 | 440.005.00 | 2.0500/ |
| US Treasury Note Bank of New York MM00 Cash Bank of New York | 0Q9UY 30-Dec-15 | 15-Oct-22 31-Aug-20 | 448,985.00 0.00 | 448,985.00 0.00 | 2.050% |
| Casii Daik of New York | | 31-Aug-20 | 0.00 | 0.00 | |
| BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT | | | | | |
| US Treasury Note · Bank of New York S3534 Cash Bank of New York | 0040 04-Nov-13 | 15-Jan-23 | 408,894.00 | 408,894.00 | 2.410% |
| Casii bank of New York | | 31-Aug-20 | 0.00 | 0.00 | |
| BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNTY | | <u>, 165330</u> | | | |
| US Treasury Bond Bank of New York S3535 | 7300 02-Jul-14 | 15-Aug-24 | 394,782.00 | 394,782.00 | 2.550% |
| Cash Bank of New York | | 31-Aug-20 | 0.00 | 0.00 | |
| BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT | IT(RESTRICTED), 295998, | 165340 | | | |
| US Treasury Note · Bank of New York MM00 | 0P6L8 13-Nov-15 | 01-Oct-25 | 403,919.00 | 403,919.00 | 2.300% |
| Cash Bank of New York | | 31-Aug-20 | 0.00 | 0.00 | |
| BOND FUND - 2009 B RESERVE FUND ACCOUNT (RES | STRICTED) - 398774, 1654 | 190 | | | |
| FHLB Discount No Bank of New York 31338 | 4R68 22-May-20 | 01-Dec-20 | 9,191,069.92 | 9,193,425.12 | 0.000% |
| Cash Bank of New York | | 31-Aug-20 | 937.03 | 937.03 | |
| BOND FUND - 2012A RESERVE FUND ACCT. (RESTRI | CTFD) - 556118 165620 | | | | |
| US Treasury Notes Bank of New York 91282 | | 30-Nov-22 | 7,047,306.56 | 7,575,678.72 | 2.000% |
| Cash Balance Bank of New York | | 31-Aug-20 | 1,799.44 | 1,799.44 | 2.000 /0 |
| DAND FUND COAS DEFUNDANCE PROPERTY COAS | | | • | • | |
| BOND FUND - 2013 REFUNDING RESERVE FUND. (RI US Treasury Notes Bank of New York 91282 | | <u>3632</u> 30-Nov-22 | 6 635 615 30 | 7 126 600 16 | 2.000% |
| Cash Balance Bank of New York | 01400 03-DEC-10 | 31-Aug-20 | 6,625,615.39 1,750.97 | 7,126,680.16 1,750.97 | 2.000% |
| | | y | 2, | _,,,, | |
| BOND FUND - 2014A RESERVE FUND. (RESTRICTED) US Treasury Notes Bank of New York 91282 | | 20 Nov. 22 | 2 670 026 52 | 2 002 700 00 | 2.0750/ |
| Cash Balance Bank of New York | 03P1 03-Dec-10 | 30-Nov-23 31-Aug-20 | 3,678,026.52 4,214.00 | 3,992,799.86 4,214.00 | 2.875% |
| | | 71 /lug 20 | 1,21 1.00 | 1,211.00 | |
| BOND FUND - 2014B RESERVE FUND. (RESTRICTED) US Treasury Notes Bank of New York 91282 | | 20.11. 22 | | | |
| US Treasury Notes Bank of New York 91282 Cash Balance Bank of New York | 85P1 03-Dec-18 | 30-Nov-23 31-Aug-20 | 4,653,890.82 3,694.30 | 5,052,180.70 3,694.30 | 2.875% |
| 2011/01/1011 | | 31-Aug-20 | 3,054.30 | 3,05-1.30 | |
| BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) | | | | | |
| US Treasury Notes Bank of New York 91282 Cash Balance Bank of New York | 85P1 03-Dec-18 | 30-Nov-23 | 10,459,982.81 | 11,355,170.40 | 2.875% |
| | | 31-Aug-20 | 10,069.43 | 10,069.43 | |
| BOND FUND - 2015A RESERVE FD (RESTRICTED) - 3 | | | | | |
| US Treasury Notes Bank of New York 91282 Cash Balance Bank of New York | 85P1 03-Dec-18 | 30-Nov-23 | 3,856,367.23 | 4,186,403.34 | 2.875% |
| Cash Balance Bank of New York | | 31-Aug-20 | 3,947.51 | 3,947.51 | |
| BOND FUND - 2016A RESERVE FD (RESTRICTED) - 2 | <u>80134, 165646</u> | | | | |
| US Treasury Notes Bank of New York 91282 | | 30-Nov-23 | 7,033,436.72 | 7,635,373.20 | 2.875% |
| Cash Balance Bank of New York | | 31-Aug-20 | 7,803.89 | 7,803.89 | |
| | | | | | |

| | | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE | | | |
|---|---------------------|----------------------------|-------------------------------|------------------------|------------------------|---------------------------|----------------|--|--|--|
| BOND FUND - : | 2016B RESERVE FO | (RESTRICTED) - 280076, 165 | 649 | | | | | | | |
| US Treasury Note | es Bank of New York | 9128285P1 | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,624,083.12 | 2.875% | | | |
| Cash Balance | Bank of New York | | | 31-Aug-20 | 3,454.82 | 3,454.82 | | | | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652 | | | | | | | | | | |
| | es Bank of New York | 9128285P1 | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,880,330.42 | 2.875% | | | |
| Cash Balance | Bank of New York | | | 31-Aug-20 | 1,264.66 | 1,264.66 | | | | |
| POND FUND | 20104 DEELINDING | RESERVE FD (RESTRICTED) | 240207 4656 | | | | | | | |
| FNMA Notes | Bank of New York | 3135G0T45 | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,837,520.56 | 1.875% | | | |
| Cash Balance | Bank of New York | 313360143 | 03-Aug-10 | 31-Aug-20 | 59,258.79 | 59,258.79 | 1.07370 | | | |
| Cubit balance | Dank of field fork | | | 31 Aug 20 | 33,230.13 | 33,230.73 | | | | |
| BOND FUND - : | 2020B RESERVE FU | IND ACCOUNT(RESTRICTED).2 | 91102, 165658 | | | | | | | |
| US Treasury Note | es Bank of New York | 912828XB1 | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,964,059.90 | 2.125% | | | |
| Cash | Bank of New York | | | 31-Aug-20 | 62,531.01 | 62,531.01 | | | | |
| BOND FUND - : | 2020A EFC RESERV | 'E FUND(RESTRICTED),162470 | . 165351 | | | | | | | |
| | es Bank of New York | | 09-Jul-20 | 07-Aug-20 | 93,997.96 | 94,000.00 | 0.000% | | | |
| Cash | Bank of New York | | | 31-Aug-20 | 968.65 | 968.65 | | | | |
| | | | | | | | | | | |
| | | nd Fund (RESTRICTED),48380 | <u>0, 165660</u> 24-Jun-20 | 10 Nov. 20 | 766 500 00 | 766,831.26 | 0.000% | | | |
| Cash | ot Bank of New York | 313384Q28 | 24-Jun-20 | 19-Nov-20 31-Aug-20 | 766,590.08 1,101.75 | 1,101.75 | 0.00076 | | | |
| CdSII | Bank of New York | | | 31-Aug-20 | 1,101./5 | 1,101.75 | | | | |
| BOND FUND - : | 2020 Reserve Fund | (RESTRICTED),483810, 1656 | <u>61</u> | | | | | | | |
| | es Bank of New York | 912828ZU7 | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 5,003,817.33 | 0.250% | | | |
| Cash | Bank of New York | | | 31-Aug-20 | 2,407.57 | 2,407.57 | | | | |
| SUBTOTAL INVESTED FISCAL AGENT FUNDS: | | | | 93,232,809.50 | 98,046,952.58 | | | | | |
| TOTAL INVESTED FUNDS: | | | | | \$ 152,652,809.50 | \$ 158,819,484. <u>98</u> | | | | |

\$ 473,588,159.04 \$ 479,754,834.52

| August-20 | | | | INVESTMENT | | | | Money Market Interest Rates |
|--------------------------------|---|--------------------------|---|------------|----------------------------|----|----------------------------|--------------------------------|
| WORKING FUN | DS - MONEY MARKET ACCOUNTS | | | | | | | |
| GENERAL FUND - Money Market | CUSTOMER REFUND Capital One | 112014 | | \$ | 1,426,165.13 | \$ | 1,426,165.13 | 0.25% |
| GENERAL FUND - Money Market | W/COMP SELF INS Citibank | 112905 | | | 9,620,706.43 | | 9,620,706.43 | 0.05% |
| OPERATING FUN Money Market | <u>D</u> JP Morgan Chase | 112001 | | | 636,554.46 | | 636,554.46 | 0.03% |
| SPECIAL DEPOSI Money Market | <u>T</u> Capital One | 112911 | | | 194,486.97 | | 194,486.97 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | | 1,671,843.61 | | 1,671,843.61 | 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBRA-LBX | 112907 111016 | | 7 | 76,668,795.20 99,425.90 | | 76,668,795.20 99,425.90 | 0.03% 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | 2 | 27,367,279.33 | | 27,367,279.33 | 0.05% |
| OPERATING FUN Money Market | <u>D</u> Capital One | 112914 | | 1 | 6,769,843.66 | | 16,769,843.66 | 0.25% |
| CONSTRUCTION Money Market | FUND (2018A Bonds) - (RESTRICTED) HSBC | 112926 | | | 110.18 | | 110.18 | 0.04% |
| CONSTRUCTION Money Market | FUND (2020B Bonds) - (RESTRICTED) HSBC | 112927 | | 7 | 73,794,911.76 | | 73,794,911.76 | 0.15% |
| OPERATING FUN Money Market | <u>D</u> Bridgehampton National Bank | 112918 | | 1 | 3,501,381.83 | | 13,501,381.83 | 0.30% |
| OPERATING FUN Money Market | <u>D - RET</u> Bridgehampton National Bank | 112932 | | | 7,392,859.09 | | 7,392,859.09 | 0.30% |
| GENERAL FUND - Money Market | <u>· (Infrastructure)</u> Empire National Bank | 112933 | | 1 | 9,755,857.75 | | 19,755,857.75 | 0.35% |
| GENERAL FUND Money Market | <u>)</u> JP Morgan Chase | 112936 | | 7 | 72,035,128.24 | | 72,035,128.24 | 0.03% |
| | TOTAL WORKING FUN | D MONEY MARKET ACCOUNTS: | : | \$ 32 | 20,935,349.54 | \$ | 320,935,349.54 | |
| | | GRAND TOTAL | : | \$ 47 | 3,588,159.04 | \$ | 479,754,834.52 | |
| | | TOTAL RESTRICTED FUNDS | : | 9 | 3,232,919.68 | | 98,047,062.76 | |
| | | TOTAL UNRESTRICTED FUNDS | : | 38 | 0,355,239.36 | | 381,707,771.76 | |
| | | CDAND TOTAL | | A 47 | 0 500 150 01 | _ | 470 754 654 55 | |

GRAND TOTAL

| GENERAL FUND | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | <u>COUPON</u> <u>RATE</u> |
|----------------|----------------------|-----------|-------------------|------------------|-------------------|------------------------------|
| | | | | | | |
| Treasury Notes | Wells Fargo Advisors | 17-Dec-19 | 31-Dec-20 | \$ 4,000,000.00 | \$ 4,022,280.00 | 2.375% |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | 2,000,000.00 | 2,014,540.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 259,535.00 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 259,480.00 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | 250,000.00 | 266,235.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | 250,000.00 | 266,327.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | 4,000,000.00 | 4,191,680.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | 2,000,000.00 | 1,999,300.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | 3,000,000.00 | 2,995,950.00 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | 2,000,000.00 | 1,979,280.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | 2,000,000.00 | 1,999,100.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 31-Jul-20 | 22-Jan-24 | 2,000,000.00 | 2,000,120.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | 500,000.00 | 532,620.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | 2,000,000.00 | 2,018,780.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | 4,735,000.00 | 4,925,157.60 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | 250,000.00 | 272,355.00 | 2.950% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | 2,000,000.00 | 1,994,420.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | 1,000,000.00 | 1,001,580.00 | 0.580% |
| FHLMC Notes | Raymond James | 25-Oct-19 | 15-Oct-24 | 3,000,000.00 | 3,002,130.00 | 1.875% |
| FHLMC Notes | Wells Fargo Advisors | 13-Jan-20 | 13-Jan-25 | 4,000,000.00 | 4,001,120.00 | 2.000% |
| FFCB Notes | Raymond James | 17-Jul-20 | 15-Jan-25 | 3,000,000.00 | 2,989,260.00 | 0.600% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | 4,585,000.00 | 4,940,108.25 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | 3,350,000.00 | 3,656,223.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | 2,000,000.00 | 1,994,300.00 | 0.500% |
| FFCB Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | 3,000,000.00 | 2,989,050.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | 2,000,000.00 | 1,996,600.00 | 0.650% |
| | | SUBTOTA | L INVESTED FUNDS: | \$ 57,420,000.00 | \$ 58,567,531.85 | |

| | - | FROM | то | | INVESTMENT | INVEST | MENTS @ FMV | RATE | |
|---|--|-----------------------------------|------------------------|----|----------------------------|-------------|----------------------------|--------|--|
| SENIOR LIEN BOND FUND & SECONDARY BOND FUND (RESTRICTED)-658451 & 658450-165010 & 020 | | | | | | | | | |
| 658451 FHLB Disc Notes Cash (combined total) | Bank of New York Bank of New York | 25-Sep-20 | 23-Oct-20 30-Sep-20 | \$ | 11,613,593.51 33.74 | \$ 1 | 11,613,535.44 33.74 | 0.000% | |
| BOND FUND - 2011A (26 US Treasury Bond-SLGS Cash | DOJA) EFC BOND RESERVE ACCOUNT (RESTRICTED Bank of New York Bank of New York | 0)-647976, GL 165090 15-Mar-11 | 15-May-21 30-Sep-20 | | 128,973.00 0.00 | | 128,973.00 0.00 | 2.360% | |
| BOND FUND - 2011C (26 US Treasury Note -SLGS Cash | 201B) EFC BOND RESERVE ACCOUNT (RESTRICTED Bank of New York Bank of New York | 30-Dec-15 | 15-May-21 30-Sep-20 | | 645,708.00 | | 645,708.00 0.00 | 1.800% | |
| BOND FUND - 2012B (20 US Treasury Bond-SLGS Cash | 202A) EFC BOND RESERVE ACCOUNT (RESTRICTE Bank of New York Bank of New York | D)-296010, 165260 21-Jun-12 | 15-Oct-22 30-Sep-20 | | 452,319.00 0.00 | | 452,319.00 0.00 | 1.760% | |
| BOND FUND - 2011C (20 US Treasury Note -SLGS Cash | 202G) EFC RESERVE ACCOUNT (RESTRICTED)- 296 Bank of New York Bank of New York | 5 012, 165280 30-Dec-15 | 15-Oct-22 30-Sep-20 | | 448,985.00 0.00 | | 448,985.00 0.00 | 2.050% | |
| BOND FUND - 2013B (20 US Treasury Note - SLGS Cash | 203B) EFC RESERVE ACCOUNT (RESTRICTED) 29 Bank of New York Bank of New York | 6 019, 165310 04-Nov-13 | 15-Jan-23 30-Sep-20 | | 408,894.00 0.00 | | 408,894.00 0.00 | 2.410% | |
| BOND FUND - 2014B (20 US Treasury Bond - SLGS Cash | 204A) EFC RESERVE ACCOUNT(RESTRICTED), 296 Bank of New York Bank of New York | 1 24, 165330 02-Jul-14 | 15-Aug-24 30-Sep-20 | | 394,782.00 0.00 | | 394,782.00 0.00 | 2.550% | |
| BOND FUND - 2015D (20 US Treasury Note - SLGS Cash | DOSA) EFC RESERVE ACCOUNT(RESTRICTED), 295: Bank of New York Bank of New York | 998, 165340 13-Nov-15 | 01-Oct-25 30-Sep-20 | | 403,919.00 0.00 | | 403,919.00 0.00 | 2.300% | |
| BOND FUND - 2009 B RI FHLB Discount Notes Cash | SERVE FUND ACCOUNT (RESTRICTED) - 398774, : Bank of New York Bank of New York | <u>165490</u> 22-May-20 | 01-Dec-20 30-Sep-20 | | 9,191,069.92 937.03 | | 9,194,620.60 937.03 | 0.000% | |
| BOND FUND - 2012A RI US Treasury Notes - Bonds Cash Balance | ESERVE FUND ACCT. (RESTRICTED) - 556118, 1656 Bank of New York Bank of New York | 520 30-Nov-18 | 30-Nov-22 30-Sep-20 | | 7,047,306.56 1,799.44 | | 7,565,425.20 1,799.44 | 2.000% | |
| BOND FUND - 2013 REF US Treasury Notes - Bonds Cash Balance | UNDING RESERVE FUND. (RESTRICTED) - 666285. Bank of New York Bank of New York | 165632 03-Dec-18 | 30-Nov-22 30-Sep-20 | | 6,625,615.39 1,750.97 | | 7,117,034.35 1,750.97 | 2.000% | |
| BOND FUND - 2014A RE US Treasury Notes - Bonds Cash Balance | SERVE FUND. (RESTRICTED) - 552333, 165635 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Sep-20 | | 3,678,026.52 4,214.00 | | 3,984,466.69 4,214.00 | 2.875% | |
| BOND FUND - 2014B RE US Treasury Notes - Bonds Cash Balance | SERVE FUND. (RESTRICTED) - 552336, 165638 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Sep-20 | | 4,653,890.82 3,694.30 | | 5,041,636.55 3,694.30 | 2.875% | |
| BOND FUND - 2015 REF US Treasury Notes - Bonds Cash Balance | RESERVE FD. (RESTRICTED) - 342111, 165644 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Sep-20 | | 10,459,982.81 10,069.43 | 1 | 11,331,471.60 10,069.43 | 2.875% | |
| BOND FUND - 2015A RE US Treasury Notes - Bonds Cash Balance | SERVE FD (RESTRICTED) - 342109, 165641 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Sep-20 | | 3,856,367.23 3,947.51 | | 4,177,666.11 3,947.51 | 2.875% | |
| BOND FUND - 2016A RE US Treasury Notes - Bonds Cash Balance | SERVE FD (RESTRICTED) - 280134, 165646 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Sep-20 | | 7,033,436.72 7,803.89 | | 7,619,437.80 7,803.89 | 2.875% | |

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|---|--|---------------------------|------------------------|---------------------------|---------------------------|----------------|
| BOND FUND - 2016B RE US Treasury Notes - Bonds Cash Balance | SERVE FD (RESTRICTED) - 280076, 165649 Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Sep-20 | 3,338,377.66 3,454.82 | 3,616,519.48 3,454.82 | 2.875% |
| BOND FUND - 2016 REF US Treasury Notes - Bonds Cash Balance | UNDING RESERVE FD (RESTRICTED) - 280108, 1 Bank of New York Bank of New York | .65652 03-Dec-18 | 30-Nov-23 30-Sep-20 | 4,495,588.40 1,264.66 | 4,870,144.93 1,264.66 | 2.875% |
| BOND FUND - 2018A RE FNMA Notes Cash Balance | FUNDING RESERVE FD (RESTRICTED) - 349287, Bank of New York Bank of New York | 165655 03-Aug-18 | 05-Apr-22 30-Sep-20 | 9,267,087.29 59,258.79 | 9,828,136.08 59,258.79 | 1.875% |
| BOND FUND - 2020B RE US Treasury Notes - Bonds Cash | SERVE FUND ACCOUNT(RESTRICTED),291102, 16 Bank of New York Bank of New York | 15658 12-May-20 | 15-May-25 30-Sep-20 | 5,969,008.48 62,531.01 | 5,956,380.90 62,531.01 | 2.125% |
| BOND FUND - 2020A EF US Treasury Notes - Bonds Cash | C RESERVE FUND(RESTRICTED),162470, 165351 Bank of New York Bank of New York | 08-Sep-20 | 02-Oct-20 30-Sep-20 | 109,996.70 798.05 | 110,000.00 798.05 | 0.000% |
| BOND FUND - 2020 Refu FHLB Discount Notes Cash | unding Bond Fund (RESTRICTED),483800, 165660 Bank of New York Bank of New York | 24-Jun-20 | 19-Nov-20 30-Sep-20 | 766,590.08 1,101.75 | 766,930.97 1,101.75 | 0.000% |
| BOND FUND - 2020 Res US Treasury Notes - Bonds Cash | erve Fund (RESTRICTED),483810, 165661 Bank of New York Bank of New York | 23-Jun-20 | 15-Jun-23 30-Sep-20 | 4,997,574.84 2,407.57 | 5,002,270.74 2,407.57 | 0.250% |
| | SUBTOTAL INVESTED | FISCAL AGENT FUND | s: | 96,152,159.89 | 100,844,324.40 | |
| | T | OTAL INVESTED FUND | s: | \$ 153,572,159.89 | \$ 159,411,856.25 | |

\$ 486,807,049.13 \$ 492,646,745.49

| NYLETTERITE COILS IN | | | | _ | | | | |
|---|---|-----------------|----------------------------|---|----|-----------------------------|-----------------------------|--------------------------------|
| September-20 | | | | | I | NVESTMENT | | Money Market Interest Rates |
| WORKING FUNDS - MOI | NEY MARKET ACCOUNTS | <u>i</u> | | | | | | |
| GENERAL FUND - CUSTOM Money Market | ER REFUND Capital One | | 112014 | | \$ | 1,398,293.79 | \$ 1,398,293.79 | 0.25% |
| GENERAL FUND - W/COMP Money Market | SELF INS Citibank | | 112905 | | | 9,620,693.29 | 9,620,693.29 | 0.05% |
| OPERATING FUND Money Market | JP Morgan Chase | | 112001 | | | 518,273.58 | 518,273.58 | 0.01% |
| SPECIAL DEPOSIT Money Market | Capital One | | 112911 | | | 1,631,955.80 | 1,631,955.80 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | | 111905 | | | 4,046,670.49 | 4,046,670.49 | 0.01% |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBR | A-LBX | 112907 111016 | | | 90,312,242.92 100,301.39 | 90,312,242.92 100,301.39 | 0.01% 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase | | 112002 | | | 27,367,242.11 | 27,367,242.11 | 0.05% |
| OPERATING FUND Money Market | Capital One | | 112914 | | | 13,769,843.66 | 13,769,843.66 | 0.25% |
| CONSTRUCTION FUND (20 Money Market | 18A Bonds) - (RESTRICTE HSBC | ED) | 112926 | | | 160.55 | 160.55 | 0.08% |
| CONSTRUCTION FUND (20 Money Market | 208 Bonds) - (RESTRICTE HSBC | ED) | 112927 | | | 69,835,967.06 | 69,835,967.06 | 0.15% |
| OPERATING FUND Money Market | Bridgehampton National I | Bank | 112918 | | | 13,502,849.20 | 13,502,849.20 | 0.30% |
| OPERATING FUND - RET Money Market | Bridgehampton National I | Bank | 112932 | | | 7,922,953.10 | 7,922,953.10 | 0.30% |
| GENERAL FUND - (Infrastru Money Market | <u>icture)</u> Empire National Bank | | 112933 | | | 19,755,668.77 | 19,755,668.77 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | | 112936 | | | 73,451,773.53 | 73,451,773.53 | 0.03% |
| | | TOTAL WORKING F | UND MONEY MARKET ACCOUNTS: | : | \$ | 333,234,889.24 | \$ 333,234,889.24 | |
| | | | GRAND TOTAL | : | \$ | 486,807,049.13 | \$ 492,646,745.49 | |
| | | | TOTAL RESTRICTED FUNDS | : | | 96,152,320.44 | 100,844,484.95 | |
| | | | TOTAL UNRESTRICTED FUNDS | : | | 390,654,728.69 | 391,802,260.54 | |
| | | | | | | | | |

GRAND TOTAL

:

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS October-20

| | | FROM | то | | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|---------------------|----------------------|--------------------|-------------|-------|---------------|--------------------|----------------|
| GENERAL FUND | | 11377 | | | ANYESTINENT | THE CHARLES OF THE | 17711 |
| Treasury Notes | Wells Fargo Advisors | 17-Dec-19 | 31-Dec-20 | \$ | 4,000,000.00 | \$ 4,014,240.00 | 2.375% |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | | 2,000,000.00 | 2,011,620.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | 259,047.50 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | 259,012.50 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | | 250,000.00 | 265,750.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | | 250,000.00 | 265,845.00 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | | 4,000,000.00 | 4,184,080.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | | 2,000,000.00 | 1,997,520.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | | 3,000,000.00 | 2,993,220.00 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | | 2,000,000.00 | 1,977,880.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | | 2,000,000.00 | 1,997,980.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | | 2,000,000.00 | 1,998,000.00 | 0.270% |
| FFCB Notes | HSBC Securities USA | 31 - Jul-20 | 22-Jan-24 | | 2,000,000.00 | 2,000,020.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | | 500,000.00 | 530,310.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | | 2,000,000.00 | 2,014,260.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | | 4,735,000.00 | 4,873,782.85 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | | 250,000.00 | 272,237.50 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | | 2,000,000.00 | 1,996,480.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | | 2,000,000.00 | 1,987,740.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | | 1,000,000.00 | 1,000,810.00 | 0.580% |
| FFCB Notes | Raymond James | 17-Jul-20 | 15-Jan-25 | | 3,000,000.00 | 2,977,380.00 | 0.600% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | | 4,585,000.00 | 4,882,153.85 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | | 3,350,000.00 | 3,651,667.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | | 2,000,000.00 | 1,985,620.00 | 0.500% |
| FFCB Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | | 3,000,000.00 | 2,975,670.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-3ul-25 | | 2,000,000.00 | 1,987,580.00 | 0.650% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | | 2,000,000.00 | 1,994,340.00 | 0.560% |
| | | SUBTOTAL INV | ESTED FUNDS | : _\$ | 56,420,000.00 | \$ 57,354,246.70 | |

| | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | RATE |
|-----------------------------|---|----------------|---|--------------------|--------------------------|----------|
| | IND & SECONDARY BOND FUND (RESTRICT | ED)-658451 & | <u>658450-16501(</u> |) & 020 | | |
| 658451 | | | | | | |
| FHLB Disc Notes | Bank of New York | 25-Sep-20 | 23-Oct-20 | \$ 14,516,548.36 | | 0.000% |
| Cash (combined total) | Bank of New York | | 30-Oct-20 | 775.36 | 775.36 | |
| | | | | | | |
| | | | | | | |
| | 001A) EFC BOND RESERVE ACCOUNT (REST | | | 120 072 00 | 120 072 00 | 2.360% |
| US Treasury Bond-SLGS | Bank of New York | 15-Mar-11 | 15-May-21 | 128,973.00 | 128,973.00 0.00 | 2.300% |
| Cash | Bank of New York | | 30-Oct-20 | 0.00 | 0.00 | |
| POND EUND - 2011C (7 | 001B) EFC BOND RESERVE ACCOUNT (REST | DICTED\ 6492 | E2 GI 16E200 | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-May-21 | 645.708.00 | 645,708.00 | 1.800% |
| Cash | Bank of New York | 30-Dec 13 | 30-Oct-20 | 043,700.00 | 0.00 | 2.00070 |
| Cash | Datik of New York | | 30 Oct 20 | | 0.00 | |
| BOND FUND - 2012B (2 | 2002A) EFC BOND RESERVE ACCOUNT (RES | TRICTED)-2960 | 10. 165260 | | | |
| US Treasury Bond-SLGS | Bank of New York | 21-Jun-12 | 15-Oct-22 | 452,319.00 | 452,319.00 | 1.760% |
| Cash | Bank of New York | | 30-Oct-20 | 0.00 | 0.00 | |
| | | | | | | |
| BOND FUND - 2011C (2 | 002G) EFC RESERVE ACCOUNT (RESTRICTE | D)- 296012, 16 | <u>5280</u> | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-Oct-22 | 448,985.00 | 448,985.00 | 2.050% |
| Cash | Bank of New York | | 30-Oct-20 | 0.00 | 0.00 | |
| | | | | | | |
| | 003B) EFC RESERVE ACCOUNT (RESTRICTE | | | | | |
| US Treasury Note - SLGS | Bank of New York | 04-Nov-13 | 15-Jan-23 | 408,894.00 | 408,894.00 | 2.410% |
| Cash | Bank of New York | | 30-Oct-20 | 0.00 | 0.00 | |
| | | | | | | |
| | 2004A) EFC RESERVE ACCOUNT(RESTRICTE | | | 204 707 00 | 204 702 00 | 3 5500/ |
| US Treasury Bond - SLGS | | 02-Jul-14 | 15-Aug-24 | 394,782.00 | 394,782.00 | 2.550% |
| Cash | Bank of New York | | 30-Oct-20 | 0.00 | 0.00 | |
| POND FUND - 201ED / | 2005A) EFC RESERVE ACCOUNT(RESTRICTE | N 205000 165 | :240 | | | |
| US Treasury Note - SLGS | Bank of New York | 13-Nov-15 | 01-Oct-25 | 402.010.00 | 402.010.00 | 2.300% |
| Cash | Bank of New York | 13-1404-13 | 30-Oct-20 | 403,919.00 0.00 | 403,919.00 0.00 | 2.300% |
| Cush | bank of New York | | 30-001-20 | 0.00 | 0.00 | |
| BOND FUND - 2009 B R | ESERVE FUND ACCOUNT (RESTRICTED) - 39 | 8774. 165490 | | | | |
| FHLB Discount Notes | Bank of New York | 22-May-20 | 01-Dec-20 | 9,191,069.92 | 9,195,356.28 | 0.000% |
| Cash | Bank of New York | | 30-Oct-20 | 937.03 | 937.03 | 0.000 |
| | | | | 33.102 | 20.100 | |
| BOND FUND - 2012A R | ESERVE FUND ACCT. (RESTRICTED) - 55611 | 8. 165620 | | | | |
| US Treasury Notes - Bonds | | 30-Nov-18 | 30-Nov-22 | 7,047,306.56 | 7,549,281.36 | 2.000% |
| Cash Balance | Bank of New York | 30 1107 10 | 30-Oct-20 | 1,799.44 | 1,799.44 | 2.000 /0 |
| | Sam of New York | | 30 Oct 20 | 1,755.44 | 1,795.44 | |
| BOND FUND - 2013 REI | FUNDING RESERVE FUND. (RESTRICTED) - (| 66285. 165632 | 1 | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-22 | 6,625,615.39 | 7,101,847.33 | 2.000% |
| Cash Balance | Bank of New York | | 30-Oct-20 | 1,750.97 | 1,750.97 | |
| | | | | -,, | ., , | |
| | ESERVE FUND. (RESTRICTED) - 552333, 165 | | | | | |
| US Treasury Notes - Bonds | s Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,678,026.52 | 3,971,434.64 | 2.875% |
| Cash Balance | Bank of New York | | 30-Oct-20 | 4,214.00 | 4,214.00 | |
| | | | | | | |
| | ESERVE FUND. (RESTRICTED) - 552336, 165 | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | 4,653,890.82 | 5,025,146.80 | 2.875% |
| Cash Balance | Bank of New York | | 30-Oct-20 | 3,694.30 | 3,694.30 | |
| POND FUND - 201F DE | RESERVE FD. (RESTRICTED) - 342111, 165 | | | | | |
| US Treasury Notes - Bonds | | | 20 Nov. 22 | 40 450 000 04 | 44 204 400 60 | 2.0350/ |
| Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 30-Oct-20 | 10,459,982.81 | 11,294,409.60 | 2.875% |
| | DUIN OF ITEM TOTAL | | 30 - 00t-20 | 10,069.43 | 10,069.43 | |
| BOND FUND - 2015A P | ESERVE FD (RESTRICTED) - 342109, 16564 | 1 | | | | |
| US Treasury Notes - Bonds | | | 30-Nov-23 | 3,856,367.23 | 4,164,002.16 | 2.875% |
| Cash Balance | Bank of New York | 03 DCC-10 | 30-Nov-23 30-Oct-20 | 3,947.51 | 4,164,002.16 3,947.51 | 2.0/370 |
| | James Herrican | | 30-001-20 | וכ. ודכ, נ | ונ. ורכונ | |
| BOND FUND - 2016A RI | SERVE FD (RESTRICTED) - 280134, 165646 | ; | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | 7,033,436.72 | 7,594,516.80 | 2.875% |
| Cash Balance | Bank of New York | | 30-Oct-20 | 7,803.89 | 7,803.89 | |
| | | | · · · · · · · · · · · · · · · · · · · | ., | ., | |

| 9 410 HOL. 20 | | | | IN | IVESTMENT | | Money Market Interest Rates |
|---|--|---------------------------|---|------|-----------------------------|-----------------------------|--------------------------------|
| WORKING FUNDS - MO | NEY MARKET ACCOUNTS | | | | | | |
| GENERAL FUND - CUSTOM Money Market | ER REFUND Capital One | 112014 | | \$ | 1,398,293.79 | \$ 1,398,293.79 | 0.25% |
| GENERAL FUND - W/COMP Money Market | SELF INS Citibank | 112905 | | | 9,620,693.29 | 9,620,693.29 | 0.05% |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | | 518,273.58 | 518,273.58 | 0.03% |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | | 1,631,955.80 | 1,631,955.80 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | | 4,046,670.49 | 4,046,670.49 | 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBRA-LBX | 112907 111016 | | | 90,312,242.92 100,301.39 | 90,312,242.92 100,301.39 | 0.03% 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | | 27,367,242.11 | 27,367,242.11 | 0.05% |
| OPERATING FUND Money Market | Capital One | 112914 | | | 13,769,843.66 | 13,769,843.66 | 0.25% |
| CONSTRUCTION FUND (20 Money Market | 18A Bonds) - (RESTRICTED) HSBC | 112926 | | | 160.55 | 160.55 | 0.15% |
| CONSTRUCTION FUND (20 Money Market | 20B Bonds) - (RESTRICTED) HSBC | 112927 | | | 69,835,967.06 | 69,835,967.06 | 0.15% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | | 13,502,849.20 | 13,502,849.20 | 0.30% |
| OPERATING FUND - RET Money Market | Bridgehampton National Bank | 112932 | | | 7,922,953.10 | 7,922,953.10 | 0.30% |
| GENERAL FUND - (Infrastru Money Market | <u>ucture)</u> Empire National Bank | 112933 | | | 19,755,668.77 | 19,755,668.77 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | | 73,451,773.53 | 73,451,773.53 | 0.03% |
| | TOTAL WORKING FU | ND MONEY MARKET ACCOUNTS: | : | \$ | 333,234,889.24 | \$ 333,234,889.24 | |
| | | GRAND TOTAL | : | \$. | 488,816,353.31 | \$ 494,224,533.05 | |
| | | TOTAL RESTRICTED FUNDS | : | | 99,161,624.62 | 103,635,557.66 | |
| | | TOTAL UNRESTRICTED FUNDS | : | ; | 389,654,728.69 | 390,588,975.39 | |
| | | GRAND TOTAL | : | \$. | 488,816,353.31 | \$ 494,224,533.05 | |

| | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|---|-----------------------|-----------|-------------------|-------------------|----------------|
| BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280076, 165649 | | | | 2 42 4 42 2 2 2 | 2.0750/ |
| US Treasury Notes - Bonds Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,604,690.88 | 2.875% |
| Cash Balance Bank of New York | | 30-Oct-20 | 3,454.82 | 3,454.82 | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280 | 108, 165652 | | | | |
| US Treasury Notes - Bonds Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,854,216.08 | 2.875% |
| Cash Balance Bank of New York | | 30-Oct-20 | 1,264.66 | 1,264.66 | |
| BOND FUND - 2018A REFUNDING RESERVE FD (RESTRICTED) - 34 | 19287 <u>, 165655</u> | | | | |
| FNMA Notes Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,809,271.36 | 1.875% |
| Cash Balance Bank of New York | | 30-Oct-20 | 149,033.79 | 149,033.79 | |
| BOND FUND - 2020B RESERVE FUND ACCOUNT(RESTRICTED), 2911 | 02, 165658 | | | | |
| US Treasury Notes - Bonds Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,925,061.55 | 2.125% |
| Cash Bank of New York | · | 30-Oct-20 | 62,531.01 | 62,531.01 | |
| BOND FUND - 2020A EFC RESERVE FUND(RESTRICTED),162470, 16 | 55351 | | | | |
| US Treasury Notes - Bonds Bank of New York | 08-Sep-20 | 02-Oct-20 | 0.00 | 0.00 | 0.000% |
| Cash Bank of New York | • | 30-Oct-20 | 126,627.46 | 126,627.46 | |
| BOND FUND - 2020 Refunding Bond Fund (RESTRICTED),483800, 1 | .65660 | | | | |
| FHLB Discount Notes Bank of New York | 24-Jun-20 | 19-Nov-20 | 766,590.08 | 766,969.32 | 0.000% |
| Cash Bank of New York | | 30-Oct-20 | 1,101.75 | 1,101.75 | |
| BOND FUND - 2020 Reserve Fund (RESTRICTED),483810, 165661 | | | | | |
| US Treasury Notes - Bonds Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 4,997,780.64 | 0.250% |
| Cash Bank of New York | | 30-Oct-20 | 2,407.57 | 2,407.57 | |
| SUBTOTAL INVESTED FISCAL | . AGENT FUNDS | : | 99,161,464.07 | 103,635,397.11 | |
| TOTAL IN | VESTED FUNDS | : | \$ 155,581,464.07 | \$ 160,989,643.81 | |

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS November-20

| GENERAL FUND | | FROM | TO | 1 | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|----------------|----------------------|-----------|-----------|----|--------------|-------------------|----------------|
| Treasury Notes | Wells Fargo Advisors | 17-Dec-19 | 31-Dec-20 | \$ | 4,000,000.00 | \$ 4,007,280.00 | 2.375% |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | | 2,000,000.00 | 2,009,300.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | 258,462.50 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | 258,427.50 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | | 250,000.00 | 265,060.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | | 250,000.00 | 265,167.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | | 4,000,000.00 | 4,167,920.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | | 2,000,000.00 | 1,998,320.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | | 3,000,000.00 | 3,000,390.00 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | | 2,000,000.00 | 1,997,640.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | | 2,000,000.00 | 2,000,400.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | | 2,000,000.00 | 1,998,900.00 | 0.270% |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | | 2,000,000.00 | 2,000,280.00 | 0.300% |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | | 2,000,000.00 | 2,000,020.00 | 0.300% |
| FFCB Notes | HSBC Securities USA | 31-Jul-20 | 22-Jan-24 | | 2,000,000.00 | 1,998,760.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | | 500,000.00 | 529,725.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | | 2,000,000.00 | 2,010,860.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | | 4,735,000.00 | 4,901,672.00 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | | 250,000.00 | 271,922.50 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | | 2,000,000.00 | 2,000,080.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | | 2,000,000.00 | 1,994,720.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | | 1,000,000.00 | 1,001,040.00 | 0.580% |
| FFCB Notes | Raymond James | 17-Jul-20 | 15-Jan-25 | | 3,000,000.00 | 2,991,480.00 | 0.600% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | | 4,585,000.00 | 4,901,135.75 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | | 3,350,000.00 | 3,626,777.00 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | | 2,000,000.00 | 1,987,940.00 | 0.500% |
| FFCB Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | | 3,000,000.00 | 2,979,510.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | | 2,000,000.00 | 1,997,080.00 | 0.650% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | | 2,000,000.00 | 1,998,060.00 | 0.560% |
| | | | | | | | |

SUBTOTAL INVESTED FUNDS: \$ 60,420,000.00 \$ 61,418,329.75

| | | FROM | то | | INVESTMENT | INVE | ESTMENTS @ FMV | COUPON RATE |
|--|--|------------------------------|------------------------|-----|---|------|-------------------------------|----------------|
| | | | | | | | | |
| **** | ID & SECONDARY BOND FUND (RESTRICTED) | <u>-658451 & 658</u> | <u>8450-165010 8</u> | 020 | | | | |
| 658451 FHLB Disc Notes Cash (combined total) | Bank of New York Bank of New York | 24-Nov-20 | 01-Dec-20 30-Nov-20 | \$ | 14,516,971.77 2,904,093.57 | \$ | 14,517,000.00 2,904,093.57 | 0.000% |
| Cash (cambined total) | Sum Si Heri Tota | | 00 1.0. 20 | | _,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | |
| POND FIIND 20114 /20 | 01 A) EEC DOND DECEDVE ACCOUNT (DECTRI | TED)-647076 | CI 165000 | | | | | |
| US Treasury Bond-SLGS | 01A) EFC BOND RESERVE ACCOUNT (RESTRICE Bank of New York | 15-Mar-11 | 15-May-21 | | 128.973.00 | | 128,973.00 | 2.360% |
| Cash | Bank of New York | | 30-Nov-20 | | 0.00 | | 0.00 | |
| DOND FIND 2011C/20 | OAR) TEC ROND RECERVE ACCOUNT (RECTRI | TED) 6403E3 | CL 165200 | | | | | |
| US Treasury Note -SLGS | 01B) EFC BOND RESERVE ACCOUNT (RESTRICE Bank of New York | 30-Dec-15 | 15-May-21 | | 645,708.00 | | 645,708.00 | 1.800% |
| Cash | Bank of New York | ••• | 30-Nov-20 | | , | | 0.00 | |
| POND EUND - 20129 /20 | 034) EEC BOND DECEDVE ACCOUNT (DECTO | CTED_306010 | 165360 | | | | | |
| US Treasury Bond-SLGS | 02A) EFC BOND RESERVE ACCOUNT (RESTRI Bank of New York | 21-Jun-12 | 15-Oct-22 | | 452,319.00 | | 452,319.00 | 1.760% |
| Cash | Bank of New York | | 30-Nov-20 | | 0.00 | | 0.00 | |
| | | | | | | | | |
| US Treasury Note -SLGS | 02G) EFC RESERVE ACCOUNT (RESTRICTED)- Bank of New York | 30-Dec-15 | <u>80</u> 15-Oct-22 | | 448.985.00 | | 448,985.00 | 2.050% |
| Cash | Bank of New York | 30-Dec-13 | 30-Nov-20 | | 0.00 | | 0.00 | 2.030 70 |
| | | | | | | | | |
| | 03B) EFC RESERVE ACCOUNT (RESTRICTED)- | | | | 400 004 00 | | 400 004 00 | 2.4400/ |
| US Treasury Note - SLGS Cash | Bank of New York Bank of New York | 04-Nov-13 | 15-Jan-23 30-Nov-20 | | 408,894.00 0.00 | | 408,894.00 0.00 | 2.410% |
| CC 3 | bally of New York | | 30 1107 20 | | V.00 | | 0.00 | |
| | 04A) EFC RESERVE ACCOUNT(RESTRICTED), | | | | | | | |
| US Treasury Bond - SLGS Cash | Bank of New York Bank of New York | 02-Jul-14 | 15-Aug-24 30-Nov-20 | | 394,782.00 | | 394,782.00 0.00 | 2.550% |
| Casii | Ballk Of New TOIK | | 20-1404-50 | | 0.00 | | 0.00 | |
| | 05A) EFC RESERVE ACCOUNT(RESTRICTED), | 295 <mark>998, 1653</mark> 4 | <u>10</u> | | | | | |
| US Treasury Note - SLGS | Bank of New York | 13-Nov-15 | 01-Oct-25 | | 403,919.00 | | 403,919.00 | 2.300% |
| Cash | Bank of New York | | 30-Nov-20 | | 0.00 | | 0.00 | |
| BOND FUND - 2009 B RE | SERVE FUND ACCOUNT (RESTRICTED) - 3987 | 74, 165490 | | | | | | |
| FHLB Discount Notes | Bank of New York | 22-May-20 | 01-Dec-20 | | 9,191,069.92 | | 9,196,000.00 | 0.000% |
| Cash | Bank of New York | | 30-Nov-20 | | 937.03 | | 937.03 | |
| BOND FUND - 2012A RE | SERVE FUND ACCT. (RESTRICTED) - 556118, | 165620 | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 30-Nov-18 | 30-Nov-22 | | 7,047,306.56 | | 7,539,318.72 | 2.000% |
| Cash Balance | Bank of New York | | 30-Nov-20 | | 74,519.44 | | 74,519.44 | |
| POND CHND 2012 DECK | INDING DECERVE FIRM (DECERTORED) CCC | 205 465622 | | | | | | |
| US Treasury Notes - Bonds | INDING RESERVE FUND. (RESTRICTED) - 666 Bank of New York | 03-Dec-18 | 30-Nov-22 | | 6,625,615.39 | | 7,092,475.16 | 2.000% |
| Cash Balance | Bank of New York | 05 500 10 | 30-Nov-20 | | 70,160.97 | | 70,160.97 | 2.000 /0 |
| DOND FUND 30444 DEC | | _ | | | | | | |
| US Treasury Notes - Bonds | ERVE FUND. (RESTRICTED) - 552333, 16563: Bank of New York | <u>5</u> 03-Dec-18 | 30-Nov-23 | | 3,678,026.52 | | 3,965,964.85 | 2.875% |
| Cash Balance | Bank of New York | 03-Dec-10 | 30-Nov-20 | | 56,984.63 | | 56,984.63 | 2.0/5% |
| | | | | | , | | , | |
| US Treasury Notes - Bonds | ERVE FUND. (RESTRICTED) - 552336, 16563 Bank of New York | | 20 Nov. 22 | | 4 (52 000 02 | | 5 040 335 35 | 2.0750/ |
| Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 30-Nov-20 | | 4,653,890.82 70,466.18 | | 5,018,225.75 70,466.18 | 2.875% |
| | | | 20 1.07 20 | | , 0, 100.10 | | 70,100.10 | |
| | RESERVE FD. (RESTRICTED) - 342111, 16564 | | 20.44. 22 | | | | | |
| US Treasury Notes - Bonds Cash Balance | Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Nov-20 | | 10,459,982.81 160,144.43 | | 11,278,854.00 160,144.43 | 2.875% |
| | | | 30 1104-20 | | 100,177.43 | | 100,177.3 | |
| BOND FUND - 2015A RES | SERVE FD (RESTRICTED) - 342109, 165641 | 02 D4 - 40 | 20.11 | | | | | |
| US Treasury Notes - Bonds Cash Balance | Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Nov-20 | | 3,856,367.23 | | 4,158,267.15 | 2.875% |
| | | | 20-1404-50 | | 59,276.89 | | 59,276.89 | |
| BOND FUND - 2016A RES | ERVE FD (RESTRICTED) - 280134, 165646 | | | | | | | |
| US Treasury Notes - Bonds Cash Balance | Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 | | 7,033,436.72 | | 7,584,057.00 | 2.875% |
| COST DUMING | COUNCIL MEM LOIK | | 30-Nov-20 | | 108,716.39 | | 108,716.39 | |

| | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE | | | |
|--|--|-------------------|-------------------|----------------|-------------------|----------------|--|--|--|
| BOND FUND - 2016B RES | ERVE FD (RESTRICTED) - 280076, 165649 | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,599,726.20 | 2.875% | | | |
| Cash Balance | Bank of New York | | 30-Nov-20 | 51,352.32 | 51,352.32 | | | | |
| BOND FUND - 2016 REFU | NDING RESERVE FD (RESTRICTED) - 28010 | B. 165652 | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,847,530.45 | 2.875% | | | |
| Cash Balance | Bank of New York | | 30-Nov-20 | 65,765.29 | 65,765.29 | | | | |
| BOND FUND - 2018A REFUNDING RESERVE FD (RESTRICTED) - 349287, 165655 | | | | | | | | | |
| FNMA Notes | Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087,29 | 9,798,833,52 | 1.875% | | | |
| Cash Balance | Bank of New York | | 30-Nov-20 | 149,033.79 | 149,033.79 | | | | |
| | | | | • | • | | | | |
| | ERVE FUND ACCOUNT(RESTRICTED),291102, | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,923,141.80 | 2.125% | | | |
| Cash | Bank of New York | | 30-Nov-20 | 120,809.14 | 120,809.14 | | | | |
| BOND FUND - 2020A EFC | RESERVE FUND(RESTRICTED),162470, 1653 | <u>51</u> | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 08-Sep-20 | 04-Dec-20 | 141,996.69 | 142,000.00 | 0.000% | | | |
| Cash | Bank of New York | | 30-Nov-20 | 458.13 | 458.13 | | | | |
| BOND FUND - 2020 Refu | nding Bond Fund (RESTRICTED),483800, 165 | 660 | | | | | | | |
| FHLB Discount Notes | Bank of New York | 24-Jun-20 | 19-Nov-20 | 0.00 | 0.00 | 0.000% | | | |
| Cash | Bank of New York | | 30-Nov-20 | 768,101.75 | 768,101.75 | | | | |
| ROND FILND - 2020 Page | ve Fund (RESTRICTED),483810, 165661 | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 23-Jun-20 | 15-3un-23 | 4,997,574,84 | 4,999,327.23 | 0.250% | | | |
| Cash | Bank of New York | 25 34.1 20 | 30-Nov-20 | 2,407.57 | 2,407.57 | 0.220.0 | | | |
| SUBTOTAL INVESTED FISCAL AGENT FUNDS: | | | | 102,819,108.62 | 107,207,529.35 | | | | |
| | | | | | | | | | |
| | TOTAL IN | \$ 163,239,108.62 | \$ 168,625,859.10 | | | | | | |

\$ 509,924,047.25 \$ 515,310,797.73

Money Market

| | | | | INVESTMENT | _ | | Interest Rates |
|--|--|----------------------------|---|----------------------------|------|------------------------------|----------------|
| WORKING FUNDS - MON | EY MARKET ACCOUNTS | | | | | | |
| GENERAL FUND - CUSTOME Money Market | <u>R REFUND</u> Capital One | 112014 | | \$ 1,480,839.0 | 5 \$ | 1,480,839.05 | 0.25% |
| GENERAL FUND - W/COMP Money Market | <u>SELF INS</u> Citibank | 112905 | | 9,620,693.2 | 9 | 9,620,693.29 | 0.05% |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | 891,746.8 | 2 | 891,746.82 | 0.03% |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | 74,694.5 | 4 | 74,694.54 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 8,544,213.6 | 3 | 8,544,213.63 | 0.03% |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBRA-LBX | 112907 111016 | | 103,106,407.6 109,642.1 | | 103,106,407.66 109,642.14 | 0.03% 0.03% |
| GENERAL FUND Money Market | ³JP Morgan Chase | 112002 | | 27,366,125.5 | 5 | 27,366,125.55 | 0.05% |
| OPERATING FUND Money Market | Capital One | 112914 | | 16,669,843.6 | 6 | 16,669,843.66 | 0.25% |
| CONSTRUCTION FUND (202 Money Market | 0B Bonds) - (RESTRICTED) HSBC | 112927 | | 56,177,912.8 | 6 | 56,177,912.86 | 0.15% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | 13,502,960.4 | 3 | 13,502,960.43 | 0.30% |
| OPERATING FUND - RET Money Market | Bridgehampton National Bank | 112932 | | 9,103,315.4 | 6 | 9,103,315.46 | 0.30% |
| GENERAL FUND - (Infrastruc Money Market | <u>ture)</u> Flushing Bank | 112933 | | 19,753,213.5 | 4 | 19,753,213.54 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | 80,283,330.0 | 0 | 80,283,330.00 | |
| | TOTAL WORKING F | UND MONEY MARKET ACCOUNTS: | : | \$ 346,684,938.6 | 3 \$ | 346,684,938.63 | |
| | | GRAND TOTAL | : | \$ 509,924,047.2 | 5 \$ | 515,310,797.73 | |
| | | TOTAL RESTRICTED FUNDS | : | 102,819,108.6 | 2 | 107,207,529.35 | |
| | | TOTAL UNRESTRICTED FUNDS | : | 407,104,938.6 | 3 | 408,103,268.38 | |

GRAND TOTAL

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS December-20

| GENERAL FUND | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|--------------|----------------------|-----------|-----------|-----------------|-------------------|----------------|
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | \$ 2,000,000.00 | \$ 2,006,240.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 257,955.00 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 257,937.50 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | 250,000.00 | 264,782.50 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | 250,000.00 | 264,892.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | 4,000,000.00 | 4,180,880.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | 2,000,000.00 | 1,999,320.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | 2,194,991.05 | 2,195,013.00 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | 2,000,000.00 | 1,998,920.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | 2,000,000.00 | 2,000,000.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | 2,000,000.00 | 2,000,140.00 | 0.270% |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | 2,000,000.00 | 2,000,880.00 | 0.300% |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | 2,000,000.00 | 2,002,940.00 | 0.300% |
| FFCB Notes | HSBC Securities USA | 31-Jul-20 | 22-Jan-24 | 2,000,000.00 | 1,999,660.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | 500,000.00 | 531,685.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | 2,000,000.00 | 2,006,800.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | 4,735,000.00 | 4,909,105.95 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | 250,000.00 | 271,600.00 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | 2,000,000.00 | 2,000,040.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | 2,000,000.00 | 1,995,820.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | 1,000,000.00 | 1,000,910.00 | 0.580% |
| FFCB Notes | Raymond James | 17-Jul-20 | 15-Jan-25 | 3,000,000.00 | 2,999,880.00 | 0.600% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | 4,585,000.00 | 4,925,619.65 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | 3,350,000.00 | 3,670,494.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | 2,000,000.00 | 1,989,420.00 | 0.500% |
| FNMA Notes | Raymond James | 21-3ul-20 | 21-Jul-25 | 3,000,000.00 | 2,981,820.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | 2,000,000.00 | 2,000,080.00 | 0.650% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | 2,000,000.00 | 2,000,820.00 | 0.560% |

SUBTOTAL INVESTED FUNDS: \$ 55,614,991.05 \$ 56,713,655.60

| | | FROM | TO | I | NVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|---|---|--------------------|--------------------------|---------------|--------------------------|---------------------------|----------------|
| SENIOR LIEN BOND FUI 658451 | ND & SECONDARY BOND FUND (RE | STRICTED)-6584 | <u> 51 & 658450-</u> | 165010 | 0 & 020 | | |
| FHLB Disc Notes Cash (combined total) | Bank of New York Bank of New York | 24-Dec-20 | 01-Jan-21 31-Dec-20 | \$ | 4,780,910.36 100.13 | \$ 4,780,856.57 100.13 | 0.000% |
| | 001A) EFC BOND RESERVE ACCOUN | | | 550 <u>90</u> | | | |
| US Treasury Bond-SLGS | Bank of New York | 15-Mar-11 | 15-May-21 | | 128,973.00 | 128,973.00 | 2.360% |
| Cash | Bank of New York | _ (| 31-Dec-20 | | 0.00 | 0.00 | |
| | 1018) EFC BOND RESERVE ACCOUN | | | <u>55200</u> | C45 700 00 | 645 700 00 | 4 0000/ |
| US Treasury Note -SLGS Cash | Bank of New York Bank of New York | 30-Dec-15 | 15-May-21 31-Dec-20 | | 645,708.00 | 645,708.00 0.00 | 1.800% |
| BOND FUND - 2012B (20 | 102A) EFC BOND RESERVE ACCOUN | T (RESTRICTED) | -296010, 1652 | 60 | | | |
| US Treasury Bond-SLGS | Bank of New York | 21-Jun-12 | 15-Oct-22 | .00 | 452,319.00 | 452,319.00 | 1.760% |
| Cash | Bank of New York | | 31-Dec-20 | | 0.00 | 0.00 | 2 00.10 |
| BOND FUND - 2011C (20 | 102G) EFC RESERVE ACCOUNT (RES | STRICTED)- 29601 | L2. 165280 | | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-Oct-22 | | 448,985.00 | 448,985.00 | 2.050% |
| Cash | Bank of New York | | 31-Dec-20 | | 0.00 | 0.00 | |
| BOND FUND - 2013B (20 | 03B) EFC RESERVE ACCOUNT (RES | TRICTED) 2960 | 19. 165310 | | | | |
| US Treasury Note - SLGS | Bank of New York | 04-Nov-13 | 15-Jan-23 | | 408,894.00 | 408,894.00 | 2.410% |
| Cash | Bank of New York | | 31-Dec-20 | | 0.00 | 0.00 | |
| ROND EUND - 20148 (20 | 04A) EFC RESERVE ACCOUNT(RES | TDICTEN\ 20612 | A 165330 | | | | |
| US Treasury Bond - SLGS | Bank of New York | 02-Jul-14 | 15-Aug-24 | | 394,782.00 | 394,782.00 | 2.550% |
| Cash | Bank of New York | 02 30. 11 | 31-Dec-20 | | 0.00 | 0.00 | 2.000.0 |
| | | | | | | | |
| | 105A) EFC RESERVE ACCOUNT(RES | | | | 402.040.00 | 402.010.00 | 3 3000/ |
| US Treasury Note - SLGS Cash | Bank of New York Bank of New York | 13-Nov-15 | 01-Oct-25 31-Dec-20 | | 403,919.00 0.00 | 403,919.00 0.00 | 2.300% |
| Casii | Balk of New York | | 31-Dec-20 | | 0.00 | 0.00 | |
| BOND FUND - 2009 B RE | SERVE FUND ACCOUNT (RESTRICT | | <u>5490</u> | | | | |
| FHLB Discount Notes | Bank of New York | 01-Dec-20 | 01-Dec-21 | | 9,196,000.00 | 9,186,712.04 | 0.000% |
| Cash | Bank of New York | | 31-Dec-20 | | 5,598.89 | 5,598.89 | |
| BOND FUND - 2012A RE | SERVE FUND ACCT. (RESTRICTED) | - 556118, 16562 | O | | | | |
| US Treasury Notes - Bonds | | 30-Nov-18 | 30-Nov-22 | | 7,047,306.56 | 7,532,483.04 | 2.000% |
| Cash Balance | Bank of New York | | 31-Dec-20 | | 1,799.44 | 1,799.44 | |
| POND EUND - 2012 DEEL | JNDING RESERVE FUND. (RESTRIC | TED) - 666285 1 | 65632 | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-22 | | 6,625,615.39 | 7.086.044.62 | 2.000% |
| Cash Balance | Bank of New York | 00 000 10 | 31-Dec-20 | | 1,750.10 | 1,750.10 | |
| | | | | | • | | |
| | SERVE FUND, (RESTRICTED) - 5523 Bank of New York | | 20 Nov 22 | | 2 670 026 62 | 2 050 707 57 | 2.875% |
| US Treasury Notes - Bonds Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 31-Dec-20 | | 3,678,026.52 4,213.27 | 3,959,797.57 4,213.27 | 2.07570 |
| | | | | | · | • | |
| | SERVE FUND. (RESTRICTED) - 5523 | | | | | 5040 400 45 | 2.0750/ |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | | 4,653,890.82 | 5,010,422.15 3,694.04 | 2.875% |
| Cash Balance | Bank of New York | | 31-Dec-20 | | 3,694.04 | 5,054.04 | |
| | RESERVE FD. (RESTRICTED) - 342 | <u>111, 165644</u> | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | | 10,459,982.81 | 11,261,314.80 | 2.875% |
| Cash Balance | Bank of New York | | 31-Dec-20 | | 10,069.19 | 10,069.19 | |
| | SERVE FD (RESTRICTED) - 342109 | | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | | 3,856,367.23 | 4,151,800.83 | 2.875% |
| Cash Balance | Bank of New York | | 31-Dec-20 | | 3,946.77 | 3,946.77 | |
| BOND FUND - 2016A RE | SERVE FD (RESTRICTED) - 280134, | 165646 | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | | 7,033,436.72 | 7,572,263.40 | 2.875% |
| Cash Balance | Bank of New York | | 31-Dec-20 | | 7,803.55 | 7,803.55 | |
| | | | | | | | |

| | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|---------------------------|-----------------------------|---------------------|-----------|-------------------|-------------------|----------------|
| BOND FUND - 2016B RES | SERVE FD (RESTRICTED) - 280 | 076. 165649 | | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,594,128.44 | 2.875% |
| Cash Balance | Bank of New York | | 31-Dec-20 | 3,454.46 | 3,454.46 | 2.0.0 |
| BOND FUND - 2016 REFU | JNDING RESERVE FD (RESTRIC | CTED) - 280108, 165 | 652 | | · | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,839,992.29 | 2.875% |
| Cash Balance | Bank of New York | | 31-Dec-20 | 1,264.44 | 1,264.44 | 2.0.070 |
| ROND FILIND - 2018A REI | FUNDING RESERVE FD (RESTR | TCTED) - 340287 16 | 5655 | • | · | |
| FNMA Notes | Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,787,629.60 | 1.875% |
| Cash Balance | Bank of New York | 00 / lug 10 | 31-Dec-20 | 59,258.29 | 59,258.29 | 1.07570 |
| | | | | , | , | |
| | SERVE FUND ACCOUNT(RESTRE | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,919,960.50 | 2.125% |
| Cash | Bank of New York | | 31-Dec-20 | 61,570.38 | 61,570.38 | |
| BOND FUND - 2020A EFO | RESERVE FUND(RESTRICTED) | ,162470, 165351 | | | | |
| FHLB Disc Notes | Bank of New York | 07-Dec-20 | 06-Jan-21 | 157,998.03 | 158,000.00 | 0.000% |
| Cash | Bank of New York | | 31-Dec-20 | 286.20 | 286.20 | |
| BOND FUND - 2020 Refu | nding Bond Fund (RESTRICTED |),483800, 165660 | | | | |
| FHLB Discount Notes | Bank of New York | 02-Dec-20 | 27-May-21 | 313,969.30 | 313,874.40 | 0.000% |
| Cash | Bank of New York | | 31-Dec-20 | 1,289.28 | 1,289.28 | |
| BOND FUND - 2020 Rese | rve Fund (RESTRICTED),48381 | 0. 165661 | | | | |
| US Treasury Notes - Bonds | Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 5.001,871.62 | 0.250% |
| Cash | Bank of New York | | 31-Dec-20 | 8,643.82 | 8,643.82 | |
| | SUBTOTAL INVESTED F | ISCAL AGENT FUNDS | : | 88,929,462.66 | 93,215,474.12 | |
| | тот | AL INVESTED FUNDS | : | \$ 144,544,453.71 | \$ 149,929,129.72 | |

Money Market

| | | | | INVESTMENT | | Interest Rates |
|---|---|-----------------------|---|------------------------------|------------------------------|----------------|
| WORKING FUNDS - MON | NEY MARKET ACCOUNTS | | | | | |
| | | | | | | |
| GENERAL FUND - CUSTOMI Money Market | <u>R REFUND</u> Capital One | 112014 | | \$ 1,434,162.17 | \$ 1,434,162.17 | 0.25% |
| GENERAL FUND - W/COMP Money Market | SELF INS Citibank | 112905 | | 9,620,380.49 | 9,620,380.49 | 0.01% |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | 707,477.99 | 707,477.99 | |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | 194,375.89 | 194,375.89 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 6,299,999.38 | 6,299,999.38 | |
| GENERAL FUND Money Market | JP Morgan Chase JP Morgan Chase - KUBRA-LBX | 112907 111016 | | 117,682,823.78 129,140.16 | 117,682,823.78 129,140.16 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | 27,366,125.55 | 27,366,125.55 | |
| OPERATING FUND Money Market | Capital One | 112914 | | 17,269,843.66 | 17,269,843.66 | 0.25% |
| CONSTRUCTION FUND (202 | 20B Bonds) - (RESTRICTED) | | | | | |
| Money Market | HSBC | 112927 | | 52,015,891.93 | 52,015,891.93 | 0.15% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | 13,502,960.36 | 13,502,960.36 | 0.30% |
| OPERATING FUND - RET Money Market | Bridgehampton National Bank | 112932 | | 2,903,535.27 | 2,903,535.27 | 0.30% |
| GENERAL FUND - (Infrastru Money Market | <u>cture)</u> Flushing Bank | 112933 | | 19,758,314.84 | 19,758,314.84 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | 81,699,996.00 | 81,699,996.00 | |
| | TOTAL WORKING FUND MO | ENEY MARKET ACCOUNTS: | : | \$ 350,585,027.47 | \$ 350,585,027.47 | |
| | | GRAND TOTAL | : | \$ 495,129,481.18 | \$ 500,514,157.19 | |
| | т | OTAL RESTRICTED FUNDS | : | 88,929,462.66 | 93,215,474.12 | |
| | тот | AL UNRESTRICTED FUNDS | : | 406,200,018.52 | 407,298,683.07 | |
| | | GRAND TOTAL | : | \$ 495,129,481.18 | \$ 500,514,157.19 | |

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS January-21

| GENERAL FUND | | FROM | то | | INVESTMENT | INVE | STMENTS @ FMV | COUPON RATE |
|--------------|----------------------|--------------|-------------|--------|---------------|----------|---------------|----------------|
| | | | | | | | | |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | \$ | • • | \$ | 2,003,820.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | | 257,412.50 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | | 257,415.00 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | | 250,000.00 | | 264,212.50 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | | 250,000.00 | | 264,330.00 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | | 4,000,000.00 | | 4,199,680.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | | 2,000,000.00 | | 1,999,480.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | | 2,194,991.05 | | 2,194,991.04 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | | 2,000,000.00 | | 1,999,440.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | | 2,000,000.00 | | 2,000,100.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | | 2,000,000.00 | | 2,000,100.00 | 0.270% |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | | 2,000,000.00 | | 2,000,880.00 | 0.300% |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | | 2,000,000.00 | | 2,004,640.00 | 0.300% |
| FFCB Notes | HSBC Securities USA | 31-Jul-20 | 22-Jan-24 | | 2,000,000.00 | | 1,998,900.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | | 500,000.00 | | 534,520.00 | 2.750% |
| FHLB Notes | Wells Fargo Advisors | 26-Feb-19 | 26-Feb-24 | | 2,000,000.00 | | 2,003,420.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | | 4,735,000.00 | | 4,926,057.25 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | | 250,000.00 | | 271,250.00 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | | 2,000,000.00 | | 2,000,000.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | | 2,000,000.00 | | 1,995,140.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | | 1,000,000.00 | | 1,000,720.00 | 0.580% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | | 4,585,000.00 | | 4,954,642.70 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | | 3,350,000.00 | | 3,673,911.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | | 2,000,000.00 | | 1,983,360.00 | 0.500% |
| FNMA Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | | 3,000,000.00 | | 2,972,760.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | | 2,000,000.00 | | 1,995,740.00 | 0.650% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | | 2,000,000.00 | | 1,999,660.00 | 0.560% |
| | | | | _ | 50 514 001 55 | | F2 7F6 F02 40 | |
| | | SUBTOTAL INV | ESTED FUNDS | : _\$_ | 52,614,991.05 | <u> </u> | 53,756,582.49 | |

| | | FROM | TO | INVESTN | MENT | INVESTMENTS @ FMV | COUPON RATE |
|--|---|---------------------|------------------------|------------|---------|-------------------|----------------|
| SENIOR LIEN BOND F | UND & SECONDARY BOND FUND (R | ESTRICTED)-65845 | 1 & 658450-16 | 5010 & 020 | | | |
| 658451 | • | • | | | | | |
| FHLB Disc Notes | Bank of New York | 25-Jan-21 | 25-Feb-21 | \$ 7,826 | ,932.60 | \$ 7,826,765.19 | 0.000% |
| Cash (combined total) | Bank of New York | | 31-Jan-21 | | 790.85 | 790.85 | |
| BOND FUND - 2011A (| 2001A) EFC BOND RESERVE ACCOU | NT (RESTRICTED)-64 | 47976. GL 1650 | 90 | | | |
| US Treasury Bond-SLGS | Bank of New York | 15-Mar-11 | 15-May-21 | | ,973.00 | 128,973.00 | 2.360% |
| Cash | Bank of New York | | 31-Jan-21 | | 0.00 | 0.00 | |
| BOND FUND - 2011C (| 2001B) EFC BOND RESERVE ACCOU | NT (RESTRICTED), 6 | 48252, GL 165 | 200 | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-May-21 | 645 | ,708.00 | 645,708.00 | 1.800% |
| Cash | Bank of New York | | 31-Jan-21 | | | 0.00 | |
| BOND FILLS 2012D (| 20024) FFC BOND DECEDIE 4 <i>00</i> 01 | NY (DECEDICATED) 3 | 06010 16536 | | | | |
| US Treasury Bond-SLGS | 2002A) EFC BOND RESERVE ACCOU Bank of New York | 21-Jun-12 | 15-Oct-22 | • | ,319.00 | 452,319.00 | 1.760% |
| Cash | Bank of New York | 21-Juli-12 | 31-Jan-21 | 432 | 0.00 | 0.00 | 1.70076 |
| Casii | Delik Of 14ew Tork | | 31-3611-21 | | 0.00 | 0.00 | |
| BOND FUND - 2011C () | 2002G) EFC RESERVE ACCOUNT (RE | STRICTED)- 296012 | <u>, 165280</u> | | | | |
| US Treasury Note -SLGS | Bank of New York | 30-Dec-15 | 15-Oct-22 | 448 | ,985.00 | 448,985.00 | 2.050% |
| Cash | Bank of New York | | 31-Jan-21 | | 0.00 | 0.00 | |
| POND FUND - 2012B (| 2002D) EEC DECEDVE ACCOUNT (DE | CTRICTER\ 30601 | 0 165310 | | | | |
| US Treasury Note - SLGS | 2003B) EFC RESERVE ACCOUNT (RE Bank of New York | 04-Nov-13 | 15-Jan-23 | 400 | ,894.00 | 408,894.00 | 2.410% |
| Cash | Bank of New York | 04-1404-13 | 31-Jan-21 | 400 | 0.00 | 0.00 | 2.41070 |
| Casii | Dalik Of 146M TOLK | | 31-3411-21 | | 0.00 | 0.00 | |
| BOND FUND - 2014B () | 2004A) EFC RESERVE ACCOUNT(RE | STRICTED), 296124 | <u>, 165330</u> | | | | |
| US Treasury Bond - SLGS | Bank of New York | 02-Jul-14 | 15-Aug-24 | 394 | ,782.00 | 394,782.00 | 2.550% |
| Cash | Bank of New York | | 31-Jan-21 | | 0.00 | 0.00 | |
| DOND FUND 304ED / | 30054) FFC BECERVE ACCOUNT/DE | | 165040 | | | | |
| US Treasury Note - SLGS | 2005A) EFC RESERVE ACCOUNT(RESERVE) Bank of New York | 13-Nov-15 | 01-Oct-25 | 403 | 010.00 | 403,919.00 | 2.300% |
| Cash | Bank of New York | 12-1/04-12 | 31-Jan-21 | 403 | 0.00 | 0.00 | 2.300% |
| | Dank of New York | | 31 Juli 21 | | 0.00 | 0.00 | |
| | RESERVE FUND ACCOUNT (RESTRIC | TED) - 398774, 1654 | <u>190</u> | | | | |
| FHLB Discount Notes | Bank of New York | 01-Dec-20 | 01-Dec-21 | 9,196 | ,000.00 | 9,189,011.04 | 0.000% |
| Cash | Bank of New York | | 31-Jan-21 | 5 | ,598.89 | 5,598.89 | |
| BOND FIRE 20124 | PECEDUE FILLIP A COT / DECEDUE | | | | | | |
| | RESERVE FUND ACCT. (RESTRICTED | | 20.11. 22 | | | T TO 400 CO | |
| US Treasury Notes - Bond Cash Balance | | 30-Nov-18 | 30-Nov-22 | • | ,306.56 | 7,521,429.60 | 2.000% |
| Casi Dalance | Bank of New York | | 31-Jan-21 | 1 | ,799.44 | 1,799.44 | |
| BOND FUND - 2013 RE | FUNDING RESERVE FUND. (RESTRI | CTED) - 666285. 165 | 5632 | | | | |
| US Treasury Notes - Bond | s Bank of New York | 03-Dec-18 | 30-Nov-22 | 6.625 | .615.39 | 7,075,646,30 | 2.000% |
| Cash Balance | Bank of New York | | 31-Jan-21 | 1 | ,750.10 | 1,750.10 | |
| | | | | | | | |
| BOND FUND - 2014A R | ESERVE FUND, (RESTRICTED) - 552 | 2333, 165635 | | | | | |
| US Treasury Notes - Bond Cash Balance | Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 | | ,026.52 | 3,950,216.26 | 2.875% |
| Cash Dalance | Datik of New York | | 31-Jan-21 | 4 | ,213.27 | 4,213.27 | |
| BOND FUND - 2014B R | ESERVE FUND. (RESTRICTED) - 552 | 336, 165638 | | | | | |
| US Treasury Notes - Bond | s Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,653 | ,890.82 | 4,998,298.70 | 2.875% |
| Cash Balance | Bank of New York | | 31-Jan-21 | | ,694.04 | 3,694.04 | |
| BOND FUND 201F DE | F DECERVE ED (DECEDICATED) - 0.44 | | | | | | |
| US Treasury Notes - Bond | F RESERVE FD. (RESTRICTED) - 342 | | 20 Nov. 22 | 40.450 | | 44 224 255 40 | 2.0750/ |
| Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 31-Jan-21 | • | ,982.81 | 11,234,066.40 | 2.875% |
| COST COMMING | COUNTY HEM TOLK | | 31-19U-51 | 10 | ,069.19 | 10,069.19 | |
| BOND FUND - 2015A F | RESERVE FD (RESTRICTED) - 34210 | <u>9, 165641</u> | | | | | |
| US Treasury Notes - Bond | s Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,856 | ,367.23 | 4,141,754.94 | 2.875% |
| Cash Balance | Bank of New York | | 31-Jan-21 | | ,946.77 | 3,946.77 | |
| DOND EURO 204C+ 5 | ECERVE EN (RECTRICATE) | 10000 | | | | | |
| US Treasury Notes - Bond | ESERVE FD (RESTRICTED) - 280134 | | 20 Nov. 22 | 7.000 | 426 22 | 7 220 044 04 | 2 62501 |
| Cash Balance | Bank of New York | 03-Dec-18 | 30-Nov-23 31-Jan-21 | | ,436.72 | 7,553,941.20 | 2.875% |
| Journ Galdine | SSIR OF HEW TORK | | 21-1011-51 | ′ | ,803.55 | 7,803.55 | |

| | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE | | | | |
|--|----------------------------|-------------------------|-----------|-------------------|-------------------|----------------|--|--|--|--|
| BOND FUND - 2016B R | ESERVE FD (RESTRICTED) - 2 | 280076. 165649 | | | | | | | | |
| US Treasury Notes - Bond | | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,585,431.92 | 2.875% | | | | |
| Cash Balance | Bank of New York | | 31-Jan-21 | 3,454.46 | 3,454.46 | | | | | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652 | | | | | | | | | | |
| US Treasury Notes - Bond | s Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,828,281.22 | 2.875% | | | | |
| Cash Balance | Bank of New York | | 31-Jan-21 | 1,264.44 | 1,264.44 | | | | | |
| BOND FUND - 2018A REFUNDING RESERVE FD (RESTRICTED) - 349287, 165655 | | | | | | | | | | |
| FNMA Notes | Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,776,617.20 | 1.875% | | | | |
| Cash Balance | Bank of New York | | 31-Jan-21 | 59,258.29 | 59,258.29 | | | | | |
| BOND FUND - 2020B RESERVE FUND ACCOUNT(RESTRICTED),291102, 165658 | | | | | | | | | | |
| US Treasury Notes - Bond | s Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,899,995.10 | 2.125% | | | | |
| Cash | Bank of New York | | 31-Jan-21 | 61,570.38 | 61,570.38 | | | | | |
| | FC RESERVE FUND(RESTRICT | ED),162470, 165351 | | | | | | | | |
| FHLB Disc Notes | Bank of New York | 06-Jan-21 | 03-Feb-21 | 173,996.21 | 174,000.00 | 0.000% | | | | |
| Cash | Bank of New York | | 31-Jan-21 | 116.09 | 116.09 | | | | | |
| BOND FUND - 2020 Re | funding Bond Fund (RESTRIC | TED),483800, 165660 | | | | | | | | |
| FHLB Discount Notes | Bank of New York | 02-Dec-20 | 27-May-21 | 313,969.30 | 313,930.92 | 0.000% | | | | |
| Cash | Bank of New York | | 31-Jan-21 | 1,289.28 | 1,289.28 | | | | | |
| BOND FUND - 2020 Re | serve Fund (RESTRICTED),48 | 3810, 16566 <u>1</u> | | | | | | | | |
| US Treasury Notes - Bond | s Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 5,001,472.50 | 0.250% | | | | |
| Cash | Bank of New York | | 31-Jan-21 | 8,643.82 | 8,643.82 | | | | | |
| | SUBTOTAL INVES | STED FISCAL AGENT FUNDS | : | 91,992,003.69 | 96,129,701.35 | | | | | |
| | | TOTAL INVESTED FUNDS | : | \$ 144,606,994.74 | \$ 149,886,283.84 | | | | | |

\$ 503,128,077.00 \$

508,407,366.10

| <u>January-21</u> | | | | INVESTMENT | | Money Market Interest Rates |
|--|-----------------------------------|--------------------------|---|-------------------|-------------------|--------------------------------|
| WORKING FUNDS - MO | NEY MARKET ACCOUNTS | | | | | |
| GENERAL FUND - CUSTOM Money Market | ER REFUND Capital One | 112014 | | \$ 1,441,118.70 | \$ 1,441,118.70 | 0.25% |
| GENERAL FUND - W/COMP Money Market | SELF INS Citibank | 112905 | | 9,620,380.71 | 9,620,380.71 | |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | 711,288.27 | 711,288.27 | |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | 180,518.22 | 180,518.22 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 4,961,306.04 | 4,961,306.04 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112907 | | 124,975,042.58 | 124,975,042.58 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | 27,366,125.55 | 27,366,125.55 | |
| OPERATING FUND Money Market | Capital One | 112914 | | 17,269,843.66 | 17,269,843.66 | 0.25% |
| GENERAL FUND Money Market | HSBC | 112923 | | 5,000.18 | 5,000.18 | 0.04% |
| CONSTRUCTION FUND (20 Money Market | 20B Bonds) - (RESTRICTED) HSBC | 112927 | | 52,022,091.08 | 52,022,091.08 | 0.15% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | 13,502,738.60 | 13,502,738.60 | 0.30% |
| OPERATING FUND - RET Money Market | Bridgehampton National Bank | 112932 | | 3,593,091.26 | 3,593,091.26 | 0.30% |
| GENERAL FUND - (Infrastr Money Market | ucture) Flushing Bank | 112933 | | 19,755,875.41 | 19,755,875.41 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | 83,116,662.00 | 83,116,662.00 | |
| | TOTAL WORKING FUND |) MONEY MARKET ACCOUNTS: | : | \$ 358,521,082.26 | \$ 358,521,082.26 | |
| | | GRAND TOTAL | : | \$ 503,128,077.00 | \$ 508,407,366.10 | |
| | | TOTAL RESTRICTED FUNDS | : | 91,992,003.69 | 96,129,701.35 | |
| | ٦ | TOTAL UNRESTRICTED FUNDS | : | 411,136,073.31 | 412,277,664.75 | |
| | | | | | | |

GRAND TOTAL

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS February-21

| GENERAL FUND | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|----------------------|----------------------|--------------------|-------------|------------------|-------------------|----------------|
| <u>GEREIAL I OND</u> | | | | | | |
| FHLB Notes | HSBC Securities USA | 8-Mar-19 | 12-Mar-21 | \$ 2,000,000.00 | \$ 2,001,060.00 | 1.750% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 256,910.00 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 256,910.00 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | 250,000.00 | 263,510.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | 250,000.00 | 263,637.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | 4,000,000.00 | 4,194,480.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | 2,000,000.00 | 2,000,020.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | 2,194,991.05 | 2,194,573.98 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | 2,000,000.00 | 2,000,000.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | 2,000,000.00 | 2,001,120.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | 2,000,000.00 | 1,998,960.00 | 0.270% |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | 2,000,000.00 | 2,000,080.00 | 0.300% |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | 2,000,000.00 | 2,003,360.00 | 0.300% |
| FFCB Notes | HSBC Securities USA | 31-Jul-20 | 22-Jan-24 | 2,000,000.00 | 2,000,020.00 | 0.470% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | 500,000.00 | 533,305.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | 4,735,000.00 | 4,906,643.75 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | 250,000.00 | 270,885.00 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | 2,000,000.00 | 1,992,620.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | 2,000,000.00 | 1,987,940.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | 1,000,000.00 | 1,000,990.00 | 0.580% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | 4,585,000.00 | 4,924,977.75 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | 3,350,000.00 | 3,631,098.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun - 20 | 27-Jun-25 | 2,000,000.00 | 1,987,220.00 | 0.500% |
| FNMA Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | 3,000,000.00 | 2,968,350.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | 2,000,000.00 | 1,996,400.00 | 0.650% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | 2,000,000.00 | 1,985,140.00 | 0.560% |
| FHLB Notes | Raymond James | 25-Feb-21 | 25-Feb-26 | 2,000,000.00 | 1,978,940.00 | 0.700% |
| | | | | | | |
| | | SUBTOTAL INV | ESTED FUNDS | \$ 52,614,991.05 | \$ 53,599,151.48 | |

| | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | RATE |
|---|--------------------------------------|-----------------|------------------------|--------------------------|--------------------------|---------|
| SENTOR LIEN BOND FUND | & SECONDARY BOND FUND_(RESTRI | CTED)-658451 | & 658450-1650 | 10 & 020 | | |
| 658451 | COLORIDA IN COLOR | | | | | |
| FHLB Disc Notes B | Bank of New York | 25-Jan-21 | 25-Feb-21 | \$ - | \$ - | 0.000% |
| | Bank of New York | | 28-Feb-21 | 10,874,414.17 | 10,874,414.17 | |
| , | | | | | | |
| ROND FUND - 20114 /2001 | 1A) EFC BOND RESERVE ACCOUNT (RE | STRICTED)-647 | 976. GI 165091 | n | | |
| • | Bank of New York | 15-Mar-11 | 15-May-21 | 128,973.00 | 128,973.00 | 2.360% |
| | Bank of New York | | 28-Feb-21 | 0.00 | 0.00 | |
| | | | | | | |
| | 1B) EFC BOND RESERVE ACCOUNT (RE | | | | 645 700 00 | 1 0000/ |
| | Bank of New York Bank of New York | 30-Dec-15 | 15-May-21 28-Feb-21 | 645,708.00 | 645,708.00 0.00 | 1.800% |
| Casit | odik of New York | | 20-гео-21 | | 0.00 | |
| BOND FUND - 2012B (200) | 2A) EFC BOND RESERVE ACCOUNT (RE | ESTRICTED)-29 | <u>6010, 165260</u> | | | |
| US Treasury Bond-SLGS B | Bank of New York | 21-Jun-12 | 15-Oct-22 | 452,319.00 | 452,319.00 | 1.760% |
| Cash B | Bank of New York | | 28-Feb-21 | 0.00 | 0.00 | |
| BOND FUND - 2011C (2001 | 2G) EFC RESERVE ACCOUNT (RESTRIC | TFD)- 296012. | 165280 | | | |
| | Bank of New York | 30-Dec-15 | 15-Oct-22 | 448,985.00 | 448,985.00 | 2.050% |
| | Bank of New York | 00 000 10 | 28-Feb-21 | 0.00 | 0.00 | 2.000.0 |
| | | | | | | |
| | 3B) EFC RESERVE ACCOUNT (RESTRIC | | | | | |
| • | Bank of New York | 04-Nov-13 | 15-Jan-23 | 408,894.00 | 408,894.00 | 2.410% |
| Cash B | Bank of New York | | 28-Feb-21 | 0.00 | 0.00 | |
| BOND FUND - 2014B (2004 | 4A) EFC RESERVE ACCOUNT(RESTRIC | TED), 296124, 1 | 65330 | | | |
| | Bank of New York | 02-Jul-14 | 15-Aug-24 | 394,782.00 | 394,782.00 | 2.550% |
| Cash B | Sank of New York | | 28-Feb-21 | 0.00 | 0.00 | |
| _ | | | | | | |
| | 5A) EFC RESERVE ACCOUNT(RESTRICT | | | | | |
| | Bank of New York | 13-Nov-15 | 01-Oct-25 | 403,919.00 | 403,919.00 | 2.300% |
| Casii | Bank of New York | | 28-Feb-21 | 0.00 | 0.00 | |
| BOND FUND - 2009 B RESE | RVE FUND ACCOUNT (RESTRICTED) - | 398774, 16549 | 0 | | | |
| FHLB Discount Notes B | Sank of New York | 01-Dec-20 | 01-Dec-21 | 9,196,000.00 | 9,190,390.44 | 0.000% |
| Cash B | Bank of New York | | 28-Feb-21 | 5,598.89 | 5,598.89 | |
| BOND FIND AGAS. DEGE | | | | | | |
| | RVE FUND ACCT. (RESTRICTED) - 556 | | 20.41 20 | | | |
| US Treasury Notes - Bonds B Cash Balance B | | 30-Nov-18 | 30-Nov-22 | 7,047,306.56 | 7,506,085.68 | 2.000% |
| Casii Baiance | Bank of New York | | 28-Feb-21 | 1,799.44 | 1,799.44 | |
| BOND FUND - 2013 REFUN | DING RESERVE FUND. (RESTRICTED) | - 666285, 1656 | 32 | | | |
| US Treasury Notes - Bonds B | | 03-Dec-18 | 30-Nov-22 | 6,625,615.39 | 7,061,211.79 | 2.000% |
| Cash Balance B | lank of New York | | 28-Feb-21 | 1,750.10 | 1,750.10 | |
| DOME SHAD DOLLAR DECE | | | | | | |
| US Treasury Notes - Bonds B | RVE FUND. (RESTRICTED) - 552333, 1 | | 20 May 22 | 2 672 626 62 | 2 024 074 20 | 2.0750/ |
| • | lank of New York | 03-Dec-18 | 30-Nov-23 28-Feb-21 | 3,678,026.52 4,213.27 | 3,931,971.39 4,213.27 | 2.875% |
| | SIN OF NEW YORK | | 20-1 60-21 | 7,213.27 | 7,213.27 | |
| | RVE FUND. (RESTRICTED) - 552336, 1 | 65638 | | | | |
| US Treasury Notes - Bonds B | | 03-Dec-18 | 30-Nov-23 | 4,653,890.82 | 4,975,213.05 | 2.875% |
| Cash Balance B | lank of New York | | 28-Feb-21 | 3,694.04 | 3,694.04 | |
| BOND FUND - 2015 REF RE | SERVE FD. (RESTRICTED) - 342111, 1 | 65644 | | | | |
| US Treasury Notes - Bonds B | | 03-Dec-18 | 30-Nov-23 | 10,459,982.81 | 11,182,179.60 | 2.875% |
| | ank of New York | 00 000 10 | 28-Feb-21 | 10,069.19 | 10,069.19 | 2.07370 |
| | | | | , | - | |
| BOND FUND - 2015A RESE | RVE FD (RESTRICTED) - 342109, 1650 | | 20.11 | | | |
| US Treasury Notes - Bonds 8 Cash Balance 8 | ank of New York lank of New York | 03-Dec-18 | 30-Nov-23 | 3,856,367.23 | 4,122,625.41 | 2.875% |
| District D | GIR OI NEW TUR | | 28 - Feb-21 | 3,946.77 | 3,946.77 | |
| BOND FUND - 2016A RESE | RVE FD (RESTRICTED) - 280134, 1656 | 46 | | | | |
| US Treasury Notes - Bonds B | ank of New York | 03-Dec-18 | 30-Nov-23 | 7,033,436.72 | 7,519,051.80 | 2.875% |
| Cash Balance B | ank of New York | | 28-Feb-21 | 7,803.55 | 7,803.55 | |
| | | | | | | |

| | | | | | | COUPON | | | | |
|---|--|----------------|------------|--------------------------|-------------------|----------|--|--|--|--|
| | | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | RATE | | | | |
| ROND ELIND - 2016B DE | SERVE FD (RESTRICTED) - 280076, 16 | E640 | | | | | | | | |
| US Treasury Notes - Bonds | | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,568,871.88 | 2.875% | | | | |
| Cash Balance | Bank of New York | 03 DCC 10 | 28-Feb-21 | 3,454.46 | 3,454.46 | 2.07370 | | | | |
| | Dank of Horizon | | 2010021 | 5, 15 1. 10 | 3, 13 1. 10 | | | | | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652 | | | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,805,980.83 | 2.875% | | | | |
| Cash Balance | Bank of New York | | 28-Feb-21 | 1,264.44 | 1,264.44 | | | | | |
| BOND EUND - 2010A DE | FUNDING RESERVE FD (RESTRICTED) | 240207 1656 | e | | | | | | | |
| FNMA Notes | Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,758,231.28 | 1.875% | | | | |
| Cash Balance | Bank of New York | 03-Aug-10 | 28-Feb-21 | 59.258.29 | 59.258.29 | 1.07570 | | | | |
| Cash balance | Dalik Of New York | | 20-1 60-21 | 33,230.23 | 33,230.23 | | | | | |
| BOND FUND - 2020B RESERVE FUND ACCOUNT(RESTRICTED),291102, 165658 | | | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,831,432.60 | 2.125% | | | | |
| Cash | Bank of New York | | 28-Feb-21 | 61,570.38 | 61,570.38 | | | | | |
| BOND FUND - 2020A EF | C RESERVE FUND(RESTRICTED),16247 | 70. 165351 | | • | | | | | | |
| FHLB Disc Notes | Bank of New York | 08-Feb-21 | 05-Mar-21 | 188,998.69 | 189,000.00 | 0.000% | | | | |
| Cash | Bank of New York | | 28-Feb-21 | 943.50 | 943.50 | | | | | |
| DOND FUND 2020 D-6 | unding pand rund (promptores) 4000 | 000 165660 | | | | | | | | |
| FHLB Discount Notes | unding Bond Fund (RESTRICTED),4838 Bank of New York | 02-Dec-20 | 27-May-21 | 313,969.30 | 313,968.60 | 0.000% | | | | |
| Cash | Bank of New York | 02-060-20 | 28-Feb-21 | 1.289.28 | 1,289.28 | 0.000 /0 | | | | |
| Casii | Dalik Of New York | | 20-1 60-21 | 1,203.20 | 1,203.20 | | | | | |
| BOND FUND - 2020 Res | erve Fund (RESTRICTED),483810, 165 | <u>661</u> | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 4,994,088.78 | 0.250% | | | | |
| Cash | Bank of New York | | 28-Feb-21 | 8,643.82 | 8,643.82 | | | | | |
| | SUBTOTAL INVESTED FISO | AL AGENT FUNDS | : | 95,054,524.30 | 98,883,596.72 | | | | | |
| | | | | | A 452 402 740 22 | | | | | |
| | TOTAL | INVESTED FUNDS | : | <u>\$ 147,669,515.35</u> | \$ 152,482,748.20 | | | | | |

\$ 511,417,392.12 \$

516,230,624.97

| February-21 | | | | | NVESTMENT | | Money Market Interest Rates |
|---|-----------------------------------|---------------------------|---|------|----------------|----------------------|--------------------------------|
| WORKING FUNDS - MOI | NEY MARKET ACCOUNTS | | | | | | |
| GENERAL FUND - CUSTOM Money Market | ER REFUND Capital One | 112014 | | \$ | 1,460,354.20 | \$ 1,460,354.20 | 0.25% |
| GENERAL FUND - W/COMP Money Market | SELF INS Citibank | 112905 | | | 9,620,372.80 | 9,620,372.80 | 0.01% |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | | 322,134.21 | 322,134.21 | |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | | 1,396,146.38 | 1,396,146.38 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | | 2,029,611.86 | 2,029,611.86 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112907 | | : | 125,327,527.81 | 125,327,527.81 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | | 27,366,125.55 | 27,366,125.55 | |
| OPERATING FUND Money Market | Capital One | 112914 | | | 22,269,843.66 | 22,269,843.66 | 0.25% |
| GENERAL FUND Money Market | HSBC | 112923 | | | 5,000.12 | 5,000.12 | 0.01% |
| CONSTRUCTION FUND (20 Money Market | 20B Bonds) - (RESTRICTED) HSBC | 112927 | | | 52,026,295.61 | 52,026,295.61 | 0.10% |
| OPERATING FUND Money Market | Dime Community Bank | 112918 | | | 13,502,627.66 | 13,502,627.66 | 0.30% |
| OPERATING FUND - RET Money Market | Dime Community Bank | 112932 | | | 4,133,202.24 | 4,133,202.24 | 0.30% |
| GENERAL FUND - (Infrastru Money Market | ucture) Flushing Bank | 112933 | | | 19,755,306.67 | 19,755,306.67 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | | 84,533,328.00 | 84,533,328.00 | |
| | TOTAL WORKING FU | ND MONEY MARKET ACCOUNTS: | : | \$: | 363,747,876.77 | \$ 363,747,876.77 | |
| | | GRAND TOTAL | : | \$! | 511,417,392.12 | \$ 516,230,624.97 | |
| | | TOTAL RESTRICTED FUNDS | : | | 95,054,524.30 | 98,883,596.72 | |
| | | TOTAL UNRESTRICTED FUNDS | : | 4 | 416,362,867.82 | 417,347,028.25 | |

GRAND TOTAL :

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS March-21

| GENERAL FUN | <u>D</u> | FROM | TO | ! | INVESTMENT | <u>INVES</u> | TMENTS @ FMV | <u>COUPON</u> <u>RATE</u> | |
|---|----------------------|-----------|-----------|----|--------------|--------------|--------------|------------------------------|--|
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | \$ | 250,000.00 | \$ | 256,227.50 | 2.800% | |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | | 256,192.50 | 2.800% | |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | | 250,000.00 | | 262,902.50 | 2.850% | |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | | 250,000.00 | | 263,082.50 | 2.850% | |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | | 4,000,000.00 | | 4,180,680.00 | 2.530% | |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | | 2,000,000.00 | | 2,000,040.00 | 0.350% | |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | | 2,194,991.05 | | 2,195,056.89 | 0.440% | |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | | 2,000,000.00 | | 2,000,000.00 | 0.330% | |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | | 2,000,000.00 | | 2,000,640.00 | 0.340% | |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | | 2,000,000.00 | | 1,998,260.00 | 0.270% | |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | | 2,000,000.00 | | 1,999,320.00 | 0.300% | |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | | 2,000,000.00 | | 2,002,620.00 | 0.300% | |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | | 500,000.00 | | 530,715.00 | 2.750% | |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | | 4,735,000.00 | | 4,894,285.40 | 2.020% | |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | | 250,000.00 | | 269,617.50 | 2.950% | |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | | 2,000,000.00 | | 1,991,840.00 | 0.300% | |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | | 2,000,000.00 | | 1,987,240.00 | 0.410% | |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | | 1,000,000.00 | | 1,000,650.00 | 0.580% | |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | | 4,585,000.00 | | 4,898,522.30 | 2.610% | |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | | 3,350,000.00 | | 3,609,792.50 | 3.120% | |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | | 2,000,000.00 | | 1,976,160.00 | 0.500% | |
| FNMA Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | | 3,000,000.00 | | 2,951,430.00 | 0.500% | |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | | 2,000,000.00 | | 1,986,060.00 | 0.650% | |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | | 2,000,000.00 | | 1,968,340.00 | 0.560% | |
| FHLB Notes | Raymond James | 25-Feb-21 | 25-Feb-26 | | 2,000,000.00 | | 1,965,940.00 | 0.700% | |
| FHLB Notes | Raymond James | 30-Mar-21 | 30-Mar-26 | | 2,000,000.00 | | 1,986,660.00 | 0.090% | |
| SUBTOTAL INVESTED FUNDS: \$ 50,614,991.05 \$ 51,432,274.59 | | | | | | | | | |

| | 5004 | | TAIL/FOTTAFAIT | AND FORMAL AND A CAMP | COUPON RATE |
|--|-------------------------------------|------------------------------------|--------------------------|--------------------------|----------------|
| | FROM | <u>T0</u> | INVESTMENT | <u>INVESTMENTS @ FMV</u> | RATE |
| SENIOR LIEN BOND FUND & SECONDARY BOND FUN | ND (RESTRICTED |)-658451 & 65 | 8450-165010 & 020 | 1 | |
| 658451 | | | | | |
| FHLB Disc Notes Bank of New York | 25-Mar-21 | 23-Apr-21 | \$ 13,920,945.86 | | 0.000% |
| Cash (combined to Bank of New York | | 31-Mar-21 | 265.31 | 265.31 | |
| | | | | | |
| BOND FUND - 2011A (2001A) EFC BOND RESERVE AG | CCOUNT (RESTRI | | <u>, GL 165090</u> | | |
| US Treasury Bond Bank of New York | 15-Mar-11 | 15-May-21 | 128,973.00 | • | 2.360% |
| Cash Bank of New York | | 31-Mar-21 | 0.00 | 0.00 | |
| BOND FUND - 2011C (2001B) EFC BOND RESERVE AG | CCOUNT (RESTRI | CTED), 648252 | , GL 165200 | | |
| US Treasury Note Bank of New York | 30-Dec-15 | 15-May-21 | 645,708.00 | • | 1.800% |
| Cash Bank of New York | | 31-Mar-21 | | 0.00 | |
| BOND FUND - 2012B (2002A) EFC BOND RESERVE A | CCOUNT (RESTR | ICTED)-296010 |), 165260 | | |
| US Treasury Bond Bank of New York | 21-Jun-12 | 15-Oct-22 | 452,319.00 | 452,319.00 | 1.760% |
| Cash Bank of New York | | 31-Mar-21 | 0.00 | 0.00 | |
| BOND FUND - 2011C (2002G) EFC RESERVE ACCOUN | IT (RESTRICTED) | - 296012, 1652 | 280 | | |
| US Treasury Note Bank of New York | 30-Dec-15 | 15-Oct-22 | 448,985.00 | 448,985.00 | 2.050% |
| Cash Bank of New York | | 31-Mar-21 | 0.00 | 0.00 | |
| BOND FUND - 2013B (2003B) EFC RESERVE ACCOUN | IT (DECTRICTER) | 206010 16E | 310 | | |
| US Treasury Note Bank of New York | 04-Nov-13 | 15-Jan-23 | 408,894.00 | 408,894.00 | 2.410% |
| Cash Bank of New York | 0 | 31-Mar-21 | 0.00 | 0.00 | 2 |
| | | | | | |
| BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNTY US Treasury Bond Bank of New York | NT(RESTRICTED) 02-Jul-14 | <u>, 296124, 1653</u> 15-Aug-24 | 394,782.00 | 394,782.00 | 2.550% |
| Cash Bank of New York | 02-Jul-14 | 31-Mar-21 | 0.00 | 0.00 | 2.33070 |
| | | | | | |
| BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT | | | | 402.040.00 | 2 2000/ |
| US Treasury Note Bank of New York Cash Bank of New York | 13-Nov-15 | 01-Oct-25 31-Mar-21 | 403,919.00 0.00 | 403,919.00 0.00 | 2.300% |
| | | 51 21 | 0.00 | 0.00 | |
| BOND FUND - 2009 B RESERVE FUND ACCOUNT (RES | | | | | |
| FHLB Discount Nr Bank of New York Cash Bank of New York | 01-Dec-20 | 01-Dec-21 | 9,196,000.00 | 9,192,873.36 | 0.000% |
| Cash Dank of New York | | 31-Mar-21 | 5,598.89 | 5,598.89 | |
| BOND FUND - 2012A RESERVE FUND ACCT. (RESTRI | CTED) - 556118, | 165620 | | | |
| US Treasury Note: Bank of New York | 30-Nov-18 | 30-Nov-22 | 7,047,306.56 | 7,495,832.16 | 2.000% |
| Cash Balance Bank of New York | | 31-Mar-21 | 1,799.44 | 1,799.44 | |
| BOND FUND - 2013 REFUNDING RESERVE FUND. (RE | STRICTER) - 666 | :295 165622 | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-22 | 6,625,615.39 | 7,051,565.98 | 2.000% |
| Cash Balance Bank of New York | | 31-Mar-21 | 1,750.10 | 1,750.10 | 2.000 |
| ROND FIND - 2014A DECEDUE FUND (DECTRICATE) | EE3333 46E65 | e | | | |
| BOND FUND - 2014A RESERVE FUND. (RESTRICTED) US Treasury Note: Bank of New York | - 552333, 16563 03-Dec-18 | 30-Nov-23 | 3,678,026.52 | 3,923,528.09 | 2.875% |
| Cash Balance Bank of New York | 05 DCC 10 | 31-Mar-21 | 4,213.27 | | 2.07 370 |
| | | | ., | , | |
| BOND FUND - 2014B RESERVE FUND. (RESTRICTED) US Treasury Note: Bank of New York | <u>- 552336, 16563</u> 03-Dec-18 | | 4 652 000 02 | 4 064 520 55 | 2.0750/ |
| Cash Balance Bank of New York | 03-Dec-19 | 30-Nov-23 31-Mar-21 | 4,653,890.82 3,694.04 | 4,964,529.55 3,694.04 | 2.875% |
| | | | 3,03 1.0 1 | 3,05 1.0 1 | |
| BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) | | | | | |
| US Treasury Note: Bank of New York Cash Balance Bank of New York | 03-Dec-18 | 30-Nov-23 31-Mar-21 | 10,459,982.81 | 11,158,167.60 | 2.875% |
| | | 21-1101-51 | 10,069.19 | 10,069.19 | |
| BOND FUND - 2015A RESERVE FD (RESTRICTED) - 3 | | | | | |
| US Treasury Note: Bank of New York Cash Balance Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,856,367.23 | 4,113,772.71 | 2.875% |
| Cash balance Dank of New York | | 31-Mar-21 | 3,946.77 | 3,946.77 | |
| BOND FUND - 2016A RESERVE FD (RESTRICTED) - 28 | <u>80134, 165646</u> | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 7,033,436.72 | 7,502,905.80 | 2.875% |
| Cash Balance Bank of New York | | 31-Mar-21 | 7,803.55 | 7,803.55 | |
| | | | | | |

| | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE | | | | | |
|---|----------------------|-------------------|-------------------|-------------------|----------------|--|--|--|--|--|
| BOND FUND - 2016B RESERVE FD (RESTRICTED) - 2 | 80076. 165649 | | | | | | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,561,208.28 | 2.875% | | | | | |
| Cash Balance Bank of New York | | 31-Mar-21 | 3,454.46 | 3,454.46 | | | | | | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652 | | | | | | | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,795,660.73 | 2.875% | | | | | |
| Cash Balance Bank of New York | | 31-Mar-21 | 1,264.44 | 1,264.44 | | | | | | |
| BOND FUND - 2018A REFUNDING RESERVE FD (RES | TRICTED) - 3492 | 287, 165655 | | | | | | | | |
| FNMA Notes Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,745,303.68 | 1.875% | | | | | |
| Cash Balance Bank of New York | - | 31-Mar-21 | 59,258.29 | 59,258.29 | | | | | | |
| BOND FUND - 2020B RESERVE FUND ACCOUNT(RES | TRICTED),291102 | 2 <u>, 165658</u> | | | | | | | | |
| US Treasury Note: Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,804,007.60 | 2.125% | | | | | |
| Cash Bank of New York | | 31-Mar-21 | 61,570.38 | 61,570.38 | | | | | | |
| BOND FUND - 2020A EFC RESERVE FUND(RESTRICT | ED),162470, 165 | <u>351</u> | | | | | | | | |
| FHLB Disc Notes Bank of New York | 08-Feb-21 | 05-Mar-21 | 204,998.46 | 205,000.00 | 0.000% | | | | | |
| Cash Bank of New York | | 31-Mar-21 | 771.14 | 771.14 | | | | | | |
| BOND FUND - 2020 Refunding Bond Fund (RESTRICT | TED),483800, 165 | 660 | | | | | | | | |
| FHLB Discount No Bank of New York | 02-Dec-20 | 27-May-21 | 313,969.30 | 313,993.72 | 0.000% | | | | | |
| Cash Bank of New York | | 31-Mar-21 | 1,289.28 | 1,289.28 | | | | | | |
| BOND FUND - 2020 Reserve Fund (RESTRICTED),483 | 3810, 16566 <u>1</u> | | | | | | | | | |
| US Treasury Note: Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 4,994,288.34 | 0.250% | | | | | |
| Cash Bank of New York | | 31-Mar-21 | 8,643.82 | 8,643.82 | | | | | | |
| SUBTOTAL INVESTED FISC | AL AGENT FUNDS | 5: | 98,117,148.71 | 101,802,609.97 | | | | | | |
| TOTAL | INVESTED FUNDS | S: | \$ 148,732,139.76 | \$ 153,234,884.56 | | | | | | |

| <u>March-21</u> | | | | INVESTMENT | | | Money Market Interest Rates |
|--------------------------------|--|---------------------------|---|-------------------|-------------|----------------|--------------------------------|
| WORKING FUN | IDS - MONEY MARKET ACCO | <u>UNTS</u> | | | | | |
| GENERAL FUND Money Market | - CUSTOMER REFUND Capital One | 112014 | | \$ 1,456,213.72 | ! \$ | 1,456,213.72 | 0.25% |
| GENERAL FUND Money Market | - W/COMP SELF INS Citibank | 112905 | | 9,620,380.71 | L | 9,620,380.71 | |
| OPERATING FUN Money Market | <u>ID</u> JP Morgan Chase | 112001 | | 675,561.02 | ! | 675,561.02 | |
| SPECIAL DEPOSI Money Market | I Capital One | 112911 | | 576,929.10 |) | 576,929.10 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 2,885,669.92 | 2 | 2,885,669.92 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112907 | | 130,631,880.95 | 5 | 130,631,880.95 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | 27,366,125.55 | 5 | 27,366,125.55 | |
| OPERATING FUN Money Market | <u>ID</u> Capital One | 112914 | | 16,119,843.66 | 5 | 16,119,843.66 | 0.25% |
| GENERAL FUND Money Market | HSBC | 112923 | | 5,000.00 |) | 5,000.00 | 0.04% |
| CONSTRUCTION Money Market | FUND (2020B Bonds) - (RESTI HSBC | 2ICTED) 112927 | | 52,030,999.36 | 5 | 52,030,999.36 | 0.15% |
| OPERATING FUN Money Market | <u>ID</u> Bridgehampton National Bank | 112918 | | 13,503,182.45 | 5 | 13,503,182.45 | 0.30% |
| OPERATING FUN Money Market | <u>ID - RET</u> Bridgehampton National Bank | 112932 | | 4,693,495.72 | 2 | 4,693,495.72 | 0.30% |
| GENERAL FUND Money Market | - <u>(Infrastructure)</u> Flushing Bank | 112933 | | 19,755,279.22 | 2 | 19,755,279.22 | 0.35% |
| GENERAL FUNI Money Market | <u>○</u> JP Morgan Chase | 112936 | | 85,949,994.00 |) | 85,949,994.00 | |
| | TOTAL WORKING FUN | ID MONEY MARKET ACCOUNTS: | : | \$ 365,270,555.38 | 3 \$ | 365,270,555.38 | |
| | | GRAND TOTAL | : | \$ 514,002,695.14 | \$ | 518,505,439.94 | |
| | | TOTAL RESTRICTED FUNDS | : | 98,117,148.71 | L | 101,802,609.97 | |
| | | TOTAL UNRESTRICTED FUNDS | : | 415,885,546.43 | 3 | 416,702,829.97 | |
| | | GRAND TOTAL | : | \$ 514,002,695.14 | \$ | 518,505,439.94 | |

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS April-21

| GENERAL FUND | | FROM | то | | INVESTMENT | INVESTMENTS @ FMV | <u>COUPON</u> <u>RATE</u> |
|--------------|----------------------|-------------|-------------|--------------|---------------|-------------------|------------------------------|
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | \$ | 250,000.00 | \$ 255,737.50 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | | 250,000.00 | 255,700.00 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | | 250,000.00 | 262,405.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | | 250,000.00 | 262,587.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | | 4,000,000.00 | 4,174,880.00 | 2.530% |
| FFCB Notes | Raymond James | 4-Aug-20 | 4-May-23 | | 2,000,000.00 | 2,000,020.00 | 0.350% |
| FHLB Notes | Raymond James | 29-Jun-20 | 29-Jun-23 | | 2,194,991.05 | 2,195,013.00 | 0.440% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | | 2,000,000.00 | 2,000,000.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | | 2,000,000.00 | 2,000,840.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | | 2,000,000.00 | 1,998,320.00 | 0.270% |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | | 2,000,000.00 | 1,999,460.00 | 0.300% |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | | 2,000,000.00 | 2,002,540.00 | 0.300% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | | 500,000.00 | 530,985.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | | 4,735,000.00 | 4,898,783.65 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | | 250,000.00 | 269,730.00 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | | 2,000,000.00 | 1,993,680.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | | 2,000,000.00 | 1,989,260.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | | 1,000,000.00 | 1,000,340.00 | 0.580% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | | 4,585,000.00 | 4,892,011.60 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | | 3,350,000.00 | 3,629,021.50 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | | 2,000,000.00 | 1,984,600.00 | 0.500% |
| FNMA Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | | 3,000,000.00 | 2,964,870.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | | 2,000,000.00 | 1,994,780.00 | 0.650% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | | 2,000,000.00 | 1,977,740.00 | 0.560% |
| FHLB Notes | Raymond James | 25-Feb-21 | 25-Feb-26 | | 2,000,000.00 | 1,975,820.00 | 0.700% |
| FHLB Notes | Raymond James | 30-Mar-21 | 30-Mar-26 | | 2,000,000.00 | 1,997,520.00 | 0.900% |
| FHLB Notes | Raymond James | 28-Apr-21 | 28-Apr-26 | | 2,000,000.00 | 1,999,440.00 | 0.500% |
| | | SUBTOTAL IN | ESTED FUNDS | s: <u>\$</u> | 52,614,991.05 | \$ 53,506,084.75 | |

| | | FROM | то | INVESTMENT | | INVESTMENTS @ FMV | | COUPON RATE |
|--|--|-----------------------------|--------------------------|--------------|--------------------------|-------------------|--------------------------|----------------|
| SENIOR LIEN BOND | FUND & SECONDARY BOND FUND (RE | STRICTED)-6584 | <u>51 & 658450-1</u> | 65010 | <u> </u> | | | |
| 658451 | | | | | | | | 0.0000/ |
| FHLB Disc Notes Cash (combined total) | Bank of New York Bank of New York | 23-Apr-21 | 21-May-21 30-Apr-21 | \$ | 16,966,986.80 901.83 | \$ | 16,967,000.00 901.83 | 0.000% |
| BOND FUND - 2011A | (2001A) EFC BOND RESERVE ACCOUNT | (RESTRICTED)- | 647976, GL 16 | 50 <u>90</u> | | | | |
| US Treasury Bond-SLGS | | 15-Mar-11 | 15-May-21 | | 128,973.00 | | 128,973.00 | 2.360% |
| Cash | Bank of New York | | 30-Apr-21 | | 0.00 | : | 0.00 | |
| | (2001B) EFC BOND RESERVE ACCOUNT | | | <u>5200</u> | | | | |
| US Treasury Note -SLGS Cash | Bank of New York Bank of New York | 30-Dec-15 | 15-May-21 30-Apr-21 | | 645,708.00 | | 645,708.00 0.00 | 1.800% |
| Casii | Ballk Of New York | | 30-Api-21 | | | | 0.00 | |
| | (2002A) EFC BOND RESERVE ACCOUN | | | <u>60</u> | | | | |
| US Treasury Bond-SLGS | | 21-Jun-12 | 15-Oct-22 | | 452,319.00 | | 452,319.00 | 1.760% |
| Cash | Bank of New York | | 30-Apr-21 | | 0.00 | | 0.00 | |
| BOND FUND - 2011C | (2002G) EFC RESERVE ACCOUNT (RES | TRICTED)- 2960: | 12 <u>, 165280</u> | | | | | |
| US Treasury Note -SLGS | | 30-Dec-15 | 15-Oct-22 | | 448,985.00 | | 448,985.00 | 2.050% |
| Cash | Bank of New York | | 30-Apr-21 | | 0.00 | | 0.00 | |
| BOND FUND - 2013B | (2003B) EFC RESERVE ACCOUNT (REST | FRICTED) 2960 | 19. 165310 | | | | | |
| US Treasury Note - SLGS | | 04-Nov-13 | 15-Jan-23 | | 408,894.00 | | 408,894.00 | 2.410% |
| Cash | Bank of New York | | 30-Apr-21 | | 0.00 | | 0.00 | |
| POND FUND 2014P | (2004A) EFC RESERVE ACCOUNT(REST | CDICTED) 20612 | 4 165220 | | | | | |
| US Treasury Bond - SLG | | 02-Jul-14 | 15-Aug-24 | | 394,782.00 | | 394,782.00 | 2.550% |
| Cash | Bank of New York | 02 30, 11 | 30-Apr-21 | | 0.00 | | 0.00 | 2.550 70 |
| | | | | | | | | |
| US Treasury Note - SLGS | (2005A) EFC RESERVE ACCOUNT(REST | RICTED), 29599 13-Nov-15 | 8, 165340 01-Oct-25 | | 402.010.00 | | 402 010 00 | 2.300% |
| Cash | Bank of New York | 12-1404-12 | 30-Apr-21 | | 403,919.00 0.00 | | 403,919.00 0.00 | 2.300% |
| | | | • | | 0.00 | | 5.55 | |
| | RESERVE FUND ACCOUNT (RESTRICTE | | | | | | | |
| FHLB Discount Notes Cash | Bank of New York Bank of New York | 01-Dec-20 | 01-Dec-21 30-Apr-21 | | 9,196,000.00 5,598.89 | | 9,193,792.96 5,598.89 | 0.000% |
| Cush | Dalik Of NEW TORK | | 30-Apr-21 | | 2,290.09 | | 3,390.09 | |
| BOND FUND - 2012A | RESERVE FUND ACCT. (RESTRICTED) - | · 556118, 16562 | <u>o</u> | | | | | |
| US Treasury Notes - Bon | d Bank of New York | 30-Nov-18 | 30-Nov-22 | | 7,047,306.56 | | 7,486,160.40 | 2.000% |
| Cash Balance | Bank of New York | | 30-Apr-21 | | 1,799.44 | | 1,799.44 | |
| BOND FUND - 2013 P | EFUNDING RESERVE FUND. (RESTRICT | FED) - 666385 1 | 65633 | | | | | |
| US Treasury Notes - Bon | | 03-Dec-18 | 30-Nov-22 | | 6,625,615.39 | | 7,042,467.45 | 2.000% |
| Cash Balance | Bank of New York | | 30-Apr-21 | | 1,750.10 | | 1,750.10 | |
| POND FUND 20144 | | | | | | | | |
| US Treasury Notes - Bon | RESERVE FUND. (RESTRICTED) - 5523: | 33, 165635 03-Dec-18 | 30-Nov-23 | | 3,678,026.52 | | 3,917,948.17 | 2.875% |
| Cash Balance | Bank of New York | 05 Dec 10 | 30-Apr-21 | | 4,213.27 | | 4,213.27 | 2.07370 |
| | | | • | | • | | · | |
| US Treasury Notes - Bon | RESERVE FUND. (RESTRICTED) - 5523: | 36, 165638 03-Dec-18 | 20 Nov. 22 | | 4 653 000 03 | | 4 057 460 45 | 2.0750/ |
| Cash Balance | Bank of New York | 02-D6C-10 | 30-Nov-23 30-Apr-21 | | 4,653,890.82 3,694.04 | | 4,957,469.15 3,694.04 | 2.875% |
| | | | 33 Apr. 22 | | 5,05 | | 5,05 | |
| BOND FUND - 2015 R | EF RESERVE FD. (RESTRICTED) - 3421: | | | | | | | |
| US Treasury Notes - Bon Cash Balance | d Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 30-Apr-21 | | 10,459,982.81 | | 11,142,298.80 | 2.875% |
| | | | 20-Whi-51 | | 10,069.19 | | 10,069.19 | |
| | RESERVE FD (RESTRICTED) - 342109. | | | | | | | |
| US Treasury Notes - Bon Cash Balance | d Bank of New York Bank of New York | 03-Dec-18 | 30-Nov-23 | | 3,856,367.23 | | 4,107,922.23 | 2.875% |
| Casii DalanCe | Dalik Of New TOFK | | 30-Apr-21 | | 3,946.77 | | 3,946.77 | |
| | RESERVE FD (RESTRICTED) - 280134. | <u> 165646</u> | | | | | | |
| US Treasury Notes - Bon | | 03-Dec-18 | 30-Nov-23 | | 7,033,436.72 | | 7,492,235.40 | 2.875% |
| Cash Balance | Bank of New York | | 30-Apr-21 | | 7,803.55 | | 7,803.55 | |

| | | FROM | то | INVESTMENT | INVESTMENTS @ FMV | COUPON RATE |
|------------------------|-----------------------------|-------------------------|------------|-------------------|-------------------|----------------|
| BOND FUND - 2016B | RESERVE FD (RESTRICTED) - | 280076, 165649 | | | | |
| US Treasury Notes - Bo | nd Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,556,143.64 | 2.875% |
| Cash Balance | Bank of New York | | 30-Apr-21 | 3,454.46 | 3,454.46 | |
| BOND FUND - 2016 F | REFUNDING RESERVE FD (RES | STRICTED) - 280108, 165 | 652 | | | |
| US Treasury Notes - Bo | | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,788,840.49 | 2.875% |
| Cash Balance | Bank of New York | | 30-Apr-21 | 1,264.44 | 1,264.44 | |
| BOND FUND - 2018A | REFUNDING RESERVE FD (RI | STRICTED) - 349287, 16 | 5655 | | | |
| FNMA Notes | Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,734,961.60 | 1.875% |
| Cash Balance | Bank of New York | - | 30-Apr-21 | 149,033.29 | 149,033.29 | |
| BOND FUND - 2020B | RESERVE FUND ACCOUNT(RE | STRICTED),291102, 1656 | 58 | | | |
| US Treasury Notes - Bo | | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,815,580.95 | 2.125% |
| Cash | Bank of New York | • | 30-Apr-21 | 61,570.38 | 61,570.38 | |
| BOND FUND - 2020A | EFC RESERVE FUND(RESTRIC | TED),162470, 165351 | | | | |
| FHLB Disc Notes | Bank of New York | 06-Apr-21 | 05-May-21 | 220,999.64 | 221,000.00 | 0.000% |
| Cash | Bank of New York | · | 30-Apr-21 | 597.60 | 597.60 | |
| BOND FUND - 2020 F | Refunding Bond Fund (RESTRI | CTED),483800, 165660 | | | | |
| FHLB Discount Notes | Bank of New York | 02-Dec-20 | 27-May-21 | 313,969.30 | 314,000.00 | 0.000% |
| Cash | Bank of New York | | 30-Apr-21 | 1,289.28 | 1,289.28 | |
| BOND FUND - 2020 F | Reserve Fund (RESTRICTED),4 | 83810, 16566 <u>1</u> | | | | |
| US Treasury Notes - Bo | | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 4,995,635.37 | 0.250% |
| Cash | Bank of New York | | 30-Apr-21 | 8,643.82 | 8,643.82 | |
| | SUBTOTAL INVES | TED FISCAL AGENT FUNDS | : : | 101,269,428.81 | 104,882,666.96 | |
| | | TOTAL INVESTED FUNDS | : : | \$ 153,884,419.86 | \$ 158,388,751.71 | |

\$ 515,385,680.56 \$ 519,890,012.41

| April-21 | | | | INVESTMENT | | Money Market Interest Rates | |
|---------------------------------------|---|-------------------------|---|-------------------|----|--------------------------------|-------|
| WORKING FUNDS - N | 10NEY MARKET ACCOUNTS | | | | | | |
| GENERAL FUND - CUSTO Money Market | OMER REFUND Capital One | 112014 | | \$ 1,450,331.68 | \$ | 1,450,331.68 | 0.25% |
| GENERAL FUND - W/CO Money Market | MP_SELF_INS Citibank | 112905 | | 9,620,378.07 | | 9,620,378.07 | |
| OPERATING FUND Money Market | JP Morgan Chase | 112001 | | 1,337,026.48 | | 1,337,026.48 | |
| SPECIAL DEPOSIT Money Market | Capital One | 112911 | | 627,868.01 | | 627,868.01 | 0.25% |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 3,752,656.70 | | 3,752,656.70 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112907 | | 123,009,134.88 | | 123,009,134.88 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | 27,366,125.55 | | 27,366,125.55 | |
| OPERATING FUND Money Market | Capital One | 112914 | | 16,319,843.66 | | 16,319,843.66 | 0.25% |
| GENERAL FUND Money Market | HSBC | 112923 | | 5,000.00 | | 5,000.00 | 0.04% |
| CONSTRUCTION FUND Money Market | (2020B Bonds) - (RESTRICTED) HSBC | 112927 | | 52,035,275.88 | | 52,035,275.88 | 0.15% |
| OPERATING FUND Money Market | Bridgehampton National Bank | 112918 | | 13,502,849.56 | | 13,502,849.56 | 0.30% |
| OPERATING FUND - RE Money Market | <u>F</u> Bridgehampton National Bank | 112932 | | 5,353,561.70 | | 5,353,561.70 | 0.30% |
| GENERAL FUND - (Infra Money Market | structure) Flushing Bank | 112933 | | 19,754,548.53 | | 19,754,548.53 | 0.35% |
| GENERAL FUND Money Market | JP Morgan Chase | 112936 | | 87,366,660.00 | | 87,366,660.00 | |
| | TOTAL WORKING FUND | MONEY MARKET ACCOUNTS: | : | \$ 361,501,260.70 | \$ | 361,501,260.70 | |
| | | GRAND TOTAL | : | \$ 515,385,680.56 | \$ | 519,890,012.41 | |
| | | TOTAL RESTRICTED FUNDS | : | 101,269,428.81 | | 104,882,666.96 | |
| | 1 | OTAL UNRESTRICTED FUNDS | : | 414,116,251.75 | | 415,007,345.45 | |

GRAND TOTAL

SUFFOLK COUNTY WATER AUTHORITY INVESTMENTS May-21

| GENERAL FUN | <u>0</u> | FROM | TO | INVESTMENT | _ <u>Investments @ FMV</u> | COUPON RATE |
|--------------|----------------------|-------------|-------------|------------------|----------------------------|----------------|
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | \$ 250,000.00 | \$ 255,205.00 | 2.800% |
| CD Notes | Raymond James | 27-Feb-19 | 28-Feb-22 | 250,000.00 | 255,187.50 | 2.800% |
| CD Notes | Raymond James | 28-Feb-19 | 28-Feb-23 | 250,000.00 | 262,025.00 | 2.850% |
| CD Notes | Raymond James | 7-Mar-19 | 7-Mar-23 | 250,000.00 | 262,212.50 | 2.850% |
| NYCGEN Notes | Raymond James | 13-Nov-19 | 1-May-23 | 4,000,000.00 | 4,163,680.00 | 2.530% |
| FHLB Notes | Wells Fargo Advisors | 24-Aug-20 | 24-Aug-23 | 2,000,000.00 | 2,000,000.00 | 0.330% |
| FNMA Notes | Wells Fargo Advisors | 25-Aug-20 | 25-Aug-23 | 2,000,000.00 | 1,998,920.00 | 0.340% |
| FFCB Notes | HSBC Securities USA | 20-Oct-20 | 20-Oct-23 | 2,000,000.00 | 1,999,460.00 | 0.270% |
| FHLMC Notes | Raymond James | 13-Nov-20 | 13-Nov-23 | 2,000,000.00 | 2,000,220.00 | 0.300% |
| FHLMC Notes | Raymond James | 16-Nov-20 | 16-Nov-23 | 2,000,000.00 | 2,003,080.00 | 0.300% |
| NYCGEN Notes | Raymond James | 15-Jun-15 | 1-Feb-24 | 500,000.00 | 529,715.00 | 2.750% |
| NYSFAC Notes | Raymond James | 2-Apr-20 | 15-Mar-24 | 4,735,000.00 | 4,917,628.95 | 2.020% |
| CD Notes | Raymond James | 27-Mar-19 | 27-Mar-24 | 250,000.00 | 269,315.00 | 2.950% |
| FHLB Notes | HSBC Securities USA | 16-Oct-20 | 15-Apr-24 | 2,000,000.00 | 1,997,460.00 | 0.300% |
| FHLMC Notes | HSBC Securities USA | 13-Aug-20 | 13-May-24 | 2,000,000.00 | 1,993,220.00 | 0.410% |
| FFCB Notes | Raymond James | 12-Jun-20 | 3-Jun-24 | 1,000,000.00 | 1,000,030.00 | 0.580% |
| NYCGEN Notes | Raymond James | 18-Feb-20 | 1-Feb-25 | 4,585,000.00 | 4,898,522.30 | 2.610% |
| NYSDEV Notes | Raymond James | 3-Apr-20 | 15-Mar-25 | 3,350,000.00 | 3,637,363.00 | 3.120% |
| FHLMC Notes | Raymond James | 12-Jun-20 | 27-Jun-25 | 2,000,000.00 | 1,990,880.00 | 0.500% |
| FNMA Notes | Raymond James | 21-Jul-20 | 21-Jul-25 | 3,000,000.00 | 2,974,800.00 | 0.500% |
| FNMA Notes | Wells Fargo Advisors | 30-Jul-20 | 30-Jul-25 | 2,000,000.00 | 1,999,720.00 | 0.650% |
| FHLMC Notes | Raymond James | 12-May-21 | 15-Oct-25 | 4,000,000.00 | 3,980,520.00 | 0.600% |
| FNMA Notes | Wells Fargo Advisors | 22-Oct-20 | 22-Oct-25 | 2,000,000.00 | 1,983,160.00 | 0.560% |
| FHLB Notes | Raymond James | 25-Feb-21 | 25-Feb-26 | 2,000,000.00 | 1,982,940.00 | 0.700% |
| FHLB Notes | Raymond James | 12-May-21 | 26-Feb-26 | 2,000,000.00 | 1,984,140.00 | 0.650% |
| FHLB Notes | Raymond James | 30-Mar-21 | 30-Mar-26 | 2,000,000.00 | 2,000,300.00 | 0.900% |
| FHLB Notes | Raymond James | 28-Apr-21 | 28-Apr-26 | 2,000,000.00 | 2,000,900.00 | 0.500% |
| | | SUBTOTAL IN | ESTED FUNDS | \$ 54,420,000.00 | \$ 55,340,604.25 | |

| | FROM | TO | INVESTMENT | INVESTMENTS @ FMV | RATE |
|---|----------------------|------------------------|----------------------------|--------------------------|---------|
| SENIOR LIEN BOND FUND & SECONDARY BOND FUN | O (RESTRICTED) | -658451 & 6584 | <u>50-165010 & 020</u> | | |
| 658451 | | | | | |
| FHLB Disc Notes Bank of New York Cash (combined t Bank of New York | 23-Apr-21 | 21-May-21 31-May-21 | \$ - 20,014,525.15 | \$ - 20,014,525.15 | 0.000% |
| BOND FUND - 2012B (2002A) EFC BOND RESERVE AC | COUNT (RESTRIC | TED)-296010, 1 | <u>65260</u> | | |
| US Treasury Bond Bank of New York | 21-Jun-12 | 15-Oct-22 | 452,319.00 | 452,319.00 | 1.760% |
| Cash Bank of New York | | 31-May-21 | 0.00 | 0.00 | |
| | | | | | |
| BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT | | | | 440.005.00 | 2.050% |
| US Treasury Note Bank of New York | 30-Dec-15 | 15-Oct-22 | 448,985.00 | 448,985.00 | 2.050% |
| Cash Bank of New York | | 31-May-21 | 0.00 | 0.00 | |
| BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT | (RESTRICTED) | 296019, 165310 | 0 | | |
| US Treasury Note Bank of New York | 04-Nov-13 | 15-Jan-23 | 408,894.00 | 408,894.00 | 2.410% |
| Cash Bank of New York | | 31-May-21 | 0.00 | 0.00 | |
| | | | | | |
| BOND FUND - 2014B (2004A) EFC RESERVE ACCOUNT | | | | 204 702 02 | 2 5500 |
| US Treasury Bond Bank of New York | 02-Jul-14 | 15-Aug-24 | 394,782.00 | 394,782.00 | 2.550% |
| Cash Bank of New York | | 31-May-21 | 0.00 | 0.00 | |
| BOND FUND - 2015D (2005A) EFC RESERVE ACCOUNT | (RESTRICTED), 2 | 95998. 165340 | | | |
| US Treasury Note Bank of New York | 13-Nov-15 | 01-Oct-25 | 403,919.00 | 403,919.00 | 2.300% |
| Cash Bank of New York | 15 1107 15 | 31-May-21 | 0.00 | 0.00 | 2.30070 |
| DUIN OF HEW TOTAL | | 31-May-21 | 0.00 | 0.00 | |
| BOND FUND - 2009 B RESERVE FUND ACCOUNT (REST | RICTED) - 39877 | 4, 165490 | | | |
| FHLB Discount No Bank of New York | 01-Dec-20 | 01-Dec-21 | 9,196,000.00 | 9,194,620.60 | 0.000% |
| Cash Bank of New York | | 31-May-21 | 5,598.89 | 5,598.89 | |
| | | | | | |
| BOND FUND - 2012A RESERVE FUND ACCT. (RESTRIC | | | | | |
| US Treasury Note: Bank of New York | 30-Nov-18 | 30-Nov-22 | 7,047,306.56 | 7,477,070.40 | 2.000% |
| Cash Balance Bank of New York | | 31-May-21 | 1,799.44 | 1,799.44 | |
| BOND FUND - 2013 REFUNDING RESERVE FUND. (RES | TRICTED) - 6662 | 85. 165632 | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-22 | 6,625,615.39 | 7,033,916.20 | 2.000% |
| Cash Balance Bank of New York | 05 DCC 10 | 31-May-21 | 1,750.10 | 1,750.10 | 2.00070 |
| | | , | _,, | _,, | |
| BOND FUND - 2014A RESERVE FUND. (RESTRICTED) - | | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,678,026.52 | 3,914,350.59 | 2.875% |
| Cash Balance Bank of New York | | 31-May-21 | 4,213.27 | 4,213.27 | |
| BOND FUND - 2014B RESERVE FUND. (RESTRICTED) - | 552336 165638 | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,653,890.82 | 4,952,917.05 | 2.875% |
| Cash Balance Bank of New York | 03-Dec-19 | 31-May-21 | 3,694.04 | 4,952,917.05 3,694.04 | 2.07570 |
| COST DUILITICE DUTIN OF NEW YORK | | 31-May-21 | 3,054.04 | 3,034.04 | |
| BOND FUND - 2015 REF RESERVE FD. (RESTRICTED) - | | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 10,459,982.81 | 11,132,067.60 | 2.875% |
| Cash Balance Bank of New York | | 31-May-21 | 10,069.19 | 10,069.19 | |
| ROND FIIND - 2015A DECEDVE EN (DECEDICTEN) 24 | 2100 1 <i>6564</i> 1 | | | | |
| BOND FUND - 2015A RESERVE FD (RESTRICTED) - 34 US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 2 056 267 22 | 4 104 150 21 | 2.875% |
| Cash Balance Bank of New York | 03-Dec-10 | | 3,856,367.23 | 4,104,150.21 | 2.075% |
| Cash balance Dank Of New TORK | | 31-May-21 | 3,946.77 | 3,946.77 | |
| BOND FUND - 2016A RESERVE FD (RESTRICTED) - 280 | 134. 165646 | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 7,033,436.72 | 7,485,355.80 | 2.875% |
| Cash Balance Bank of New York | | 31-May-21 | 7,803.55 | 7,803.55 | |
| · - · · · | | · · - , | ., | ., | |

| | | | | | COUPON | | | | | |
|--|-----------------|-------------------|--------------------------|-----------------------------|---------|--|--|--|--|--|
| | FROM | TO | INVESTMENT | <u>INVESTMENTS @ FMV</u> | RATE | | | | | |
| | | | | | | | | | | |
| BOND FUND - 2016B RESERVE FD (RESTRICTED) - 280 | | | | | | | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 3,338,377.66 | 3,552,878.28 | 2.875% | | | | | |
| Cash Balance Bank of New York | | 31-May-21 | 3,454.46 | 3,454.46 | | | | | | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652 | | | | | | | | | | |
| US Treasury Note: Bank of New York | 03-Dec-18 | 30-Nov-23 | 4,495,588.40 | 4,784,443.23 | 2.875% | | | | | |
| Cash Balance Bank of New York | 03-Dec-10 | 31-May-21 | 1,264.44 | 1,264.44 | 2.07370 | | | | | |
| Cash balance bank of New York | | 31-1-1ay-21 | 1,201.11 | 1,204.44 | | | | | | |
| BOND FUND - 2018A REFUNDING RESERVE FD (RESTR | ICTED) - 3492 | <u>87, 165655</u> | | | | | | | | |
| FNMA Notes Bank of New York | 03-Aug-18 | 05-Apr-22 | 9,267,087.29 | 9,723,566.16 | 1.875% | | | | | |
| Cash Balance Bank of New York | | 31-May-21 | 149,033.29 | 149,033.29 | | | | | | |
| | | | | | | | | | | |
| BOND FUND - 2020B RESERVE FUND ACCOUNT(RESTR | | | | 5 004 400 40 | 2 4250/ | | | | | |
| US Treasury Note: Bank of New York | 12-May-20 | 15-May-25 | 5,969,008.48 | 5,824,192.40 | 2.125% | | | | | |
| Cash Bank of New York | | 31-May-21 | 119,848.51 | 119,848.51 | | | | | | |
| BOND FUND - 2020A EFC RESERVE FUND(RESTRICTED |).162470. 1653! | 51 | | | | | | | | |
| FHLB Disc Notes Bank of New York | 06-Jun-21 | 02-Jun-21 | 236,999.82 | 237,000.00 | 0.000% | | | | | |
| Cash Bank of New York | | 31-May-21 | 423.88 | 423.88 | | | | | | |
| | | • | | | | | | | | |
| BOND FUND - 2020 Refunding Bond Fund (RESTRICTE) | | | | | | | | | | |
| FHLB Discount No Bank of New York | 02-Dec-20 | 27-May-21 | 0.00 | 0.00 | 0.000% | | | | | |
| Cash Bank of New York | | 31-May-21 | 315,289.28 | 315,289.28 | | | | | | |
| DOND FUND 2020 December Fund (DECTRICTER) 4020 | 10 165661 | | | | | | | | | |
| BOND FUND - 2020 Reserve Fund (RESTRICTED),4838: US Treasury Note: Bank of New York | 23-Jun-20 | 15-Jun-23 | 4,997,574.84 | 4,997,980.20 | 0.250% | | | | | |
| Cash Bank of New York | 25-5411-20 | 31-May-21 | 8,643.82 | 8,643.82 | 0.25070 | | | | | |
| Casii Balik of New York | | 31-1-lay-21 | 0,043.02 | 0,013.02 | | | | | | |
| | | | | | | | | | | |
| SUBTOTAL INVESTED FISCAL | AGENT FUNDS | : | 103,615,519.62 | 107,174,765.80 | | | | | | |
| | VECTED FILLION | _ | # 150 035 510 63 | \$ 162,515,370.05 | | | | | | |
| IOIALIN | VESTED FUNDS | i | \$ 158,035,519.62 | 3 102,313,370.03 | | | | | | |

| <u>May-21</u> | INVESTMENT | | | | | |
|--------------------------------|---|---------------------------|---|-------------------|-------------------|-------|
| WORKING FUN | IDS - MONEY MARKET ACCO | DUNTS | | | | |
| GENERAL FUND Money Market | - CUSTOMER REFUND Capital One | 112014 | | \$ 1,448,679.23 | \$ 1,448,679.23 | 0.20% |
| GENERAL FUND Money Market | - W/COMP SELF INS Citibank | 112905 | | 9,620,380.71 | 9,620,380.71 | 0.01% |
| OPERATING FUN Money Market | <u>D</u> JP Morgan Chase | 112001 | | 336,944.02 | 336,944.02 | |
| SPECIAL DEPOSI Money Market | TT Capital One | 112911 | | 38,191.16 | 38,191.16 | |
| REVENUE FUND Money Market | JP Morgan Chase | 111905 | | 1,934,447.24 | 1,934,447.24 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112907 | | 115,678,482.35 | 115,678,482.35 | |
| GENERAL FUND Money Market | JP Morgan Chase | 112002 | | 27,366,125.55 | 27,366,125.55 | |
| OPERATING FUN Money Market | <u>D</u> Capital One | 112914 | | 19,519,843.66 | 19,519,843.66 | 0.20% |
| GENERAL FUND Money Market | HSBC | 112923 | | 5,000.00 | 5,000.00 | |
| CONSTRUCTION Money Market | FUND (2020B Bonds) - (REST HSBC | RICTED) 112927 | | 46,060,844.60 | 46,060,844.60 | 0.10% |
| OPERATING FUN Money Market | <u>D</u> Bridgehampton National Bank | 112918 | | 13,502,627.49 | 13,502,627.49 | 0.30% |
| OPERATING FUN Money Market | <u>D - RET</u> Bridgehampton National Bank | 112932 | | 5,953,614.25 | 5,953,614.25 | 0.30% |
| GENERAL FUND Money Market | - (Infrastructure) Flushing Bank | 112933 | | 19,754,196.45 | 19,754,196.45 | 0.25% |
| GENERAL FUNI Money Market | <u>)</u> JP Morgan Chase | 112936 | | 88,783,326.00 | 88,783,326.00 | |
| | TOTAL WORKING FUI | ND MONEY MARKET ACCOUNTS: | : | \$ 350,002,702.71 | \$ 350,002,702.71 | |
| | | GRAND TOTAL | : | \$ 508,038,222.33 | \$ 512,518,072.76 | |
| | | TOTAL RESTRICTED FUNDS | : | 103,615,519.62 | 107,174,765.80 | |
| | | TOTAL UNRESTRICTED FUNDS | : | 404,422,702.71 | 405,343,306.96 | |
| | | GRAND TOTAL | : | \$ 508,038,222.33 | \$ 512,518,072.76 | |

SUFFOLK COUNTY WATER AUTHORITY

SECTION VI

Statement of Maturing Investments and Interest Payments

June 2020 – May 2021

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments June-20

| | | | | | COUPON | |
|--|--------------------------------------|----------------------|--------------------|----------------------|----------|-----------------|
| GENERAL FUND | BANK | INVESTMENT | FROM | то | RATE | INTEREST |
| <u>GENERAL I OND</u> | | | | | | |
| FHLB Notes | Raymond James | 4,000,000.00 | 17-Dec-19 | 12-Jun-20 | 1.750% | \$ 35,000.00 |
| CD Notes | Raymond James | 250,000.00 | 6-Jan-20 | 30-Dec-24 | 2.125% | 2,663.53 |
| CD Notes | Raymond James | 250,000.00 | 27-Feb-19 | 28-Feb-22 | 2.800% | 594.52 |
| CD Notes | Raymond James | 250,000.00 | 27-Mar-19 | 27-Mar-24 | 2.950% | 626.37 |
| Treasury Notes | Wells Fargo Advisors | 4,000,000.00 | 17-Dec-19 | 31-Dec-20 | 2.375% | 47,500.00 |
| SENIOR LIEN BOND | FUND & SECONDARY B | OND FUND (RESTRIC | CTED)-658451 8 | <u>k 658450-1650</u> | 10 & 020 | |
| FHLB Discount Notes | Bank of New York | 16,188,622.26 | 24-Apr-20 | 22-May-20 | 0.000% | 32.24 |
| | Daim of Hom Fork | 10,100,022.20 | 21 Apr 20 | 22 May 20 | 0.00070 | J2.27 |
| BOND FUND 2044 | | | | | | |
| FHLB Discount Notes | REFUNDING RESERVE F Bank of New York | | | | 4 5000/ | 07.006.24 |
| Cash Balance | | 2,417,949.61 | 30-Nov-18 | 30-Nov-20 | 1.500% | 97,806.24 |
| Cash Balance | Bank of New York | 556.89 | | | | |
| BOND FUND - 2012 | REFUNDING RESERVE FL | JND ACCT. (RESTRIC | TED) - 398273. | 165580 | | |
| US Treasury Notes - Bo | Bank of New York | 7,779,608.01 | 30-Nov-18 | 31-May-21 | 2.000% | 355,616.85 |
| US Treasury Notes - Bo | | 1,580,830.47 | 03-Dec-18 | 31-May-21 | 2.000% | 95,450.00 |
| Cash Balance | Bank of New York | 2,295.81 | | , | | 30,100.00 |
| DOND FILLS DOLD | | /D | | | | |
| | RESERVE FUND ACCT. | | | | | |
| US Treasury Notes - Bo | | 7,047,306.56 | 30-Nov-18 | 30-Nov-22 | 2.000% | 72,720.00 |
| Cash Balance | Bank of New York | 1,799.44 | | | | |
| BOND FUND - 2013 | REFUNDING RESERVE FL | JND. (RESTRICTED) - | - 666285, 1656 | 32 | | |
| US Treasury Notes - Bo | | 6,625,615.39 | 03-Dec-18 | 30-Nov-22 | 2.000% | 68,410.00 |
| Cash Balance | Bank of New York | 1,750.97 | | | | , |
| | | · | | | | |
| | RESERVE FUND. (REST | | | | | |
| US Treasury Notes - Bo | | 3,678,026.52 | 03-Dec-18 | 30-Nov-23 | 2.875% | 52,770.63 |
| Cash Balance | Bank of New York | 4,213.37 | | | | |
| BOND FUND - 2014E | RESERVE FUND. (RESTI | RICTED) - 552336. 10 | 65638 | | | |
| US Treasury Notes - Bo | | 4,653,890.82 | 03-Dec-18 | 30-Nov-23 | 2.875% | 66,771.88 |
| Cash Balance | Bank of New York | 3,694.42 | | | | · |
| DOND FILLS AGAIN | | | | | | |
| | REF RESERVE FD. (RESTI | | | 20.11 22 | 2 2750/ | 450 075 00 |
| US Treasury Notes - Bo Cash Balance | | 10,459,982.81 | 03-Dec-18 | 30-Nov-23 | 2.875% | 150,075.00 |
| Casi i balance | Bank of New York | 10,069.43 | | | | |
| BOND FUND - 2015A | RESERVE FD (RESTRIC | TED) - 342109, 1656 | 641 | | | |
| US Treasury Notes - Bo | - 1 200 00 1 | 3,856,367.23 | 03-Dec-18 | 30-Nov-23 | 2.875% | 55,329.38 |
| Cash Balance | Bank of New York | 3,947.13 | | | | , |
| | | · | | | | |
| | RESERVE FD (RESTRICT | | | | | 400 040 50 |
| US Treasury Notes - Bo | | 7,033,436.72 | 03-Dec-18 | 30-Nov-23 | 2.875% | 100,912.50 |
| Cash Balance | Bank of New York | 7,804.39 | | | | |
| BOND FUND - 2016B | RESERVE FD (RESTRICT | TED) - 280076, 1656 | 49 | | | |
| US Treasury Notes - Bo | Bank of New York | 3,338,377.66 | 03-Dec-18 | 30-Nov-23 | 2.875% | 47,897.50 |
| Cash Balance | Bank of New York | 3,455.32 | | | | |
| BOND MINING TO CE | | | 00400 45==== | | | |
| | REFUNDING RESERVE FO | | | 20 Nov. 22 | 2.0750/ | C4 F00 C3 |
| US Treasury Notes - Bo | | 4,495,588.40 | 03-Dec-18 | 30-Nov-23 | 2.875% | 64,500.63 |
| Cash Balance | Bank of New York | 1,265.03 | | | | |
| BOND FUND - 2020 | Reserve Fund (RESTRICT | ED),483810, 165661 | 1 | | | |
| US Treasury Notes - Bo | | 4,997,574.84 | 23-Jun-20 | 15-Jun-23 | | (272.62) |
| , | | ·yeer yer | · - - · | | | |
| | Total | | | | | \$ 1,314,404.65 |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments July-20

| | BANK | INVESTMENT | FROM | то | COUPON RATE | INTEREST | | |
|--|--|---|---|---|--|--|--|--|
| GENERAL FUND | | | | | | | | |
| Treasury Notes CD Notes FHLMC Notes FHLMC Notes CD Notes FHLMC Notes FHLMC Notes FHLMC Notes | Wells Fargo Advisors Raymond James Wells Fargo Advisors Wells Fargo Advisors Raymond James Wells Fargo Advisors Wells Fargo Advisors | \$ 4,000,000.00 250,000.00 2,000,000.00 2,000,000.00 250,000.00 2,000,000.00 4,000,000.00 | 17-Dec-19 27-Feb-19 30-Jan-20 29-Jan-20 27-Mar-19 28-Oct-19 13-Jan-20 | 15-Jul-20 28-Feb-22 30-Jan-23 29-Jan-24 27-Mar-24 28-Oct-24 13-Jan-25 | 1.500% 2.800% 1.650% 1.750% 2.950% 2.000% | \$ 30,000.00 575.34 16,500.00 17,500.00 606.16 10,000.00 20,000.00 | | |
| SENIOR LIEN BONI | D FUND & SECONDARY | BOND FUND (RESTRI | CTED)-658451 8 | <u> </u> | 0 & 020 | | | |
| FHLB Discount Notes | Bank of New York | 2,902,945.57 | 25-Jun - 20 | 22-Jul-20 | 0.000% | 54.43 | | |
| BOND FUND - 2011 | A (2001A) EFC BOND RE | SERVE ACCOUNT (RE | STRICTED)-647 | 976, GL 165090 | | | | |
| US Treasury Bond-SLG | | 128,973.00 | 15-Mar-11 | 15-May-21 | 2.360% | 3,762.89 | | |
| BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED) 296019, 165310 | | | | | | | | |
| US Treasury Note - SL | C Bank of New York | 408,894.00 | 04-Nov-13 | 15-Jan-23 | 2.410% | 4,927.17 | | |
| | Total | | | | | \$ 103,925.99 | | |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments August-20

| | BANK | INVESTMENT | FROM | то | COUPON RATE | INTEREST | |
|--|--|---|---|---|--|--|----------------------------|
| GENERAL FUND | | | | | | | _ |
| FHLMC Notes CD Notes CD Notes CD Notes CD Notes FHLB Notes NYCGEN Notes NYCGEN Notes | HSBC Securities USA Raymond James Raymond James Raymond James Raymond James Wells Fargo Advisors Raymond James Raymond James Raymond James | \$ 2,000,000.00 250,000.00 250,000.00 250,000.00 250,000.00 2,000,000.00 4,585,000.00 500,000.00 | 6-Jun-19 27-Feb-19 27-Feb-19 28-Feb-19 27-Mar-19 26-Feb-19 18-Feb-20 15-Jun-15 | 28-Aug-20 28-Feb-22 28-Feb-23 27-Mar-24 26-Feb-24 1-Feb-25 1-Feb-24 | 1.75% 2.80% 2.80% 2.85% 2.95% 2.75% 2.610% 2.750% | \$ 17,500.12 3,490.41 594.52 0.00 626.37 27,500.00 59,834.25 6,875.00 | 1 2 0 7 0 5 |
| SENIOR LIEN BOND FUND | O & SECONDARY BOND F | UND (RESTRICTED)- | <u>658451 & 65845</u> | 0-165010 & 02 | <u>:0</u> | | |
| FHLB Discount Notes | Bank of New York | 5,805,819.37 | 25-Jun-20 | 22-Jul-20 | 0.000% | 180.63 | 3 |
| BOND FUND - 2014B (200 | 4A) EFC RESERVE ACCO | UNT(RESTRICTED), 2 | 96124, 165330 | | | | |
| US Treasury Bond - SLGS | Bank of New York | 394,782.00 | 02-Jul-14 | 15-Aug-24 | 2.550% | 5,033.47 | 7 |
| BOND FUND - 2020A EFC I | RESERVE FUND(RESTRI | CTED),162470, 16535 | 1 | | | | |
| US Treasury Notes - Bonds | Bank of New York | 93,997.96 | 09-Jul-20 | 07-Aug-20 | | 3.69 |) |
| | Total | | | | | \$ 121,638.46 | 5 |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments September-20

| | BANK | INVESTMENT | FROM | ТО | COUPON RATE | INTEREST | | |
|--|--|---|--|---|--|---|--|--|
| GENERAL FUND | | | | | | | | |
| FHLB Notes CD Notes CD Notes CD Notes NYSFAC Notes NYSDEV Notes CD Notes FHLMC Notes | HSBC Securities USA Raymond James Raymond James Raymond James Raymond James Raymond James Raymond James Wells Fargo Advisors | \$ 2,000,000.00 250,000.00 250,000.00 250,000.00 4,735,000.00 3,350,000.00 250,000.00 2,000,000.00 | 8-Mar-19 7-Mar-19 27-Feb-19 28-Feb-19 2-Apr-20 3-Apr-20 27-Mar-19 25-Mar-19 | 12-Mar-21 7-Mar-23 28-Feb-22 28-Feb-23 15-Mar-24 15-Mar-25 27-Mar-24 25-Mar-24 | 1.750% 2.850% 2.800% 2.850% 2.020% 3.120% 2.950% 2.750% | \$ 17,500.00 3,591.78 594.52 3,552.74 47,823.50 52,260.00 626.37 27,500.00 | | |
| SENIOR LIEN BO | ND FUND & SECONDARY | BOND FUND (RESTR | ICTED)-658451 | <u>& 658450-165</u> | <u>010 & 020</u> | | | |
| US Treasury Bill | Bank of New York | 8,709,767.73 | 21-Aug-20 | 24-Sep-20 | 0.000% | 232.27 | | |
| BOND FUND - 2020A EFC RESERVE FUND(RESTRICTED),162470, 165351 | | | | | | | | |
| US Treasury Notes - | Bo Bank of New York | 93,997.96 | 09-Jul-20 | 02-Sep-20 | | 2.04 | | |
| | Total | | | | | \$ 153,683.22 | | |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments October-20

| | BANK | INVESTMENT | FROM | то | COUPON RATE | I | INTEREST | | |
|--|---|---|--|--|--------------------------------------|------|--|--|--|
| GENERAL FUND | | | | | | | | | |
| FHLMC Notes CD Notes CD Notes FHLMC Notes | HSBC Securities USA Raymond James Raymond James Wells Fargo Advisors | \$ 3,000,000.00 250,000.00 250,000.00 4,000,000.00 | 25-Oct-19 27-Feb-19 27-Mar-19 13-Jan-20 | 15-Oct-24 28-Feb-22 27-Mar-24 13-Jan-25 | 1.875% 2.800% 2.950% 2.000% | \$ | 28,125.00 575.34 606.16 20,000.00 | | |
| SENIOR LIEN BOND FUN | ID & SECONDARY BONI | D FUND (RESTRICTED | <u>)-658451 & 658</u> | 450-165010 & <u>(</u> | <u>)20</u> | | | | |
| US Treasury Bill | Bank of New York | 11,613,593.51 | 25-Sep-20 | 23-Oct-20 | 0.000% | | 409.79 | | |
| BOND FUND - 2011C (20 | 02G) EFC RESERVE ACC | COUNT (RESTRICTED)- | 296012, 16528 | 0 | | | | | |
| US Treasury Note -SLGS | Bank of New York | 448,985.00 | 30-Dec-15 | 15-Oct-22 | 2.050% | | 4,602.10 | | |
| BOND FUND - 2015D (20 | 05A) EFC RESERVE ACC | COUNT(RESTRICTED), | <u>295998, 165340</u> | 1 | | | | | |
| US Treasury Note - SLGS | Bank of New York | 403,919.00 | 13-Nov-15 | 01-Oct-25 | 2.300% | | 4,645.07 | | |
| BOND FUND - 2012A RES | SERVE FUND ACCT. (RE | STRICTED) - 556118, | 165620 | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 7,047,306.56 | 30-Nov-18 | 30-Nov-22 | 2.000% | | 3,980.41 | | |
| BOND FUND - 2016 REFUNDING RESERVE FD (RESTRICTED) - 280108, 165652 US Treasury Notes - Bonds Bank of New York 4,495,588.40 03-Dec-18 30-Nov-23 2.875% 89,775.00 | | | | | | | | | |
| | Total | | | | | \$ 1 | 52,718.87 | | |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments November-20

| | | | | | COUPON | |
|--|--------------------------------------|----------------------------|--------------------------|---------------------------|------------------|--------------------|
| | BANK | INVESTMENT | FROM | то | RATE | INTEREST |
| GENERAL FUND | | | | | | |
| GENERAL FUND | | | | | | |
| FHLMC Notes | HSBC Securities USA | \$ 2,000,000.00 | 13-Aug-20 | 13-May-24 | 0.410% | \$ 2,050.00 |
| CD Notes | Raymond James | 250,000.00 | 27-Feb-19 | 28-Feb-22 | 2.800% | 594.52 |
| NYCGEN CD Notes | Raymond James | 4,000,000.00 | 13-Nov-19 | 1-May-23 | 2.530% | 50,600.00 |
| FHLMC Notes | Raymond James Raymond James | 250,000.00 2,000,000.00 | 27-Mar-19 12-Jun-20 | 27-Mar-24 27-Jun-25 | 2.950% 0.500% | 626.37 5,000.00 |
| FFCB Notes | Raymond James | 2,000,000.00 | 4-Aug-20 | 4-May-23 | 0.350% | 1,750.00 |
| | • | | • | • | | 1,750.00 |
| SENIOR LIEN BOND FUN | ID & SECONDARY BON | <u>D FUND (RESTRICTED)</u> | <u>-658451 & 658</u> | <u>450-165010 & 0</u> | <u>)20</u> | |
| FHLB Discount Notes | Bank of New York | 14,516,548.36 | 23-Oct-20 | 20-Nov-20 | 0.000% | 451.64 |
| BOND FUND - 2011A (20 | 01A) EFC BOND RESER | VE ACCOUNT (RESTRIC | TED)-647976, | GL 165090 | | |
| US Treasury Bond-SLGS | Bank of New York | 128,973.00 | 15-Mar-11 | 15-May-21 | 2.360% | 1,521.88 |
| BOND FUND - 2011C (20 | ∩1R\ EE¢ RAND DESED | VE ACCOUNT (DESTRIC | TED\ 649252 | GI 165200 | | |
| US Treasury Note -SLGS | Bank of New York | 645,708.00 | 30-Dec-15 | 15-May-21 | 1.800% | 5,811.37 |
| • | | • | | , | | -, |
| BOND FUND - 2012A RES | | | | 20.41 22 | 2 00001 | 72 720 00 |
| US Treasury Notes - Bonds Cash Balance | Bank of New York Bank of New York | 7,047,306.56 | 30-Nov-18 | 30-Nov-22 | 2.000% | 72,720.00 |
| Casii balance | bank of New York | 1,799.44 | | | | |
| BOND FUND - 2013 REFU | INDING RESERVE FUNI | D. (RESTRICTED) - 6662 | 285, 165632 | | | |
| US Treasury Notes - Bonds | Bank of New York | 6,625,615.39 | 03-Dec-18 | 30-Nov-22 | 2.000% | 68,410.00 |
| Cash Balance | Bank of New York | 1,750.97 | | | | |
| BOND FUND - 2014A RES | EDVE EIIND (DECTOIC | TEN_ EE2222 16E62E | • | | | |
| US Treasury Notes - Bonds | Bank of New York | 3,678,026.52 | 03-Dec-18 | 30-Nov-23 | 2.875% | 52,770.63 |
| Cash Balance | Bank of New York | 4,214.00 | 05 500 10 | 50 1101 25 | 2.07370 | 32// 10:00 |
| | | • | | | | |
| BOND FUND - 2014B RES | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 4,653,890.82 | 03-Dec-18 | 30-Nov-23 | 2.875% | 66,771.88 |
| Cash Balance | Bank of New York | 3,694.42 | | | | |
| BOND FUND - 2015 REF I | RESERVE FD. (RESTRIC | TED) - 342111, 165644 | l . | | | |
| US Treasury Notes - Bonds | | 10,459,982.81 | 03-Dec-18 | 30-Nov-23 | 2.875% | 150,075.00 |
| Cash Balance | Bank of New York | 10,069.43 | | | | |
| | | D) D4D400 46D644 | | | | |
| BOND FUND - 2015A RES US Treasury Notes - Bonds | Bank of New York | | 03-Dec-18 | 30-Nov-23 | 2.875% | 55,329.38 |
| Cash Balance | Bank of New York | 3,856,367.23 3,947.51 | 03-Dec-10 | 30-1104-23 | 2.67 3 70 | 33,329.30 |
| cash balance | Bank of New York | 5,517.51 | | | | |
| BOND FUND - 2016A RES | SERVE FD (RESTRICTED | <u>)) - 280134, 165646</u> | | | | |
| US Treasury Notes - Bonds | Bank of New York | 7,033,436.72 | 03-Dec-18 | 30-Nov-23 | 2.875% | 100,912.50 |
| Cash Balance | Bank of New York | 7,803.89 | | | | |
| BOND FUND - 2016B RES | SERVE EN (RESTRICTE | 1) - 280076 165649 | | | | |
| US Treasury Notes - Bonds | Bank of New York | 3,338,377.66 | 03-Dec-18 | 30-Nov-23 | 2.875% | 47,897.50 |
| Cash Balance | Bank of New York | 3,454.82 | | | | • |
| | _ | | | | | |
| BOND FUND - 2016 REFU | | | | 20 Nov. 22 | 3.0750/ | 64 500 63 |
| US Treasury Notes - Bonds Cash Balance | Bank of New York Bank of New York | 4,495,588.40 1,264.66 | 03-Dec-18 | 30-Nov-23 | 2.875% | 64,500.63 |
| Casii Balaiice | Dalik Of New Tork | 1,204.00 | | | | |
| BOND FUND - 2020B RES | SERVE FUND ACCOUNT | (RESTRICTED),291102, | 165658 | | | |
| US Treasury Notes - Bonds | | 5,969,008.48 | 12-May-20 | 15-May-25 | 2.125% | 58,278.13 |
| Cash | Bank of New York | 62,531.01 | | | | |
| BOND FUND - 2020A EFC | DESERVE FIIND/DEST | RICTED) 162470 1652 | 51 | | | |
| FHLMC Discount Notes | Bank of New York | 93,997.96 | 02-Sep-20 | 02-Sep-20 | 0.000% | 4.57 |
| | | , | | | | |
| BOND FUND - 2020 REFL | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 766,590.08 | 24 - Jun-20 | 19-Nov-20 | 0.000% | 409.92 |
| | Total | | | | | \$ 806,485.92 |
| | , vui | | | | | 7 |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments December-20

| | BANK | INVESTMENT | FROM | то | INTEREST | | | | |
|---|--|---|--|---|--------------------------------------|----|--|--|--|
| | DAIN | IIAAEQIIAIEIAI | FROW | 10 | RATE | 11 | NIERESI | | |
| GENERAL FUND | | | | | | | | | |
| FHLB Notes FFCB Notes CD Notes CD Notes | Raymond James Raymond James Raymond James Raymond James | \$ 3,000,000.00 1,000,000.00 250,000.00 250,000.00 | 29-Jun-20 12-Jun-20 27-Feb-19 27-Mar-19 | 29-Jun-23 3-Jun-24 28-Feb-22 27-Mar-24 | 0.440% 0.580% 2.800% 2.950% | \$ | 6,600.00 2,900.00 575.34 606.16 | | |
| Treasury Notes | Wells Fargo Advisors | 4,000,000.00 | 17-Dec-19 | 31-Dec-20 | 2.375% | | 47,500.00 | | |
| SENIOR LIEN BOND FUN | ID & SECONDARY BONI | FUND (RESTRICTED | <u>))-658451 & 658</u> | 450-165010 & (| <u>020</u> | | | | |
| FHLB Discount Notes | Bank of New York | 14,516,548.36 | 24-Nov-20 | 01-Dec-20 | 0.000% | | 37.46 | | |
| BOND FUND - 2009 B RE | SERVE FUND ACCOUNT | (RESTRICTED) - 3987 | 774, 165490 | | | | | | |
| FHLB Securities Cash | Bank of New York Bank of New York | 9,191,069.92 937.03 | 22-May-20 | 01-Dec-20 | 0.000% | | 4,930.08 | | |
| BOND FUND - 2020A EFC | RESERVE FUND(RESTE | RICTED),162470, 165 | <u>351</u> | | | | | | |
| US Treasury Note | Bank of New York | 141,996.69 | 08-Sep-20 | 04-Dec-20 | 0.000% | | 3.31 | | |
| BOND FUND - 2020 Reserve Fund (RESTRICTED),483810, 165661 | | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | 4,997,574.84 | 23-Jun-20 | 15-Jun-23 | 0.000% | | 6,236.25 | | |
| | Total | | | | | \$ | 69,388.60 | | |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments January-21

| | BANK | INVESTMENT | FROM | то | COUPON RATE | INTEREST | | | | |
|---|---|---|---|---|--|----------|--|--|--|--|
| GENERAL FUND | | | | | | | | | | |
| FFCB Notes FFCB Notes FNMA Notes CD Notes CD Notes | HSBC Securities USA Raymond James Raymond James Raymond James Raymond James | \$ 2,000,000.00 3,000,000.00 3,000,000.00 250,000.00 250,000.00 | 31-Jul-20 17-Jul-20 21-Jul-20 27-Feb-19 27-Mar-19 | 22-Jan-24 15-Jan-25 21-Jul-25 28-Feb-22 27-Mar-24 | 0.470% 0.600% 0.500% 2.800% 2.950% | \$ | 4,700.00 9,000.00 7,500.00 594.52 626.37 | | | |
| SENIOR LIEN BOND FU | ND & SECONDARY BOND | FUND (RESTRICTED |)-658451 & 658 | 450-165010 & (| <u>020</u> | | | | | |
| FHLB Discount Notes | Bank of New York | 4,780,910.36 | 24-Dec-20 | 20-Jan-21 | 0.000% | | 91.61 | | | |
| BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED) 296019, 165310 | | | | | | | | | | |
| US Treasury Note - SLGS | Bank of New York | 408,894.00 | 04-Nov-13 | 15-Jan-23 | 2.410% | | 4,927.17 | | | |
| | Total | | | | | \$ | 27,439.67 | | | |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments February-21

| | BANK | INVESTMENT | | FROM | COUPON TO RATE | | INTEREST | |
|--|--|------------|--|--|--|--|-------------|--|
| GENERAL FUND | | | | | | | | |
| NYCGEN Notes NYCGEN Notes FHLB Notes FNMA Notes FHLB Notes FNMA Notes | Raymond James Raymond James Wells Fargo Advisors Wells Fargo Advisors Wells Fargo Advisors Wells Fargo Advisors | \$ | 4,585,000.00 500,000.00 2,000,000.00 2,000,000.00 2,000,000.00 2,000,000.00 | 18-Feb-20 15-Jun-15 24-Aug-20 25-Aug-20 26-Feb-19 30-Jul-20 | 1-Feb-25 1-Feb-24 24-Aug-23 25-Aug-23 26-Feb-24 30-Jul-25 | 2.610% 2.750% 0.330% 0.340% 2.750% 0.650% | \$ | 59,834.25 6,875.00 3,300.00 3,400.00 27,500.00 6,500.00 |
| SENIOR LIEN BOND FU | ND & SECONDARY BOND | FUND | (RESTRICTED)- | 558451 & 65845 | <u>0-165010 & 02</u> | <u>0</u> | | |
| FHLB Discount Notes | Bank of New York | | 7,826,932.60 | 25-Jan-21 | 25-Feb-21 | 0.000% | | 67.40 |
| BOND FUND - 2014B (20 | 004A) EFC RESERVE AC | COUNT | (RESTRICTED), 2 | <u>96124, 165330</u> | | | | |
| US Treasury Bond - SLGS | Bank of New York | | 394,782.00 | 02-Jul-14 | 15-Aug-24 | 2.550% | | 5,033.47 |
| BOND FUND - 2020A EF | C RESERVE FUND(RESTR | RICTED |),162470, 16535 | <u>1</u> | | | | |
| FHLMC Discount Notes Cash | Bank of New York Bank of New York | | 157,998.03 286.20 | 07-Dec-20 | 06-Jan-21 | 0.000% | | 3.79 |
| | Total | | | | | | \$ 1 | 112,513.91 |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments March-21

| | | | | COUPON | | | | |
|---|----------------------------|------------|----------------|-----------------|---------------------------|--------|----------|------------|
| | BANK | INVESTMENT | | FROM | TO | RATE | INTEREST | |
| GENERAL FUND | | | | | | | | |
| NYSFAC Notes | Raymond James | \$ | 4,735,000.00 | 2-Apr-20 | 15-Mar-24 | 2.020% | \$ | 47,823.50 |
| NYSDEV Notes | Raymond James | · | 3,350,000.00 | 3-Apr-20 | 15-Mar-25 | 3.120% | • | 52,260.00 |
| FHLB Notes | HSBC Securities USA | | 2,000,000.00 | 8-Mar-19 | 12-Mar-21 | 1.750% | | 17,500.00 |
| FFCB Notes | HSBC Securities USA | | 2,000,000.00 | 31-Jul-20 | 22-Jan-24 | 0.470% | | 1,051.24 |
| CD Notes | Raymond James | | 250,000.00 | 27-Feb-19 | 28-Feb-22 | 2.800% | | 594.52 |
| CD Notes | Raymond James | | 250,000.00 | 27-Feb-19 | 28-Feb-22 | 2.800% | | 3,528.77 |
| CD Notes | Raymond James | | 250,000.00 | 28-Feb-19 | 28-Feb-23 | 2.850% | | 3,591.78 |
| CD Notes | Raymond James | | 250,000.00 | 27-Mar-19 | 27-Mar-24 | 2.950% | | 626.37 |
| CD Notes | Raymond James | | 250,000.00 | 7-Mar-19 | 7-Mar-23 | 2.850% | | 3,533.22 |
| CD Notes | Raymond James | | 250,000.00 | 27-Feb-19 | 28-Feb-22 | 2.800% | | 536.99 |
| CD Notes | Raymond James | | 250,000.00 | 27-Mar-19 | 27-Mar-24 | 2.950% | | 565.75 |
| SENIOR LIEN BOND FU | IND & SECONDARY BOND | FUND | (RESTRICTED)-6 | 558451 & 658450 | <u>0-165010 & 020</u> | | | |
| FHLB Discount Notes | Bank of New York | | 10,873,826.32 | 02-Mar-21 | 25-Mar-21 | 0.000% | | 173.68 |
| BOND FUND - 2020A EFC RESERVE FUND(RESTRICTED),162470, 165351 | | | | | | | | |
| FHLMC Discount Notes | Bank of New York | | 157,998.03 | 07-Dec-20 | 06-Jan-21 | 0.000% | | 1.31 |
| Cash | Bank of New York | | 286.20 | | | | | |
| | Total | | | • | | | \$ | 131,787.13 |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments April-21

| | BANK | INVESTMENT | | FROM | то | COUPON RATE | I | INTEREST | |
|--|--|---|-----------------|---|---|--|----------------------------------|-----------|--|
| GENERAL FUND | | | | | | | | | |
| FNMA Notes FFCB Notes FHLB Notes CD Notes CD Notes | Wells Fargo Advisors HSBC Securities USA HSBC Securities USA Raymond James Raymond James | \$ 2,000,000.00 2,000,000.00 2,000,000.00 250,000.00 250,000.00 | | 22-Oct-20 20-Oct-20 16-Oct-20 27-Feb-19 27-Mar-19 | 22-Oct-25 20-Oct-23 15-Apr-24 28-Feb-22 27-Mar-24 | 0.560% 0.270% 0.300% 2.800% 2.950% | 0.270% 2, 0.300% 3, 2.800% | | |
| SENIOR LIEN BOND FUN | ID & SECONDARY BOND | FUND | (RESTRICTED)- | <u>658451 & 65845</u> | 0-165010 & 020 | <u>D</u> | | | |
| FHLB Discount Notes | Bank of New York | 13,920,945.86 25-Mar-21 23-Apr-2 | | 23-Apr-21 | 0.000% | | 55.68 | | |
| BOND FUND - 2012B (20 | 02A) EFC BOND RESER | VE ACC | COUNT (RESTRIC | TED)-296010, 16 | <u>5260</u> | | | | |
| US Treasury Bond-SLGS | Bank of New York | | 452,319.00 | 21-Jun-12 | 15-Oct-22 | 1.760% | | 3,980.41 | |
| BOND FUND - 2011C (20 | 02G) EFC RESERVE ACC | OUNT | (RESTRICTED)- 2 | <u> 165280 </u> | | | | | |
| US Treasury Note -SLGS | Bank of New York | | 448,985.00 | 30-Dec-15 | 15-Oct-22 | 2.050% | | 4,602.10 | |
| BOND FUND - 2015D (20 | 05A) EFC RESERVE ACC | OUNT(| (RESTRICTED), 2 | 95998, 16534 <u>0</u> | | | | | |
| US Treasury Note - SLGS | Bank of New York | | 403,919.00 | 13-Nov-15 | 01-Oct-25 | 2.300% | | 4,645.06 | |
| BOND FUND - 2018A REFUNDING RESERVE FD (RESTRICTED) - 349287, 165655 | | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | - · | 9,267,087.29 | 03-Aug-18 | 05-Apr-22 | 1.875% | | 89,775.00 | |
| | Total | | | | | | \$ 1 | 15,579.14 | |

SUFFOLK COUNTY WATER AUTHORITY Maturing Investments & Interest Payments May-21

| | | | | | COUPON | | | |
|---------------------------|----------------------|---------------|-----------------------|------------------------|----------|------------|------------|--|
| | BANK | INVESTM | ENT FROM | TO | RATE | RATE INTER | | |
| GENERAL FUND | | | | | | | | |
| FHLMC Notes | HSBC Securities USA | \$ 2,000 | ,000.00 13-Aug-20 | 0 13-May-24 | 0.410% | \$ | 4,100.00 | |
| FHLB Notes | Raymond James | | .991.05 29-Jun-20 | 29-Jun-23 | 0.440% | • | 3,594.91 | |
| FFCB Notes | Raymond James | 2,000 | .000.00 4-Aug-20 | 0 4-May-23 | 0.350% | | 3,500.00 | |
| FFCB Notes | Raymond James | 2,000, | .000.00 4-Aug-20 | 4-May-23 | 0.350% | | 155.56 | |
| FHLMC Notes | Raymond James | 2,000, | .000.00 12-Jun-20 | | 0.500% | | 5,000.00 | |
| FHLMC Notes | Raymond James | | .000.00 13-Nov-20 | | 0.300% | | 3,000.00 | |
| FHLMC Notes | Raymond James | 2,000, | .000.00 16-Nov-20 | 0 16-Nov-23 | 0.300% | | 3,000.00 | |
| CD Notes | Raymond James | , | .000.00 27-Feb-19 | | 2.800% | | 575.34 | |
| NYCGEN Notes | Raymond James | 4,000, | .000.00 13-Nov-19 | 9 1-May-23 | 2.530% | | 50,600.00 | |
| CD Notes | Raymond James | 250, | .000.00 27-Mar-19 | 9 27-Mar-24 | 2.950% | | 606.16 | |
| SENIOR LIEN BOND FUN | ID 9. SECONDARY BONI | ELIND /DECT | DICTED_6E94E1 | 4E0 16E010 & 02 | 0 | | | |
| SEINLOR LIEN BOND FOR | D & SECUNDART BUIL | | | 430-163010 & <u>02</u> | <u>u</u> | | | |
| FHLB Discount Notes | Bank of New York | 16,966, | .986.80 23-Apr-21 | 21-May-21 | 0.000% | | 13.56 | |
| Cash | Bank of New York | | 901.83 | | | | | |
| BOND FUND - 2011A (20 | 01A) EFC BOND RESER | /E ACCOUNT (F | RESTRICTED)-647976, | GL 165090 | | | | |
| US Treasury Bond-SLGS | Bank of New York | 128, | 973.00 15-Mar-11 | 15-May-21 | 2.360% | | 1,521.88 | |
| BOND FUND - 2011C (200 | 01B) EFC BOND RESER | /E ACCOUNT (R | RESTRICTED), 648252, | GL 165200 | | | | |
| US Treasury Note -SLGS | Bank of New York | 645, | .708.00 30-Dec-15 | 15-May-21 | 1.800% | | 5,811.37 | |
| BOND FUND - 2015D (20 | 05A) EFC RESERVE ACC | OUNT(RESTRI | CTED), 295998, 16534(| 0 | | | | |
| US Treasury Note - SLGS | Bank of New York | | .919.00 13-Nov-15 | 01-Oct-25 | 2.300% | | 0.02 | |
| Cash | Bank of New York | 103, | 0.02 | 01 000 23 | 2.500 % | | 5.52 | |
| DOND FILMS 2020D DEC | FRVE FUND ACCOUNT | DECTRICTED) | 201102 165650 | | | | | |
| BOND FUND - 2020B RES | | | | | | | | |
| US Treasury Notes - Bonds | Bank of New York | • • | .008.48 12-May-20 | 15-May-25 | 2.125% | | 58,278.13 | |
| Cash | Bank of New York | 62, | 531.01 | | | | | |
| BOND FUND - 2020 REFU | NDING BOND FUND(R | STRICTED),48 | <u>3800, 165660</u> | | | | | |
| FHLB Discount Notes | Bank of New York | 313, | ,969.30 02-Dec-20 | 27-May-21 | 0.000% | | 30.70 | |
| Cash | Bank of New York | 1, | ,289.28 | | | | | |
| | Total | | | | | <u> </u> | 139,787.63 | |
| | iviai | | | | | <u> </u> | 200/10/100 | |

Annual Investment Report

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